Case study in data and knowledge preservation: experiences from a user with ALEPH archived data

Yi (Luna) Chen — Vanderbilt University

WANDA 2025, Feb 12

In collaboration with Yu-Chen Chen (MIT), Yen-Jie Lee (MIT), Marcello Maggi (INFN Bari), Anthony Badea (UChicago), Austin Baty (UIC), Paoti Chang (NTU), Chris McGinn (MIT), Jesse Thaler (MIT), Gian Michelle Innocenti (MIT), Michael Peters (MIT), Tzu-An Sheng (MIT)

The Vanderbilt HENP group's work is supported by DOE-NP

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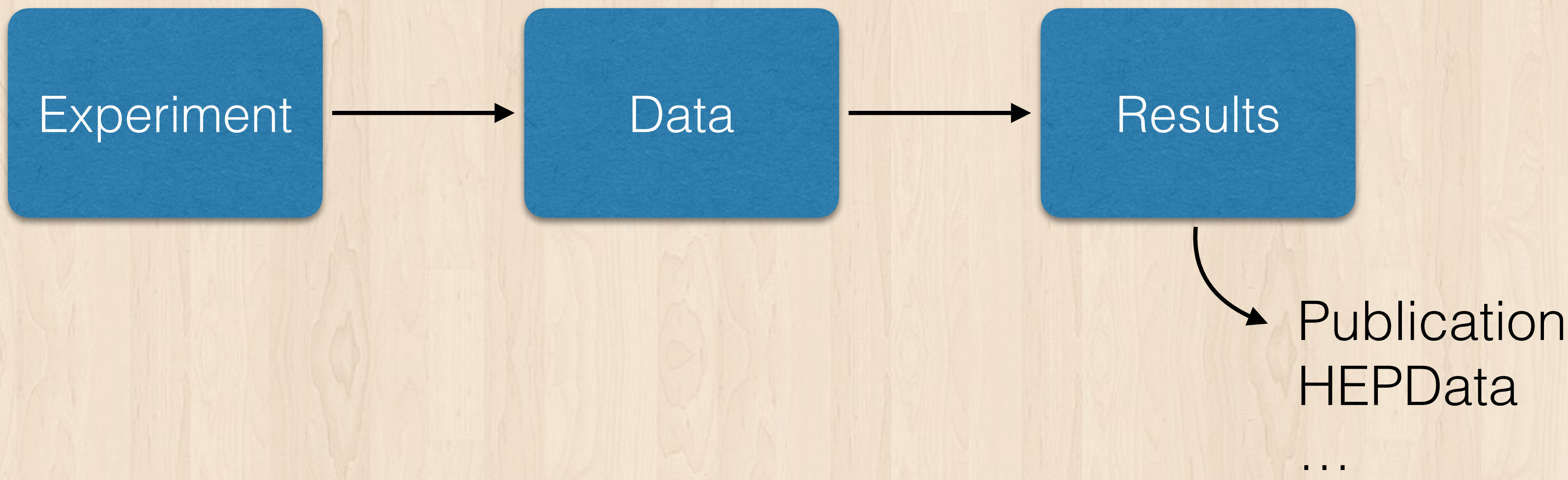
Archived data

Archived data

Typical lifecycle



Archived data

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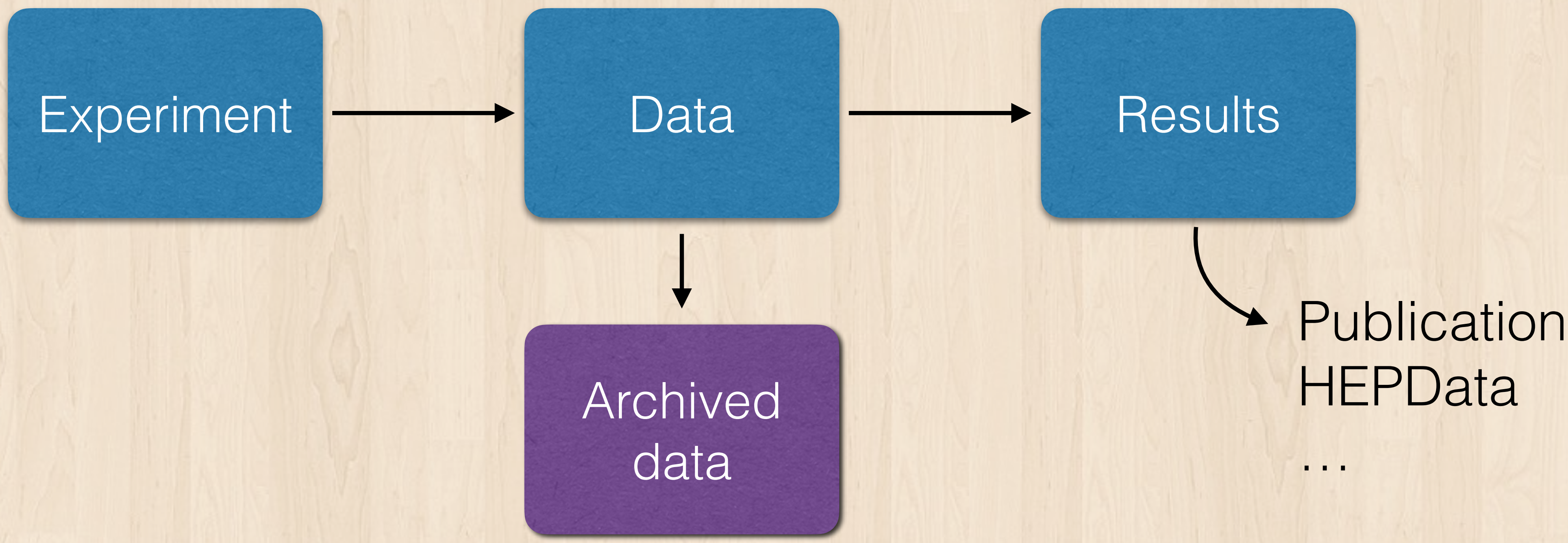
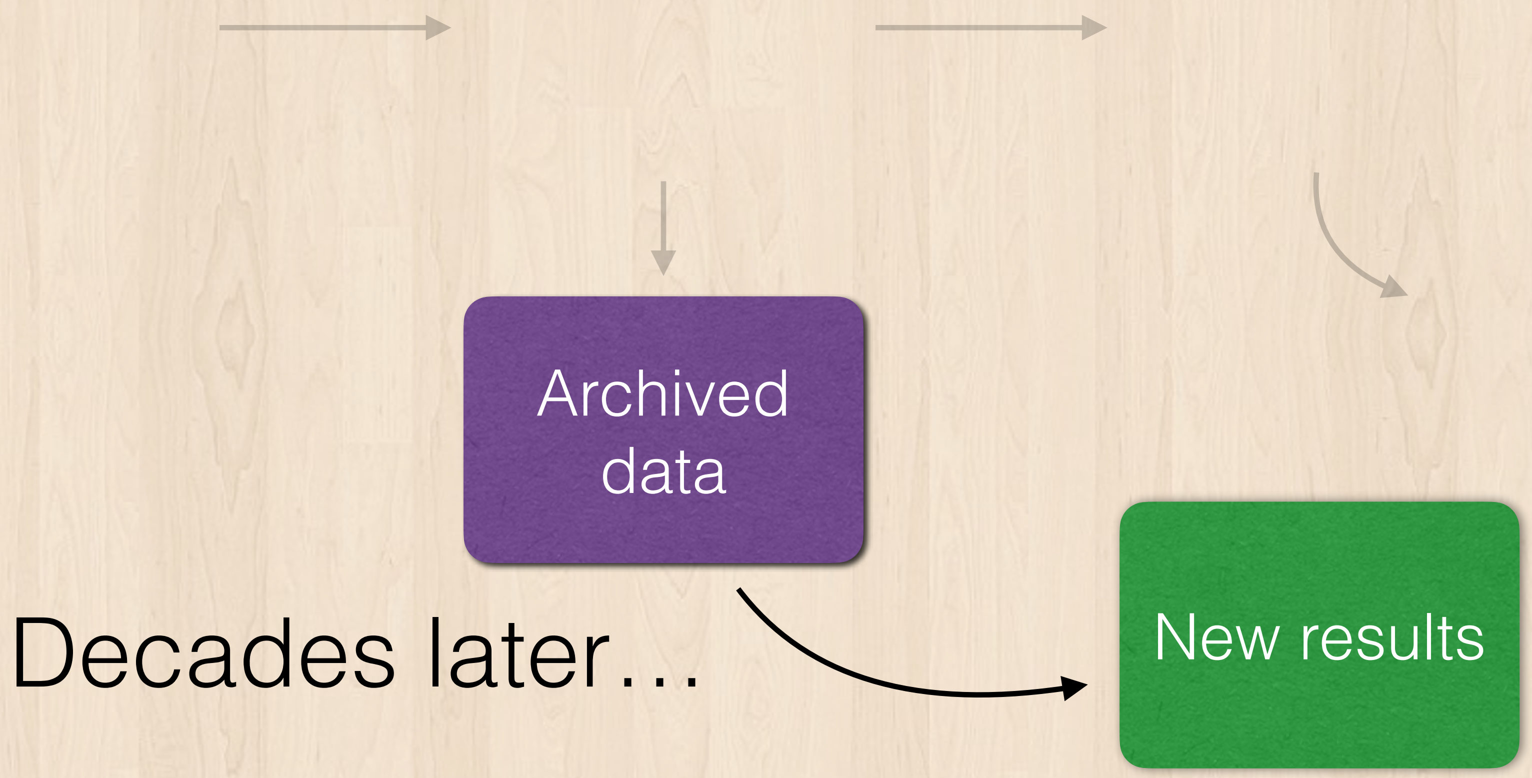


