

# Isolating Neutrino Cross Section Uncertainties with Theory

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in collaboration with:

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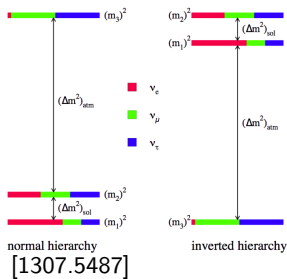
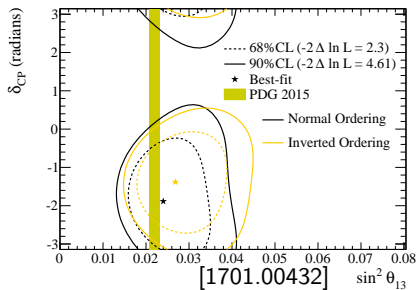
CIPANP 2018

# Outline

- ▶ Introduction
  - ▶ Neutrino Oscillation Physics
- ▶ Deuterium Bubble Chamber Reanalysis
  - ▶ Faults of Dipole Form Factor
  - ▶  $z$  Expansion Parametrization
  - ▶ Fit Results
- ▶ Lattice QCD
  - ▶ Why Lattice QCD
  - ▶ Lattice QCD Introduction
  - ▶ (Short) Summary of Recent Computations
  - ▶ Staggered lattice QCD computation of  $g_A$
- ▶ Conclusions

# Introduction

# Neutrino Oscillation Experiment Goals



Neutrino oscillation parameters are experimental targets of the upcoming decades

Experiments have several goals:

- ▶ precision measurements of  $\Delta m_{ij}^2 \equiv m_i^2 - m_j^2$  and  $\theta_{ij}$
- ▶ determine value of  $\delta_{CP}$
- ▶ determine sign of  $\Delta m_{31}^2$ ; i.e. mass hierarchy

To maximize potential for physics discoveries in oscillation experiments,  
 need precise supporting theoretical predictions

# Near/Far Detector Paradigm

Ratio of near/far detector cancels out many experiment systematics

$$\frac{\Phi_{\text{near}}(E_\nu)}{\Phi_{\text{far}}(E_\nu)} = \frac{N_{\text{near}}(E_\nu)}{\sigma_A(E_\nu)} \frac{\sigma_A(E_\nu)}{N_{\text{far}}(E_\nu)} P_{\nu_i \rightarrow \nu_j}(E_\nu) \implies \frac{N_{\text{near}}(E_\nu)}{N_{\text{far}}(E_\nu)} P_{\nu_i \rightarrow \nu_j}(E_\nu)$$

- ▶ Flux normalization errors cancel, ratios can be determined precisely

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Insufficient for next-generation precision measurements

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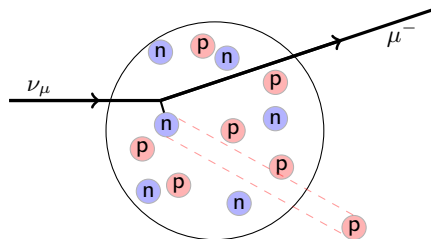
- ▶ Assumes that energies can be determined precisely
- ▶ Assumes near/far detectors are the same
- ▶ Assumes near/far detectors see the same beam

Without these, nuclear cross sections  $\sigma_A$  must still be known precisely

# Nuclear Cross Sections

- ▶  $\sigma_A$  determined from some nuclear model, from MC generator
- ▶ Most MC generators assume weakly-interacting gas of free nucleons
- ▶ Quasielastic scattering off single nucleon allows exact determination of  $E_\nu$

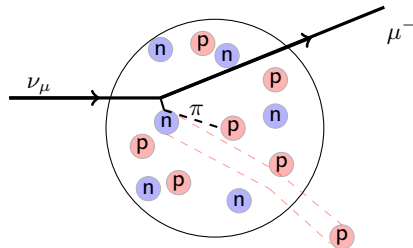
$$E_\nu^{QE} = \frac{2(M_n - E_b)E_\ell - ((M_n - E_b)^2 - M_p^2 + m_\ell^2)}{2(M_n - E_b - E_\ell + p_\ell \cos\theta_\ell)}$$





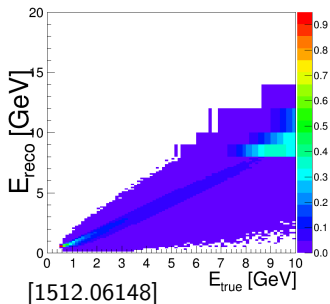
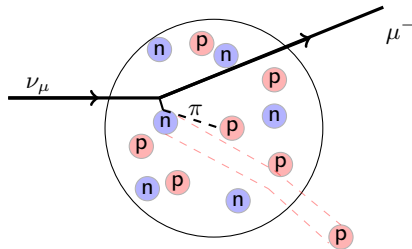
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     $\implies$  energies cannot be determined event by event!



