



Deep Neural Networks for Energy and Position Reconstruction in EXO-200

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Double Beta Decay and the EXO-200 Experiment

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The EXO-200 experiment is designated for the search of double beta decay, in particular the neutrinoless mode



Two neutrino mode is a rare but allowed Standard Model process

Neutrinoless mode can only happen if neutrinos are massive Majorana particles. The observation of this decay allows to determine absolute mass scale



Double Beta Decay and the EXO-200 Experiment

Located at the Waste Isolation Pilot Plant, Carlsbad NM

1585 m.w.e. overburden Muon rate at this depth measured to be ~3.10⁻⁷ s⁻¹cm⁻²sr⁻¹ Low levels of U/Th (compared to rock) and Rn

200 kg of Xenon enriched to 80.6% in Xe-136

High Q-value above most γ backgroundsProvides self shieldingCurrently using ~100 kg as fiducial volume

Time Projection Chamber inside Cu cryostat

Split in two equal halves with common cathode

U- and V-wires for charge collection and APDs for light collection

All materials have been screen for radio-purity





Ionization and scintillation signals measured simultaneously







Most recent $2\nu\beta\beta$ and $0\nu\beta\beta$ measurements

Most recent $2\nu\beta\beta$ and $0\nu\beta\beta$ measurements

Data taking is split into 2 phases

Phase I: Sept 2011 - Feb 2014 Phase II: Jan 2016 - (2018)

- Electronics upgrade to lower APD noise
- Installation of de-radonator
- Increase drift field from 380 V/cm to 567 kV/cm

Analysis upgrades

Cosmogenics background reduction by 23% by introducing 19.1 min muon veto cut Incorporation of transverse electron diffusion in simulation Multivariate β - γ discriminator combining topological variables in a BDT

Combine Phase I and Phase II for a total exposure of 177.6 kg y

Simultaneous Maximum Likelihood fit in Energy_{SS} + Energy_{MS} + BDT_{SS}



EXO-200 status

EXO-200 status

1,600

Live time (days)



WIPP issues are mostly the limiting factor

1,200 800 400 0 2012 2013 2014 2015 2016 2017 2018 Colden

Other

After electronics upgrade and increase in drift field, energy resolution is improved and stable

Projected sensitivity until the end of run

Increases faster than simple sqrt(exposure) scaling due to analysis improvements

Data limits are subject to background fluctuations

End of run exposure smaller than originally projected

due to less data taking

Data taking is ongoing

We try to maximize up-time



The use of deep learning has impacted many areas and yielded remarkable results and it has found it's way into fundamental science including particle physics

Main advantage over classical method: Usage of all available information in raw data without making any assumptions on how the signal should look like



Replace these modules by complex learnable model (neural network)

A neural network consists of many learnable parameters

Training done by minimizing discrepancy between truth information and predicted output by back propagation



Charge-only energy reconstruction

Energy reconstruction from raw charge waveforms of charge collection (U) wires

Training on Monte Carlo events

100 epochs with ~750,000 events Single and multiple scatters in LXe With real noise sampled from detector Uniform energy distribution

Event images are fed to deep convolutional neural

network



Ionization Scintillation

Charge-only energy reconstruction

The importance of training on uniform data distribution

Uniform energy spectrum proved crucial for training

Otherwise overtraining on sharp MC training peaks

Shuffles independent validation events towards sharp peaks from training





Charge-only energy reconstruction

Reconstruction works over the energy range under study

Residuals w/o energy dependent features

Resolution (σ) at the ²⁰⁸Tl full absorption peak (2615 keV):

DNN: 1.22% (SS: 0.94%) EXO-200 Recon: 1.29% (SS: 1.15%)

Network outperforms in disentangling mixed induction and collection signals (see valley before ²⁰⁸TI peak)





Charge-only energy reconstruction

Works on real calibration events over the energy range under study

Residuals w/o energy dependent features

Resolution (σ) at the ²⁰⁸Tl full absorption peak when combining with light channel from EXO-200 reconstruction:

DNN: 1.65% (SS: 1.50%) EXO-200 Recon: 1.70% (SS: 1.61%)





Position reconstruction from scintillation light

Event position reconstruction from scintillation light Truth label provided by ionization information of real data Input are all 74 raw APD waveforms cropped to 350µs



Position reconstruction from scintillation light

Waveform image is fed to convolution neural network (CNN) consisting of 4 convolutional layers and 3 fully connected layers

Output has three units corresponding to event position in x-, y-, z-coordinate

Loss function is Euclidean loss with L2 regularization

$$L = C + \lambda \cdot R, \quad \text{where} \quad C = \frac{1}{3m} \sum_{t=1}^{m} \sum_{k=1}^{3} \left(y_t^k - \hat{y}_t^k \right)^2$$



Training is done on real calibration data with uniform distribution in both space and energy



Position reconstruction from scintillation light

Loss function reaches 200mm² after training the DNN for 200 epochs The corresponding resolution in 3D is 25mm The model is tested on independent source data at different locations Performance limit is given by deviation of truth labels (position from ionization) measured to be $\sigma_{3D} = 3mm$

Similar accuracies achieved for different source types and positions



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Accuracy: 22.5mm ($d_x = 13.6$ mm, $d_y = 11.3$ mm, $d_z = 8.1$ mm) corresponding to R² = 0.99



Position reconstruction from scintillation light

The model is also applied to a test set manually constructed by applying tight fiducial cut of 50mm x 50mm x 50mm at position x = 100mm, y = 0mm, z = 100mm

The accuracy reached is 13mm with $d_x = 8.3mm$, $d_y = 4.8mm$, $d_z = 6.2mm$



Summary

EXO-200 is in its final data taking period

The projected sensitivity is $5.2 \cdot 10^{25}$ yrs

Last Phase I + II analysis yields $0\nu\beta\beta$ half-live limit of >1.8 \cdot 10²⁵ yrs

EXO-200 has demonstrated the use of deep neural networks for the data analysis directly from raw data

Improved energy resolution compared to classical approach

DNNs are promising for direct event classification

Future experiments may benefit from such approaches in simplifying the processing of data and extraction of high level features