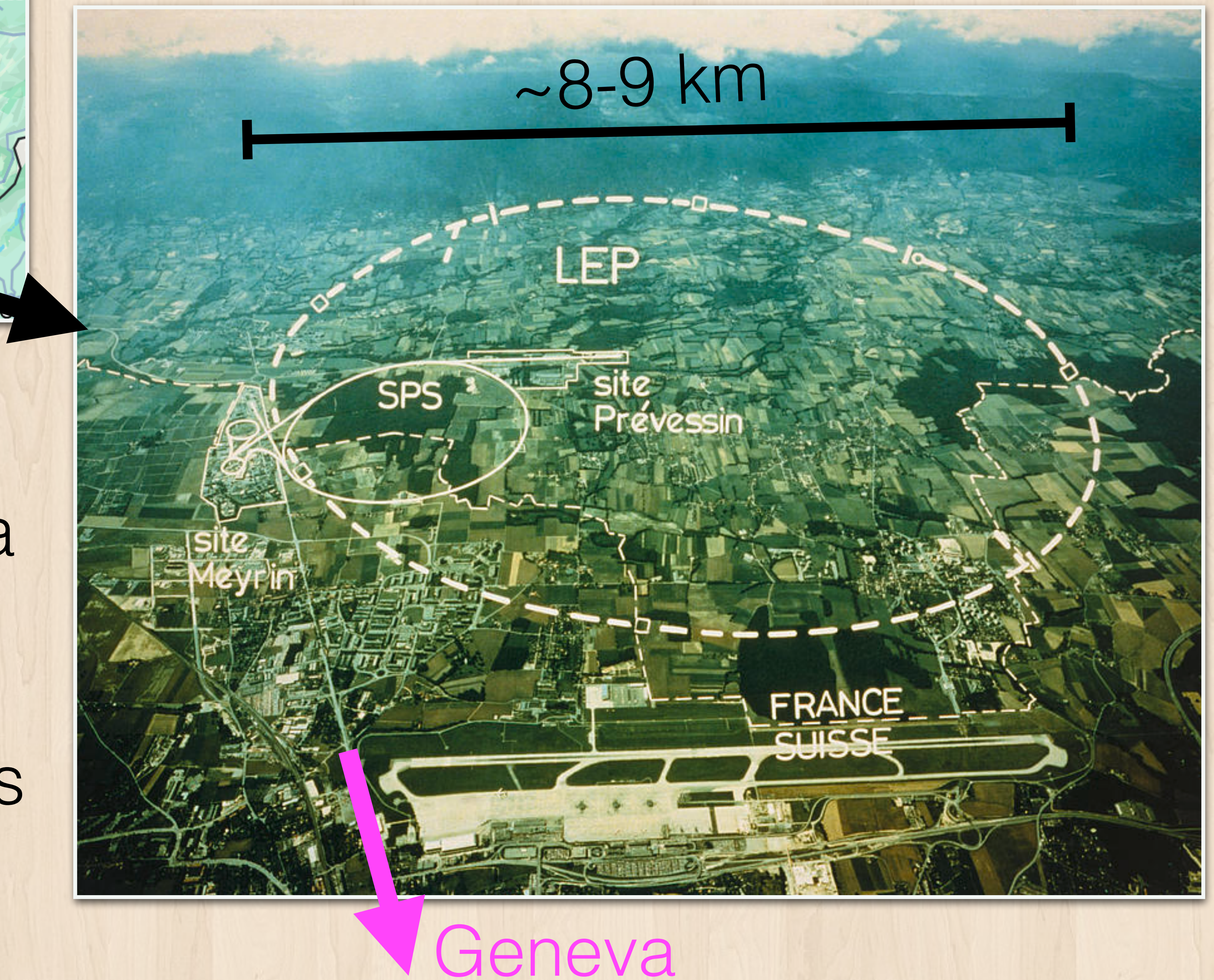
Archived data



Allows innovative ways to **reuse the data**

Way beyond the conclusion of the original experiment

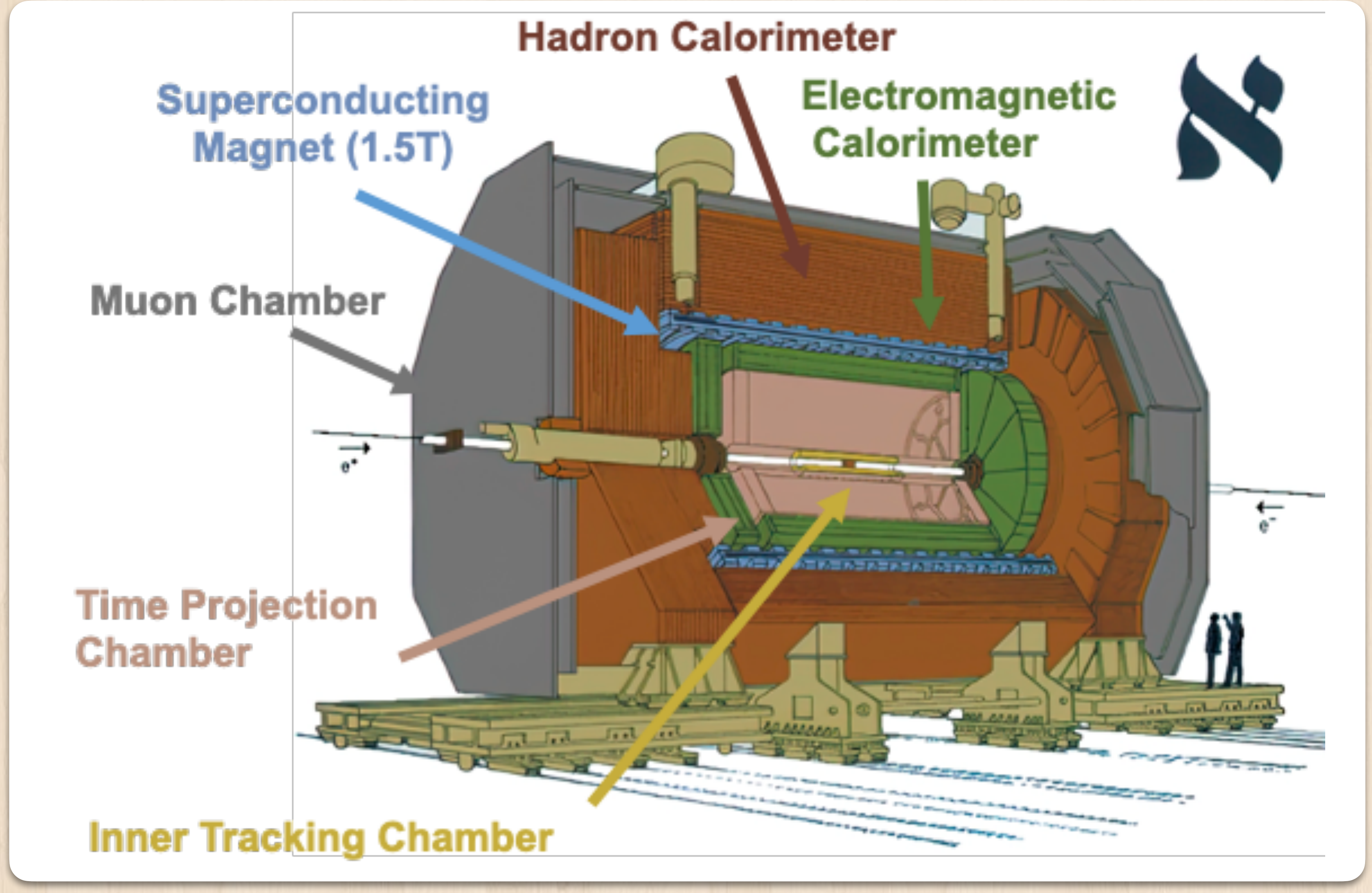
The Large Electron-Positron Collider

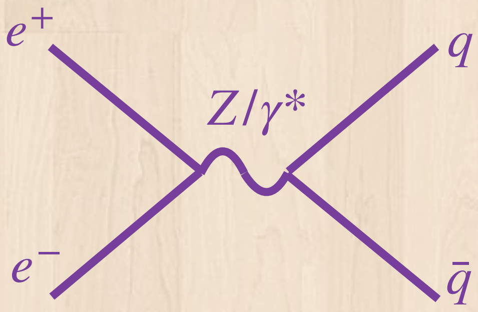