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- ▶ Nuclear rescattering can change observed spectrum, topologies  
     $\implies$  energies cannot be determined event by event!
- ▶ Extraction of neutrino energies can only be done as a statistical average including all FSI
- ▶ “Reconstruction” to remove nuclear effects, determined from MC studies



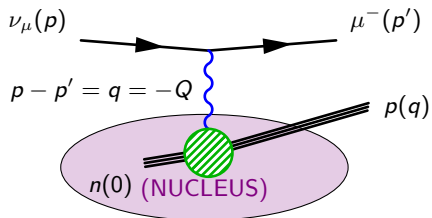
# Quasielastic scattering

Nucleon amplitudes used as building block to construct sophisticated nuclear models

QE scattering is relatively easy measurement,  
relatively theoretically clean:

$\nu$  interacts with nearly-free nucleon

QE is **primary signal measurement process**  
for neutrino oscillation experiments



Assumed to be single nucleon interaction, can obtain **free nucleon amplitudes**

$\implies$  studies of QE scattering enable construction of better nuclear models

## CCQE Cross section

$$\frac{d\sigma_{CCQE}}{dQ^2}(E_\nu, Q^2) \propto \frac{1}{E_\nu^2} \left( A(Q^2) \mp \left( \frac{s-u}{M_N^2} \right) B(Q^2) + \left( \frac{s-u}{M_N^2} \right)^2 C(Q^2) \right)$$

$$s - u = 4M_N E_\nu - Q^2 - m_\ell^2 \quad \eta \equiv \frac{Q^2}{4M_N^2}$$

$$A(Q^2) = \frac{m_\ell^2 + Q^2}{M_N^2} \times \left[ (1 + \eta)F_A^2 - (1 - \eta)(F_1^2 + \eta F_2^2) + 4\eta F_1 F_2 - \frac{m_\ell^2}{4M_N^2} \left( (F_1 + F_2)^2 + (F_A + 2F_P)^2 - 4(1 + \eta)F_P^2 \right) \right]$$

$$B(Q^2) = 4\eta F_A (F_1 + F_2) \quad C(Q^2) = \frac{1}{4} (F_A^2 + F_1^2 + \eta F_2^2)$$

- ▶  $F_1, F_2$  from high-statistics monoenergetic  $e^-$  scattering on proton target
- ▶  $F_P$  suppressed by lepton mass corrections, constrained by PCAC

⇒  $F_A$  largest contributor to systematic errors

# Focus

Want to inform nuclear models with theoretically clean/robust form factors

Take two approaches to constrain nucleon form factor:

- ▶ **Reanalysis of deuterium bubble chamber data**
  - use model-independent  $z$  expansion parametrization to study systematic uncertainties
- ▶ **Lattice QCD calculation**
  - compute the axial matrix element from first principles

First step is to compute axial charge:  $g_A = F_A(Q^2)|_{Q^2=0}$

Future extensions of this work will compute  $Q^2$  dependence, fit to  $z$  expansion parametrization

# Deuterium Bubble Chamber Reanalysis

# Dipole Form Factor

Most analyses assume the Dipole axial form factor (Llewellyn-Smith, 1972):

$$F_A^{\text{dipole}}(Q^2) = \frac{g_A}{\left(1 + \frac{Q^2}{m_A^2}\right)^2}$$

[Phys.Rept.3 (1972),261]

Dipole is an ansatz:

- ▶ inconsistent with QCD
- ▶ unmotivated in interesting energy region

⇒ **uncontrolled systematics and therefore underestimated uncertainties**

Large variation in  $m_A$  over many experiments  
(dubbed the “axial mass problem”):

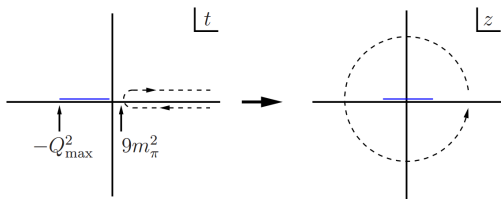
- ▶  $m_A = 1.026 \pm 0.021$  (Bernard *et al.*, [arXiv:00107088])
- ▶  $m_A^{\text{eff}} = 1.35 \pm 0.17$  (MiniBooNE, [arXiv:1002.2680])

Essential to use model-independent parameterization of  $F_A$  instead

## z Expansion

The z Expansion [arXiv:1108.0423] is a conformal mapping which takes kinematically allowed region ( $t = -Q^2 \leq 0$ ) to within  $|z| < 1$

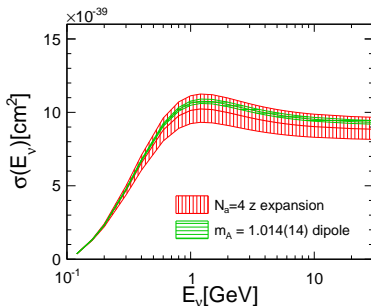
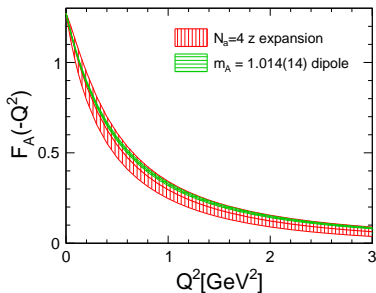
$$z(t; t_0, t_c) = \frac{\sqrt{t_c - t} - \sqrt{t_c - t_0}}{\sqrt{t_c - t} + \sqrt{t_c - t_0}} \quad F_A(z) = \sum_{n=0}^{\infty} a_n z^n \quad t_c = 9m_\pi^2$$



- ▶ Model independent: motivated by analyticity arguments from QCD
- ▶ Only few parameters needed: unitarity bounds
- ▶ Sum rules regulate large- $Q^2$  behavior



# Reanalysis Results Summary [1603.03048 [hep-ph]]



$$\left. \frac{1}{F_A(0)} \frac{dF_A}{dQ^2} \right|_{Q^2=0} \equiv -\frac{1}{6} r_A^2$$

$$r_A^2 = 0.46(22) \text{ fm}^2, \quad \sigma_{\nu n \rightarrow \mu p}(E_\nu = 1 \text{ GeV}) = 10.1(0.9) \times 10^{-39} \text{ cm}^2$$

compared to [Eur. Phys. J. C 53, 349]:

$$r_A^2 = 0.453(13) \text{ fm}^2, \quad \sigma_{\nu n \rightarrow \mu p}(E_\nu = 1 \text{ GeV}) = 10.63(0.14) \times 10^{-39} \text{ cm}^2$$

Dipole model significantly underestimates error from nucleon form factor

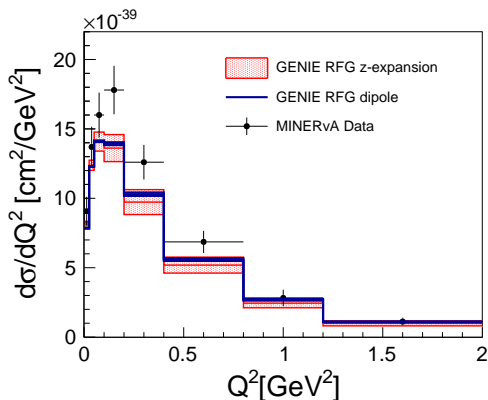
Most theoretically clean data do not constrain form factor precisely

## z Expansion in GENIE

z expansion coded into GENIE - may be turned on with configuration switch

Officially released in production version 2.12

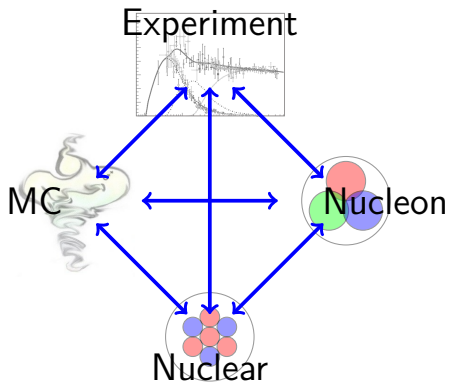
Uncertainties on free-nucleon cross section as large as data-theory discrepancy  
⇒ need to improve  $F_A$  determination to make headway on nuclear effects