Close to Geneva
Switzerland

Operation: 1990s

High-energy e^+e^- collisions





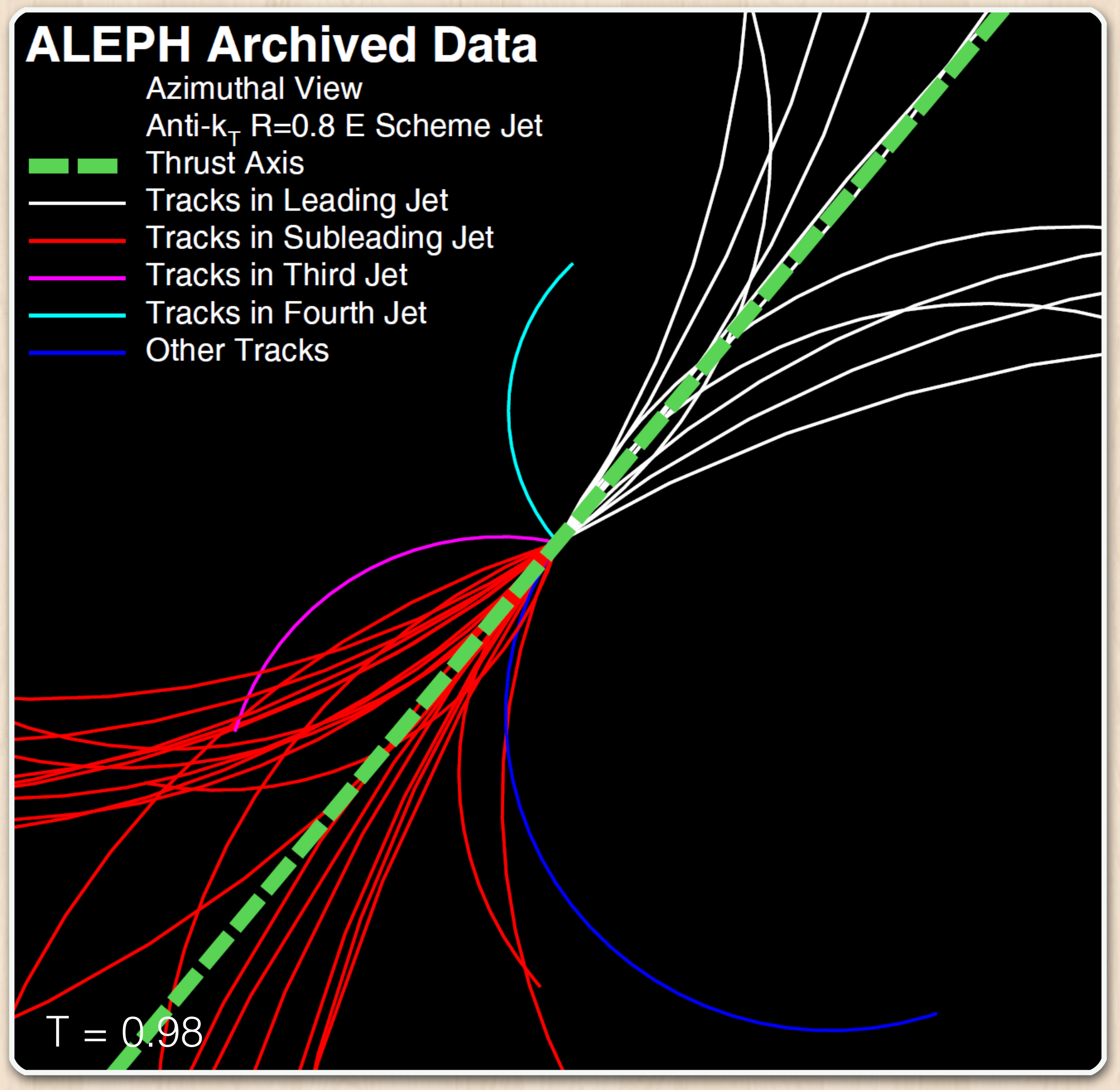
ALEPH
experiment

LEP-1 e^+e^- data taken at 91.2 GeV from 1992-1995

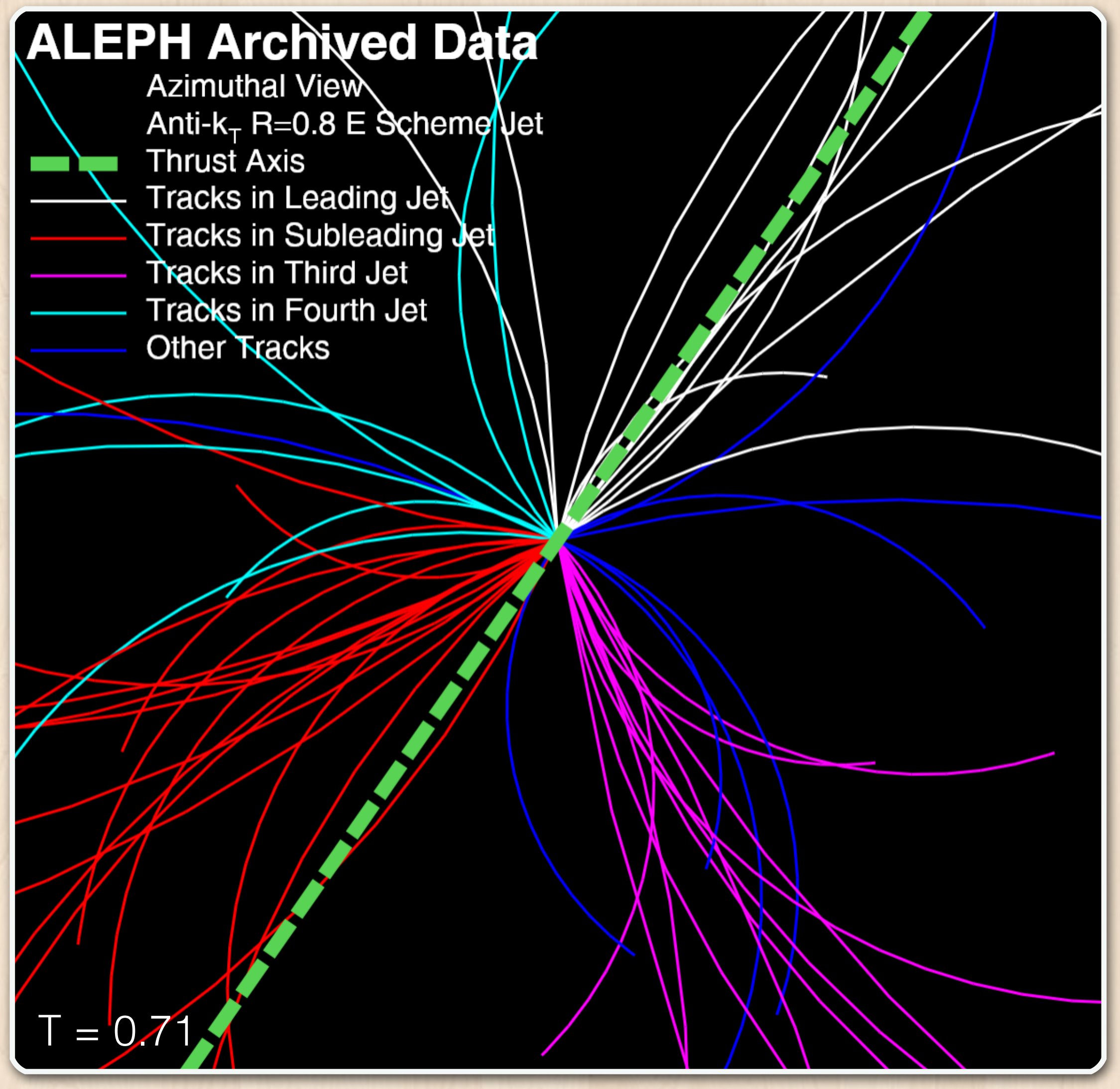
LEP-2 taken with higher energy up to 209 GeV