See tutorial: <https://indico.fnal.gov/event/12824/>

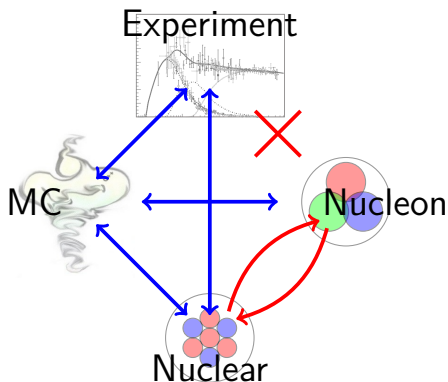
# Lattice QCD

# Why Lattice QCD?



The ideal situation: lots of redundancy and checks between elements of analysis

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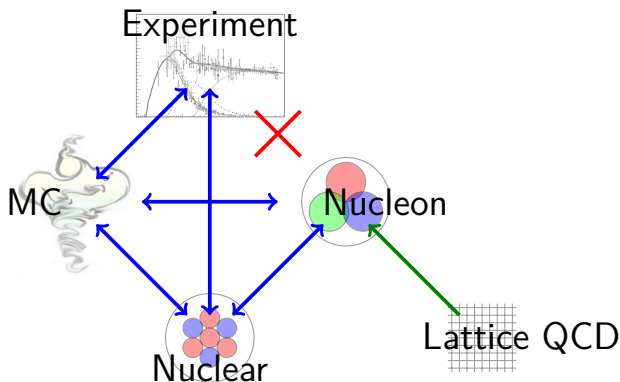


The ideal situation: lots of redundancy and checks between elements of analysis

In reality:  $F_A$  not well determined by experiment

⇒ nucleon amplitudes constrained by/used to constrain nuclear models

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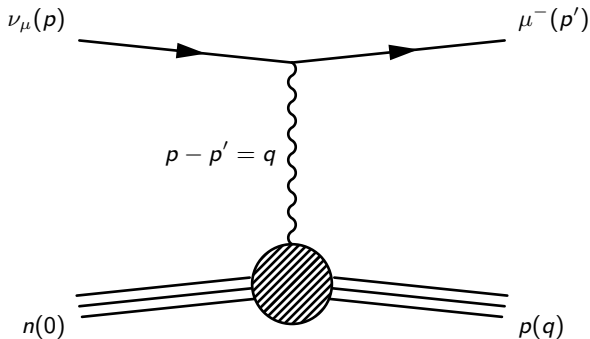
Lattice QCD acts as a disruptive technology to break degeneracy

# How Does Lattice Help?

Lattice is well suited to compute matrix elements:

$$\mathcal{M}_{\nu_\mu n \rightarrow \mu p}(\mathbf{p}, \mathbf{p}') = \langle \mu(\mathbf{p}') | (V_\mu - A_\mu) | \nu(\mathbf{p}) \rangle \langle \mathbf{p}(\mathbf{q}) | (V_\mu - A_\mu) | n(0) \rangle$$

Systematically improvable: more computing power  $\implies$  more precision

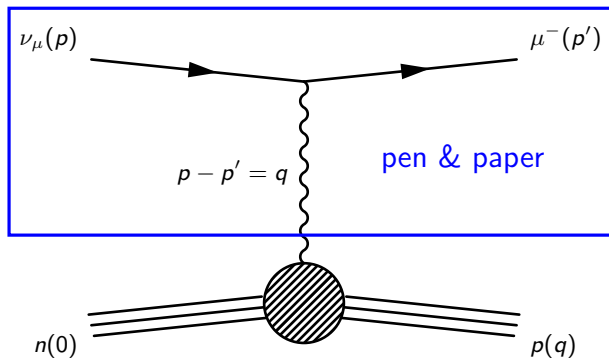


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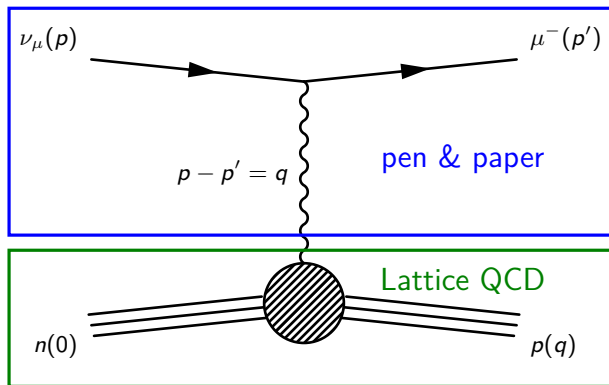