No new high energy e^+e^- experiment any time soon

Example collisions



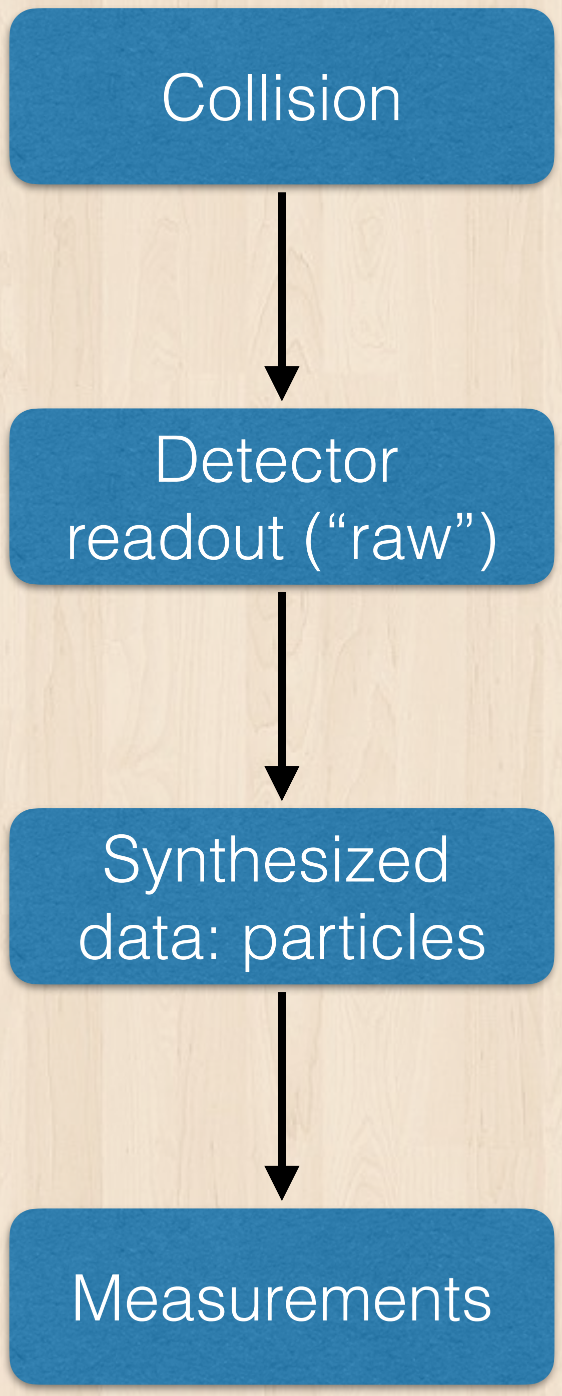
39 charged particles



55 charged particles

What is available?

Data and code involved

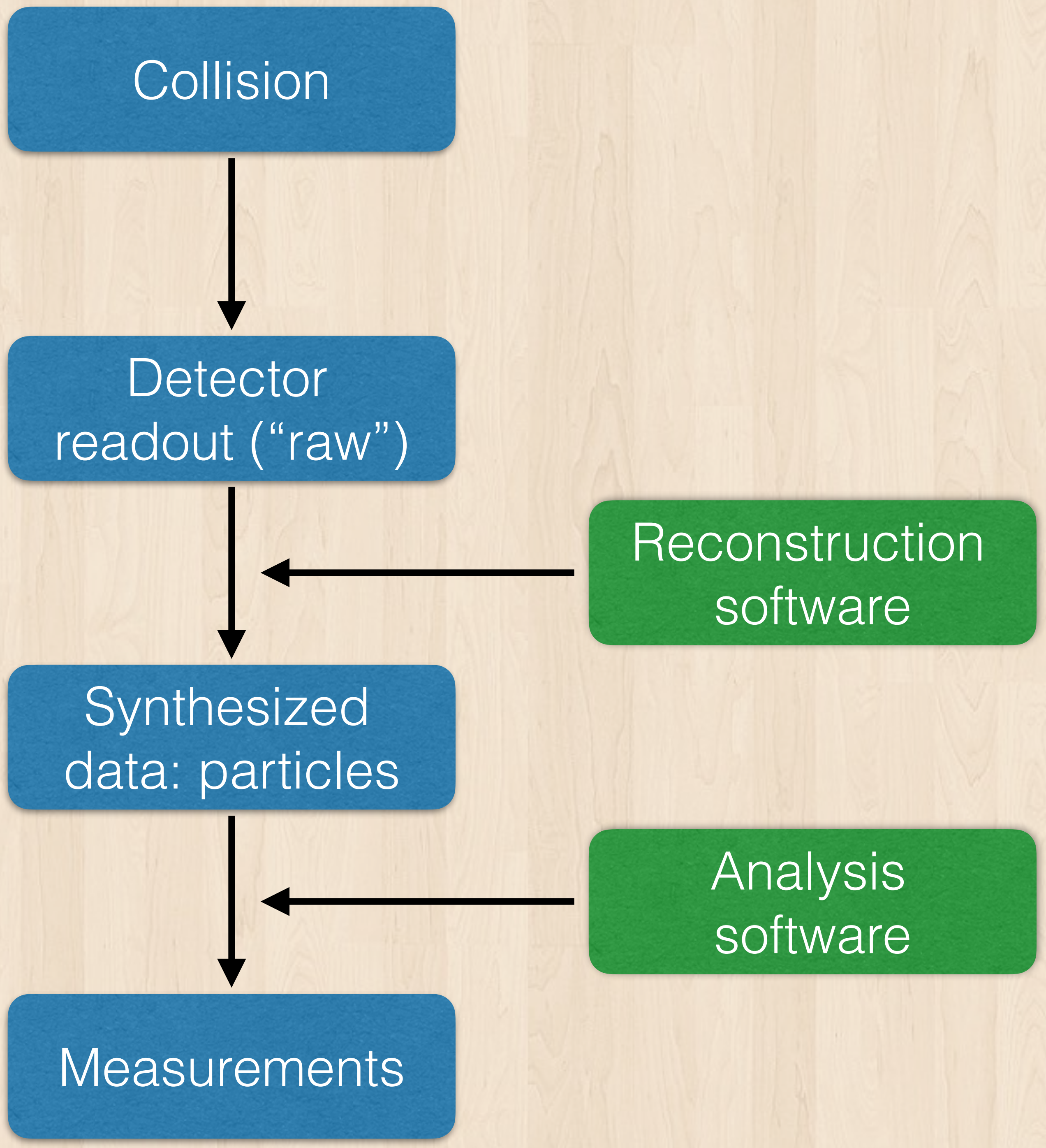


Raw readout from detectors: ADC count, pulse height, etc.

“There is an electron here in this collision!”