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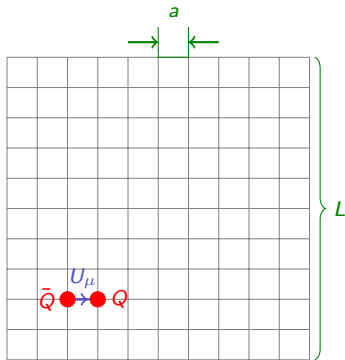


# Lattice QCD: Formalism

- ▶ Lattice QCD is a technique to numerically evaluate path integral

$$\langle \mathcal{O} \rangle = \frac{1}{Z} \int \mathcal{D}\psi \mathcal{D}\bar{\psi} \mathcal{D}U \exp(-S) \mathcal{O}_\psi [U]$$

- ▶ Discretize spacetime  $\implies$  #DOF finite
- ▶ Lattice spacing  $a$  provides UV cutoff
- ▶ Lattice size  $L$  provides IR cutoff
- ▶ Quark fields on sites  $\implies Q(x)$
- ▶ Gauge fields between sites  $\implies U_\mu(x)$
- ▶ Euclidean time  $\implies$  correlators  $\propto e^{-Et}$



Typical strategy is to construct operators at “source,” allow them to propagate through time, then annihilate at “sink”

Evaluate correlation functions on fixed background gauge field, compute on many gauge fields for Monte Carlo average

Correlation functions are products of matrix elements times exponentials, e.g.

$$C(t) = \sum_n |\langle \Omega | \mathcal{O} | n \rangle|^2 e^{-E_n t}$$

## Nucleon axial form factor $G_A(Q^2)$

Previously, [Lin,0802.0863], [Yamazaki,0904.2039], [Bratt,1001.3620], [Bali,1412.7336]

Needed for neutrino oscillation experiments:

Charged current quasielastic (CCQE) neutrino-nucleus interaction must be known to high precision.

Connecting quark - nucleon level:  $G_A(Q^2)$  form factor.  
nucleon - nucleus level: nuclear model.

Traditionally: information on  $G_A(Q^2)$  extracted from expt. using dipole fit:

$$G_A(Q^2) = \frac{g_A}{(1 + \frac{Q^2}{M_A^2})^2} \quad \langle r_A^2 \rangle = \frac{12}{M_A^2}$$

World average (pre 1990) from  $\nu$  scattering  $M_A = 1.026(21)$  GeV.

Overconstrained form: different measurements, different  $M_A$ .

Lower energy expts: e.g. MiniBooNE:  $M_A = 1.35(17)$  GeV

[Aguilar-Arevalo,1002.2680]

Systematics being explored including new analysis of old expt data:

$\langle r_A^2 \rangle = 0.46(22)$  fm<sup>2</sup>  $\rightarrow M_A = 1.01(24)$  GeV from z-expansion [Meyer,1603.03048].

Several computations of  $F_A(Q^2)$  appeared in response:

LHPC 1703.06703 [hep-lat]

ETMC 1705.03399 [hep-lat]

CLS 1705.06186 [hep-lat]

PNDME 1705.06834,1801.01635,1801.03130 [hep-lat]

Additional  $g_A$  computations: (CalLat) 1704.01114,1710.06523  
(JLQCD) 1805.10507

Ref.	$g_A$	$\langle r_A^2 \rangle$ [fm <sup>2</sup> ]
LHPC	1.208(6)(16)(1)(10)	0.213(6)(13)(3)(0)
ETMC	1.212(33)(22)	0.267(9)(11)
CLS	1.278(68) <sup>(+00)</sup> <sub>(-87)</sub>	0.360(36) <sup>(+80)</sup> <sub>(-88)</sub>
PNDME	1.20(3)	0.25(6)
CalLat	1.285(17)	—
JLQCD	1.123(28)(29)(90)	—