Final measurements: e.g. cross section is X b

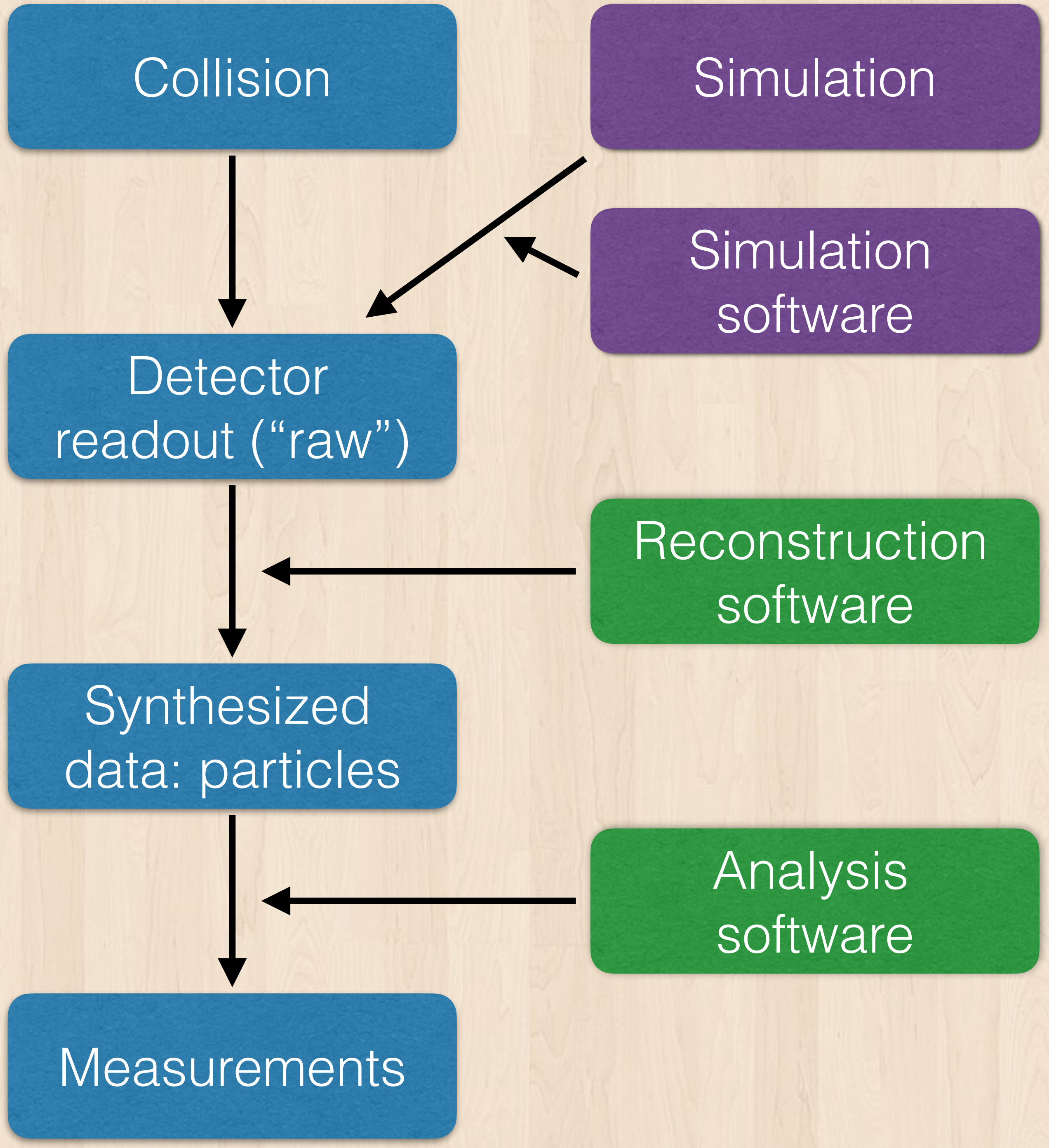
Data and code involved



From raw readout to easier-to-interpret forms

How to extract physics, uncertainties, aggregation, etc.