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# Fermilab Lattice/MILC Effort

We are calculating the axial charge  $g_A = F_A(Q^2)|_{Q^2=0}$  using staggered quarks on the MILC HISQ 2+1+1 gauge ensembles

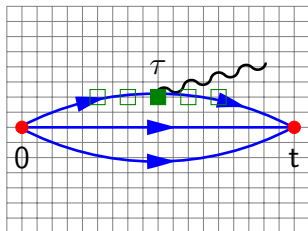
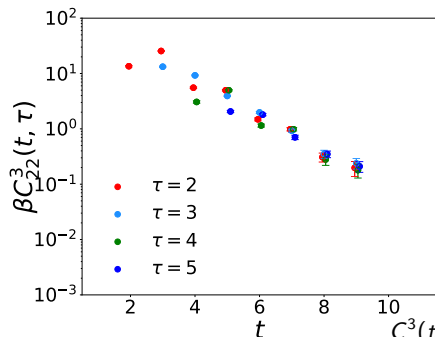
- ▶ no explicit chiral symmetry breaking in  $m \rightarrow 0$  limit
- ▶ physical pion mass for multiple lattice spacings
- ▶ large volumes
- ▶ absolutely normalized
- ▶ high-statistics (computationally fast)

Effort is needed to handle:

- ▶ Formalism  $\implies$  complicated group theory, difficult fitting

After completing the charge, we will continue to  $F_A(Q^2)$  for  $Q^2 > 0$

# Three-Point Correlation Function



$$C^3(t, \tau) \sim \langle N | A_\mu | N \rangle |a|^2 e^{-M_N \tau} e^{-E_N(t-\tau)}$$

Change of strategy from previous iterations

→ significant improvement in statistical precision

Current statistical errors at  $t = 7$  is about 10%

Conservative estimates predict  $< 5\%$  uncertainty on  $g_A$  in final analysis

# Conclusions

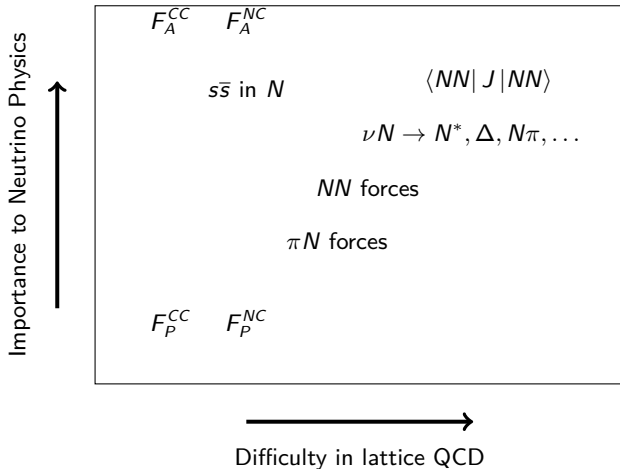
- ▶ Precise determinations of nucleon form factors are an essential part of the long-baseline neutrino oscillation program
- ▶ Dipole shape **underestimates uncertainties** in free-nucleon cross sections
- ▶ Need robust determination of nucleon amplitudes with realistic errors to determine impact on future neutrino oscillation experiments
  
- ▶ z Expansion parameterization is consistent with QCD and sufficiently general to give **realistic uncertainty estimates**
- ▶ **Lattice QCD** can access nucleon form factors from first principles
- ▶ Growing interest in neutrino physics in lattice community, can expect many new results in upcoming years
- ▶ Improvements in methodology for our own lattice computation of  $g_A$ , more precise computation expected in near future

Thanks for listening!

# Backup



# Calculations of Interest



# $g_A$ Problem

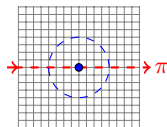
Why is  $g_A$  so difficult?