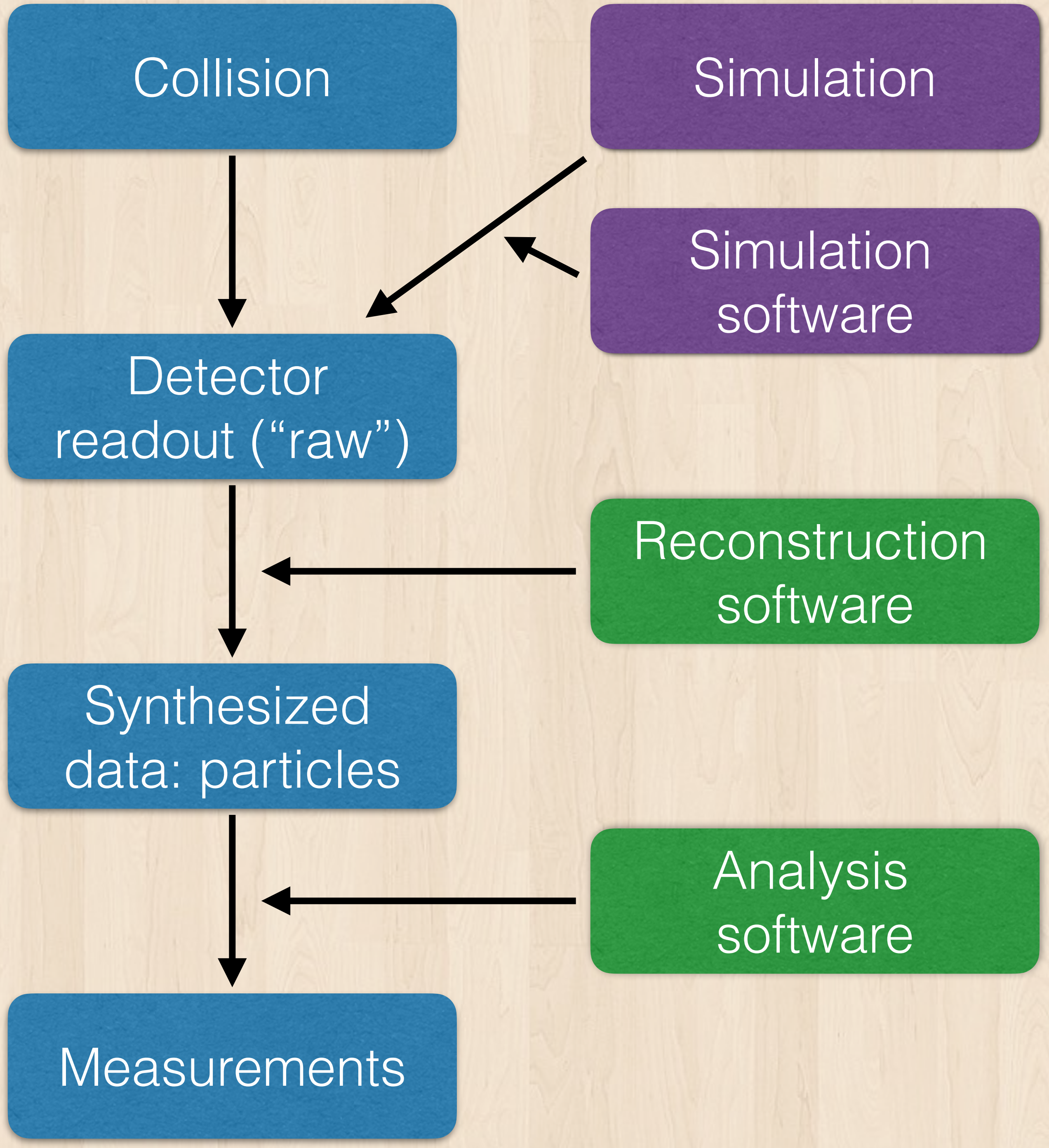
Data and code involved



Emulate detector output from simulated collisions

Necessary for understanding detector performance

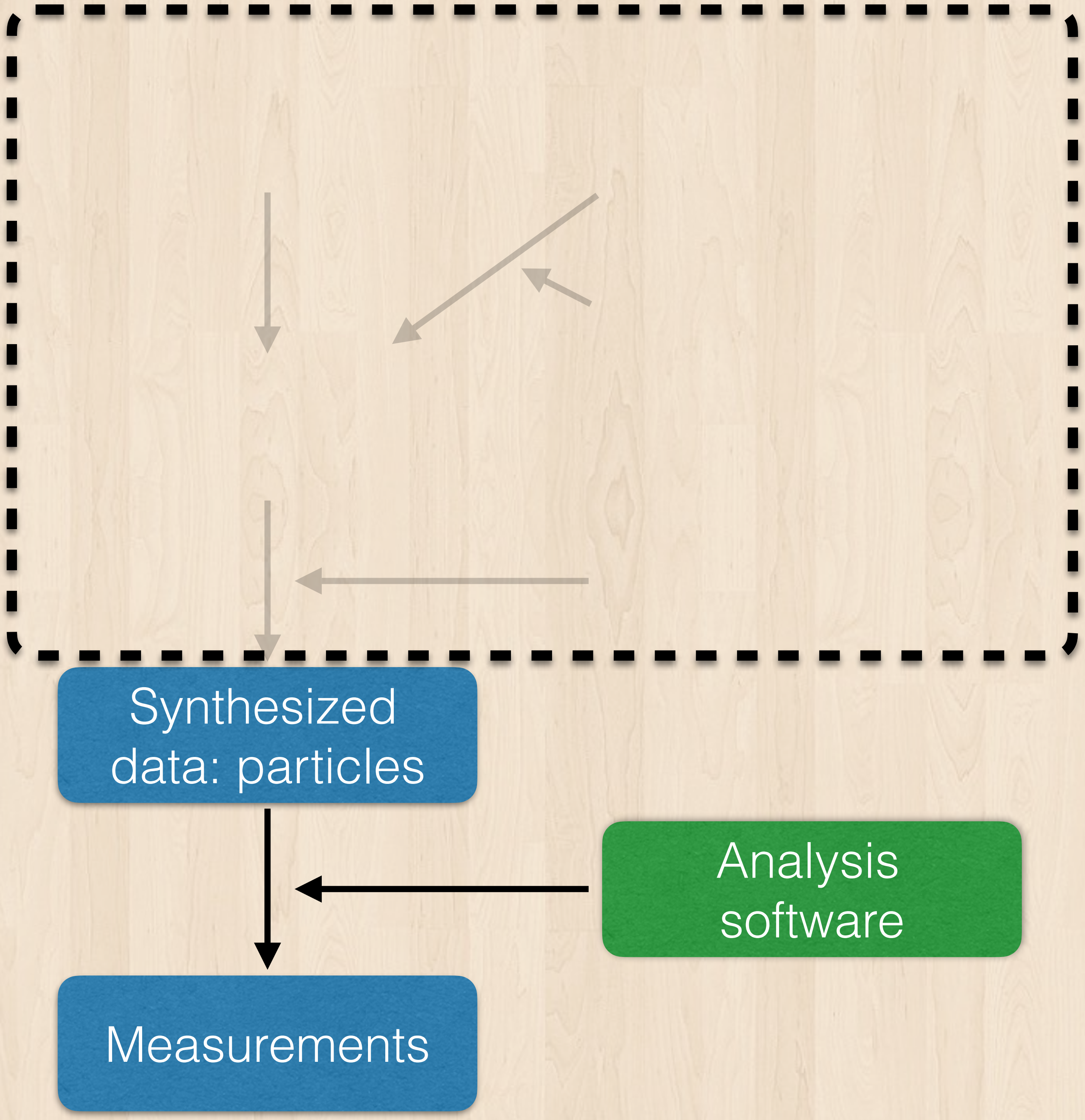
Data and code involved



These are all possible things one can archive and preserve

Now let’s see what’s there in ALEPH case

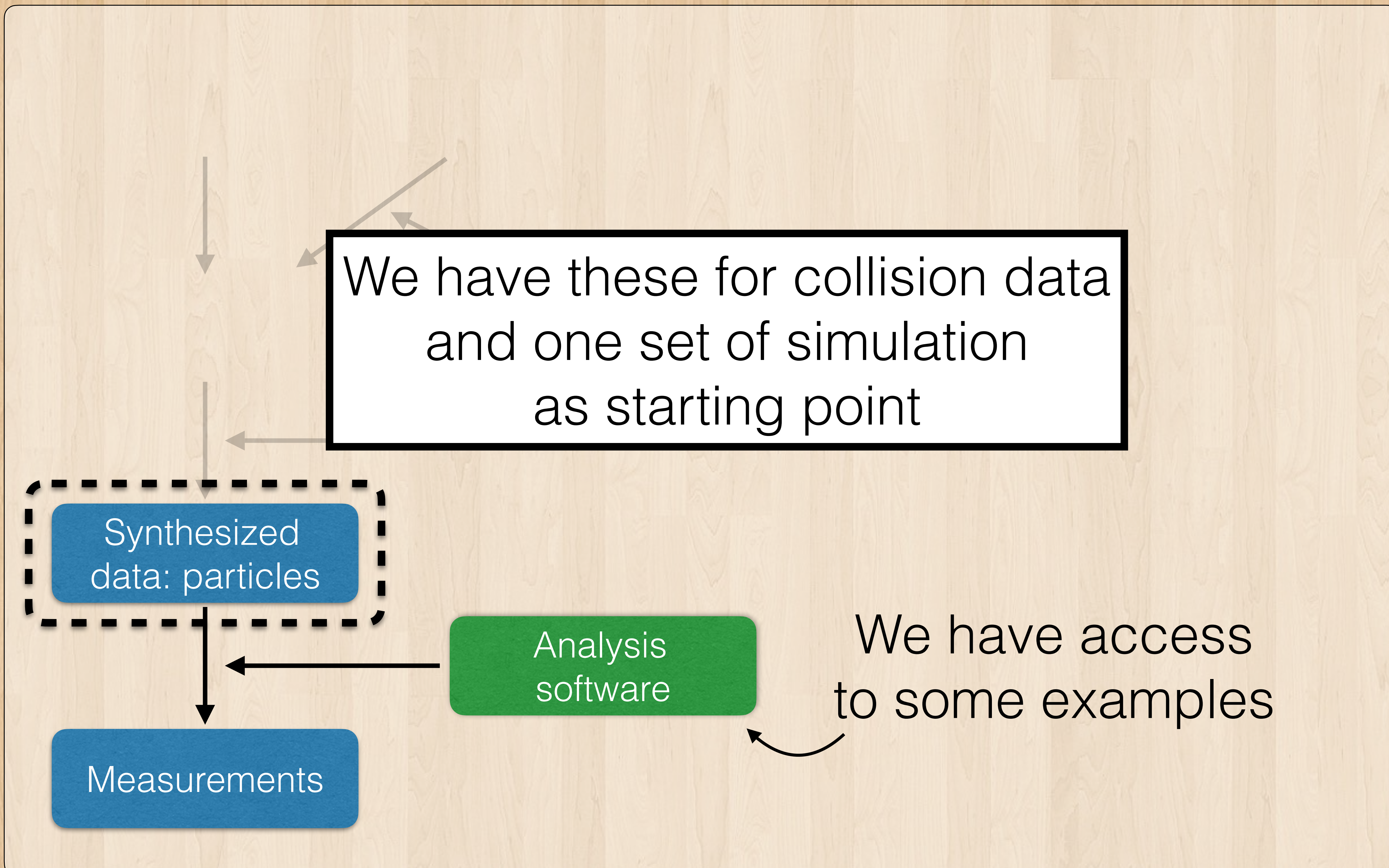
In the case of ALEPH data



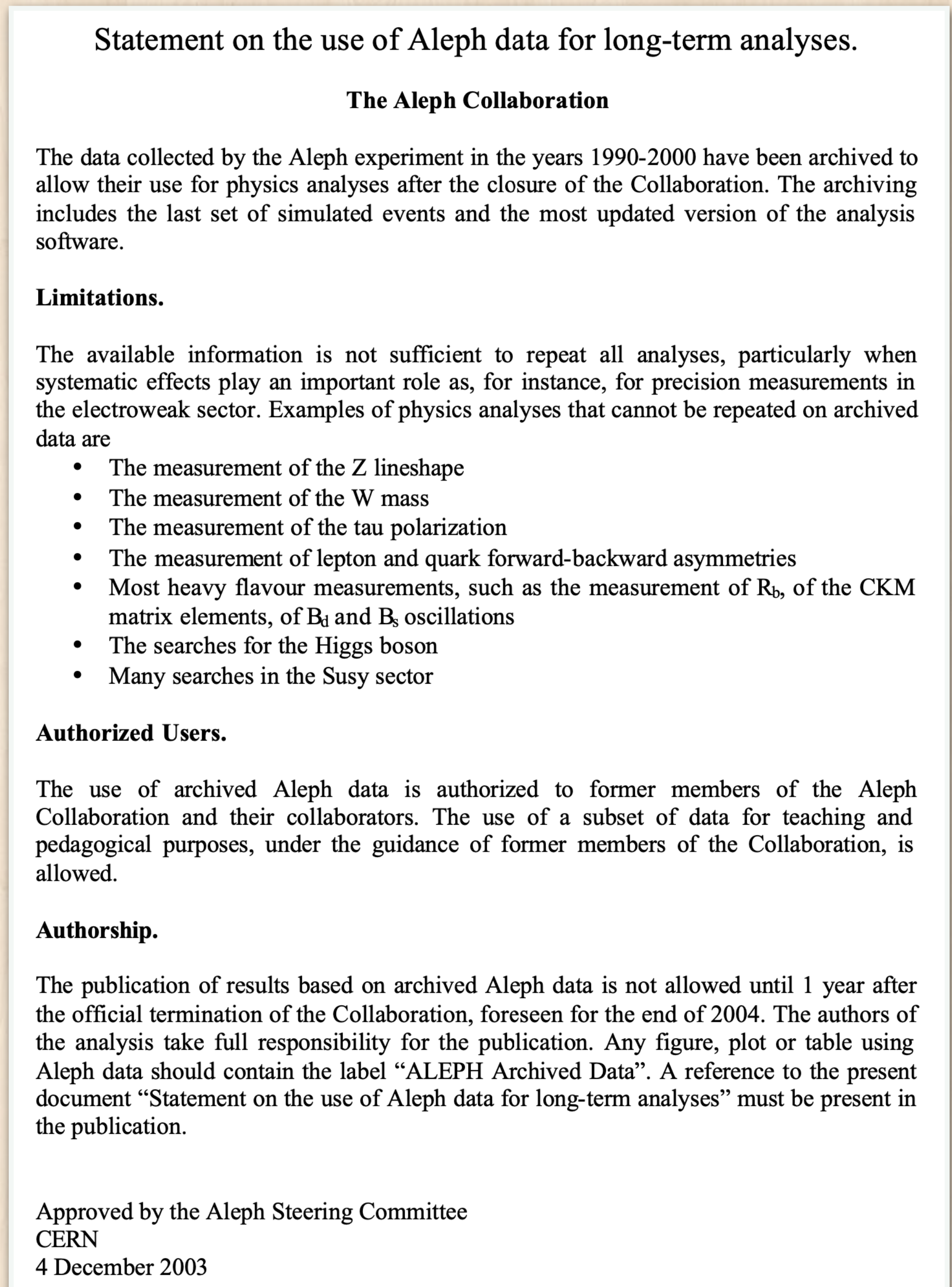
Need help from ALEPH members for some of this

(ie., knowledge)

In the case of ALEPH data



Data usage



Statement on the use of Aleph data for long-term analyses.

The Aleph Collaboration

The data collected by the Aleph experiment in the years 1990-2000 have been archived to allow their use for physics analyses after the closure of the Collaboration. The archiving includes the last set of simulated events and the most updated version of the analysis software.

Limitations.

The available information is not sufficient to repeat all analyses, particularly when systematic effects play an important role as, for instance, for precision measurements in the electroweak sector. Examples of physics analyses that cannot be repeated on archived data are

- The measurement of the Z lineshape
- The measurement of the W mass
- The measurement of the tau polarization
- The measurement of lepton and quark forward-backward asymmetries
- Most heavy flavour measurements, such as the measurement of R_b, of the CKM matrix elements, of B_d and B_s oscillations
- The searches for the Higgs boson
- Many searches in the Susy sector

Authorized Users.

The use of archived Aleph data is authorized to former members of the Aleph Collaboration and their collaborators. The use of a subset of data for teaching and pedagogical purposes, under the guidance of former members of the Collaboration, is allowed.