- ▶ Signal-to-Noise Grows Exponentially (Lepage, TASI 1989)

- ▶ Signal  $\propto \langle \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} \rangle \sim e^{-M_n t}$ , noise<sup>2</sup>  $\propto \langle \left| \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} \right|^2 \rangle = \langle \begin{array}{c} \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} \rangle \sim e^{-3m_\pi t}$
- ▶ Noise gets contribution from 3-pion term

- ▶ Finite size effects

- ▶ self-interaction via  $\pi$ s which wrap around periodic BC



- ▶ Excited state contamination

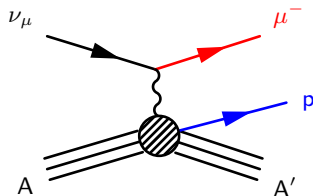
- ▶ Operators couple to ground state + excited states
- ▶ Requires fitting  $\sum_n e^{-E_n t}$  for many  $n$
- ▶ Rotation/translation symmetry broken by lattice

# Nuclear Effects

Nuclear effects not well understood

→ Models which are best for one measurement  
are worst for another

Need to break  $F_A$ /nuclear model entanglement

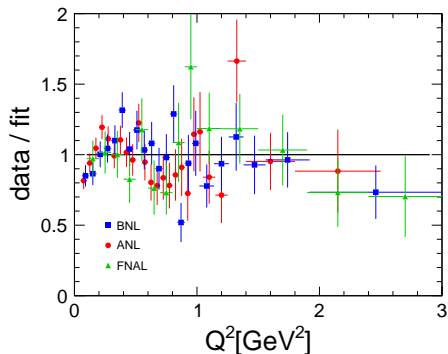


(assumed  $m_A = 0.99$  GeV)

NuWro Model ( $\chi^2$ /DOF)	RFG [GENIE]	RFG+ TEM	assorted others
leptonic(rate)	3.5	2.4	2.8-3.7
leptonic(shape)	4.1	1.7	2.1-3.8
hadronic(rate)	1.7[1.2]	3.9	1.9-3.7
hadronic(shape)	3.3[1.8]	5.8	3.6-4.8

(Minerva collaboration, 1305.2243,1409.4497[hep-ph])

# Residuals



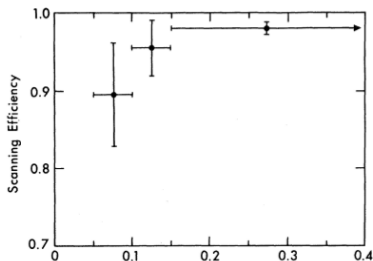
Neither  $z$  expansion, nor dipole can properly explain shape of data

Difficult to extract form factor from scattering data,  
uncontrolled systematics introduced in process

# Acceptance Corrections

Acceptance correction for fixing errors from hand scanning  
 $Q^2$  dependent correction, correlated between bins:

$$\frac{dN}{e(Q^2)} \rightarrow \frac{dN}{e(Q^2) + \eta de(Q^2)}, \quad \eta = 0 \pm 1$$



For ANL, BNL, FNAL respectively,  $\eta = -1.9, -1.0, +0.01$ ; minimal improvement of goodness of fit

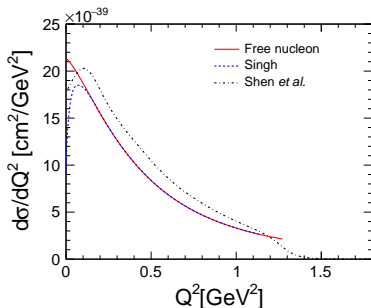
# Deuterium Corrections

Corrections assumed to be  $E_\nu$  independent

Two corrections tested:

Singh Nucl. Phys. B 36, 419,

Shen 1205.4337 [nucl-th]

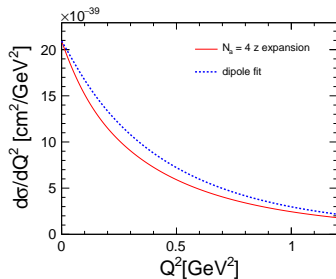
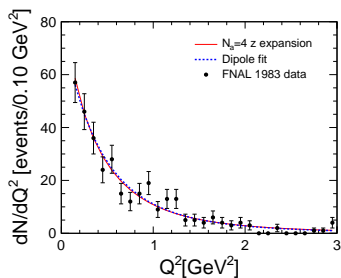


Central values of Shen, Singh are consistent with each other

Final fit done with Singh, inflated error bars

# Normalization Degeneracy

Despite apparent similarity of dipole/ $z$  expansion cross sections, form factors quite different



Consequence of self-consistency: cross section prediction

$$\frac{dN}{dE} \propto \frac{1}{\sigma} \frac{d\sigma}{dQ^2}$$

Cut of low- $Q^2$  data & floating normalization hide cross section differences