Authorship.

The publication of results based on archived Aleph data is not allowed until 1 year after the official termination of the Collaboration, foreseen for the end of 2004. The authors of the analysis take full responsibility for the publication. Any figure, plot or table using Aleph data should contain the label "ALEPH Archived Data". A reference to the present document "Statement on the use of Aleph data for long-term analyses" must be present in the publication.

Approved by the Aleph Steering Committee
CERN
4 December 2003

← Includes latest set of simulation & software

← Limitations: some analysis not allowed to prevent misuse

← Use only in collaboration with ALEPH members

← Publication only some time after conclusion of experiment

Reviving the data

What it takes to revive the data

2017

February: **Yen-Jie Lee** connected to **Gigi Rolandi** and later to spokesperson **Roberto Tenchini** about the use of archived data

Marcello Maggi help extract the energy flow information and archived simulation/data

Mid-2017: all samples converted to the MIT open-data format

Bibek Pandit & **Anthony Badea** (**Yen-Jie**'s undergraduate student) started working on event selection validation

Guenther Dissertori provided analysis code from the QCD paper

2018

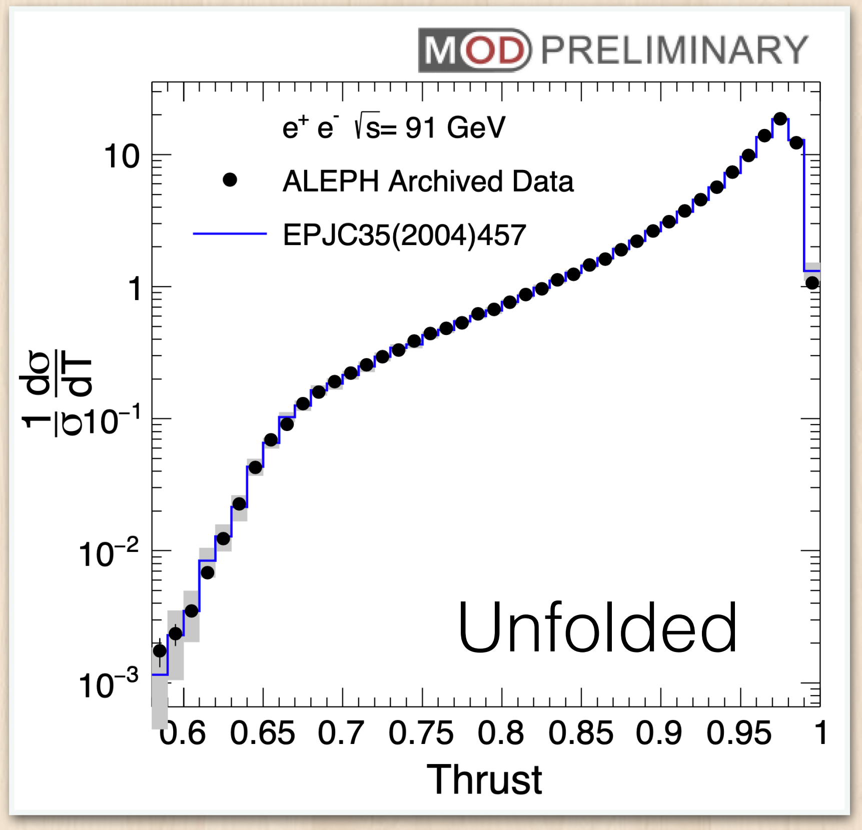
March: Successfully reproduced unfolded thrust distribution

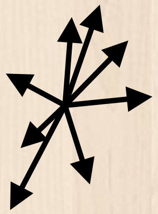
…

Takes 1 year to reach *basic* understanding of data

Reproducing published results

- Comprehensive data/MC comparisons
- Ultimate test of our understanding of the data
- Exact selection as QCD paper
- Thrust $T \equiv \max_{\hat{n}} \frac{\Sigma_i |\vec{p}_i \cdot \hat{n}|}{\Sigma_i |\vec{p}_i|}$
- Global event shape
  - Back to back dijet: T ~ 1





Keys in this process

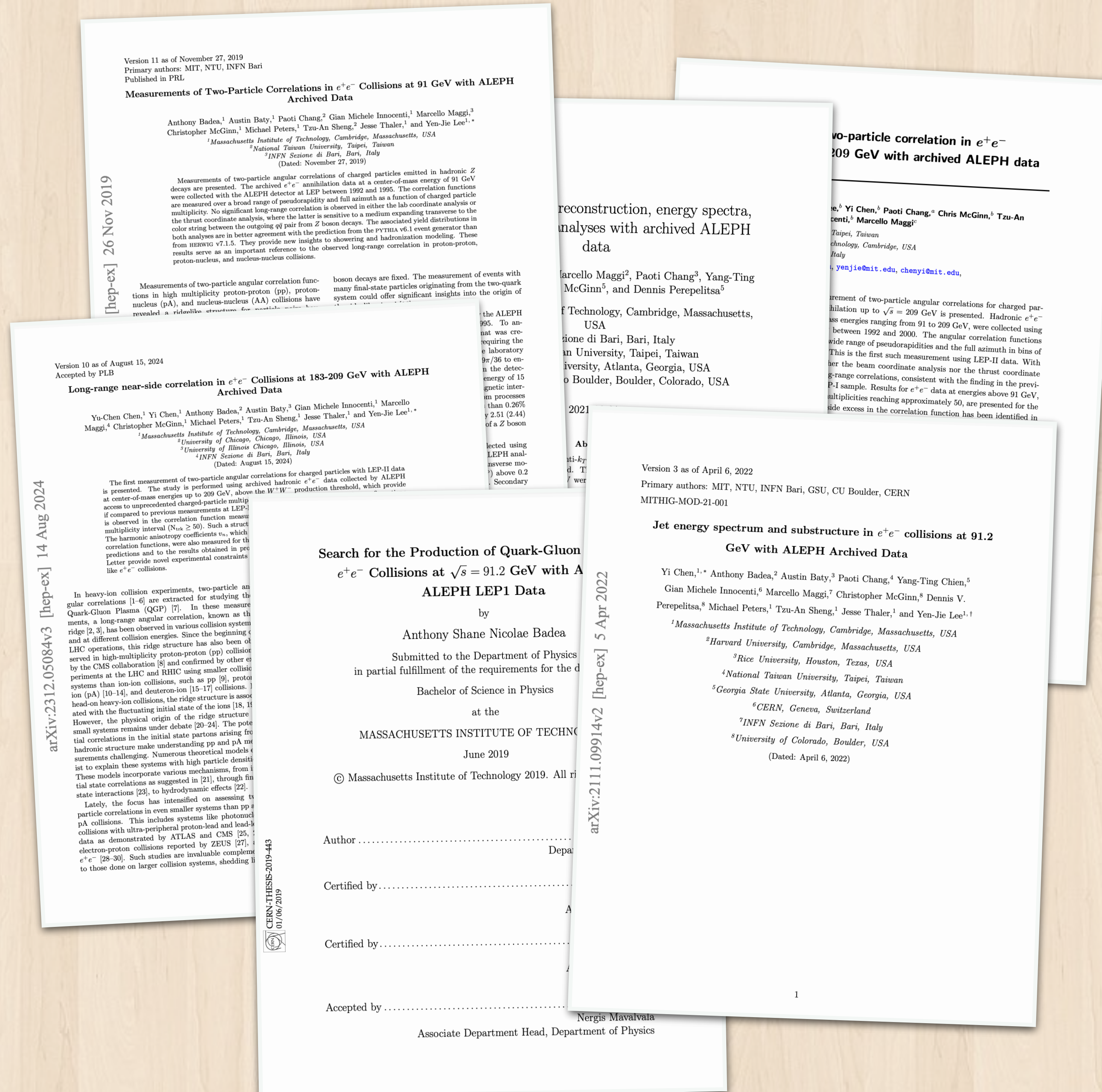
- Foresight from **ALEPH collaboration** for the data archival
- Incredible support from ALEPH members **Marcello Maggi, Roberto Tenchini, Gigi Rolandi, Guenther Dissertori** on the technical aspects and **knowledge**
- Many **bright young students** who dug into the data collected before they were born
- **Reproduction of published physics results** using identical event selections
- Development of **data-driven checks** to understand the data

What we have achieved

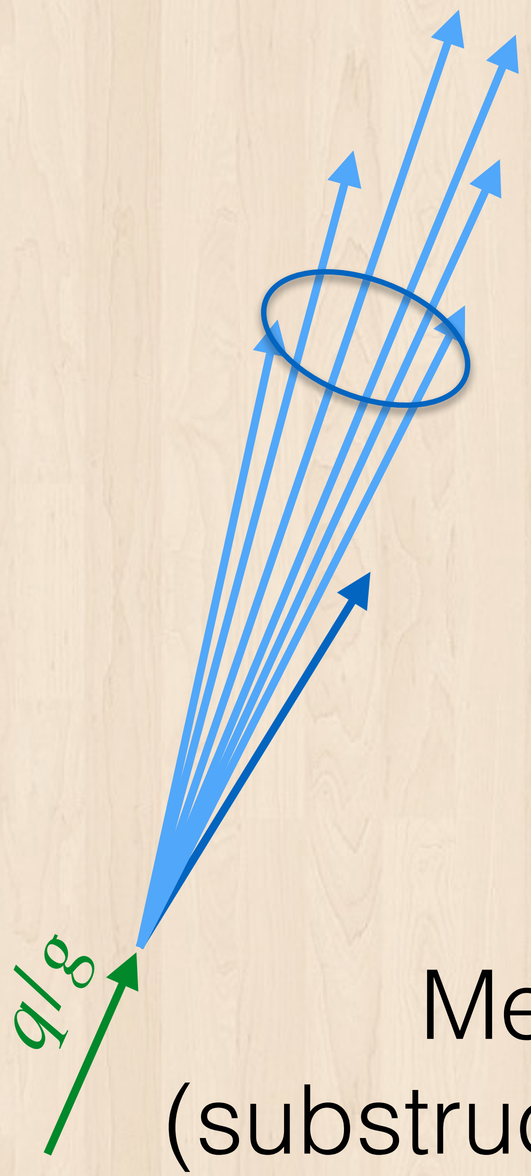
Example of things we did

We can do a lot already!

Measurement of **new things** not invented when the experiment is "live"

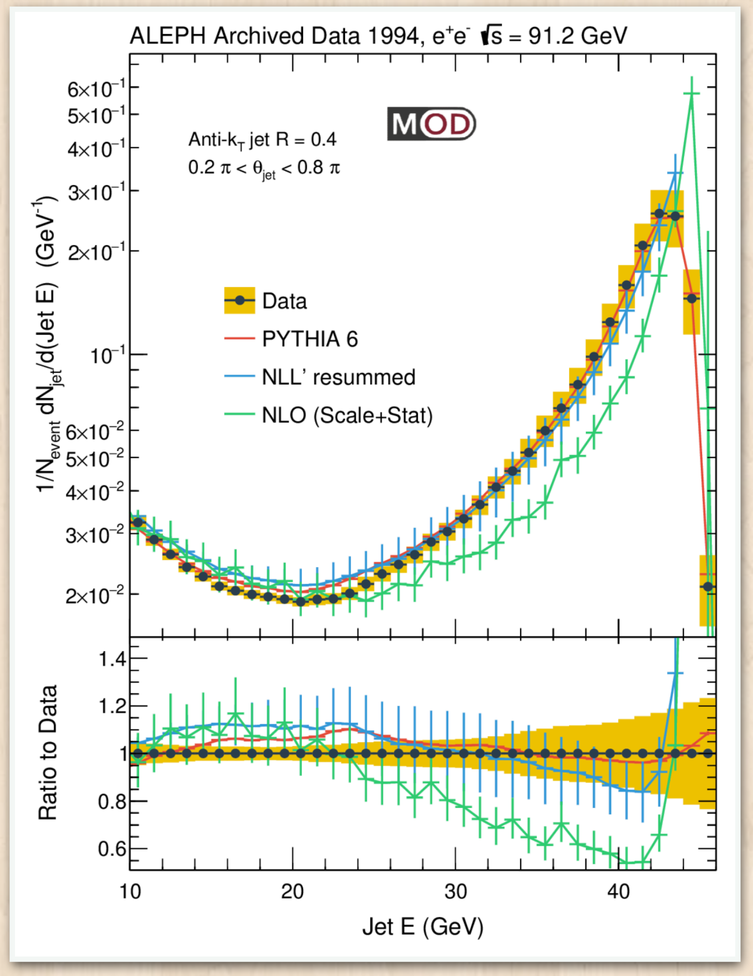


Specific example: anti-k_T jets



anti-k_T jets: cluster of particles with the anti-kt algorithm (c.a. 2008)

Derived calibration of jets and demonstrated O(0.5%) precision with **data-based methods**



Measured properties (substructure & spectrum) of jets

This is impossible to do without archived data

Many other studies ongoing

- Two-particle correlation: including with selections focusing on **different event topologies**

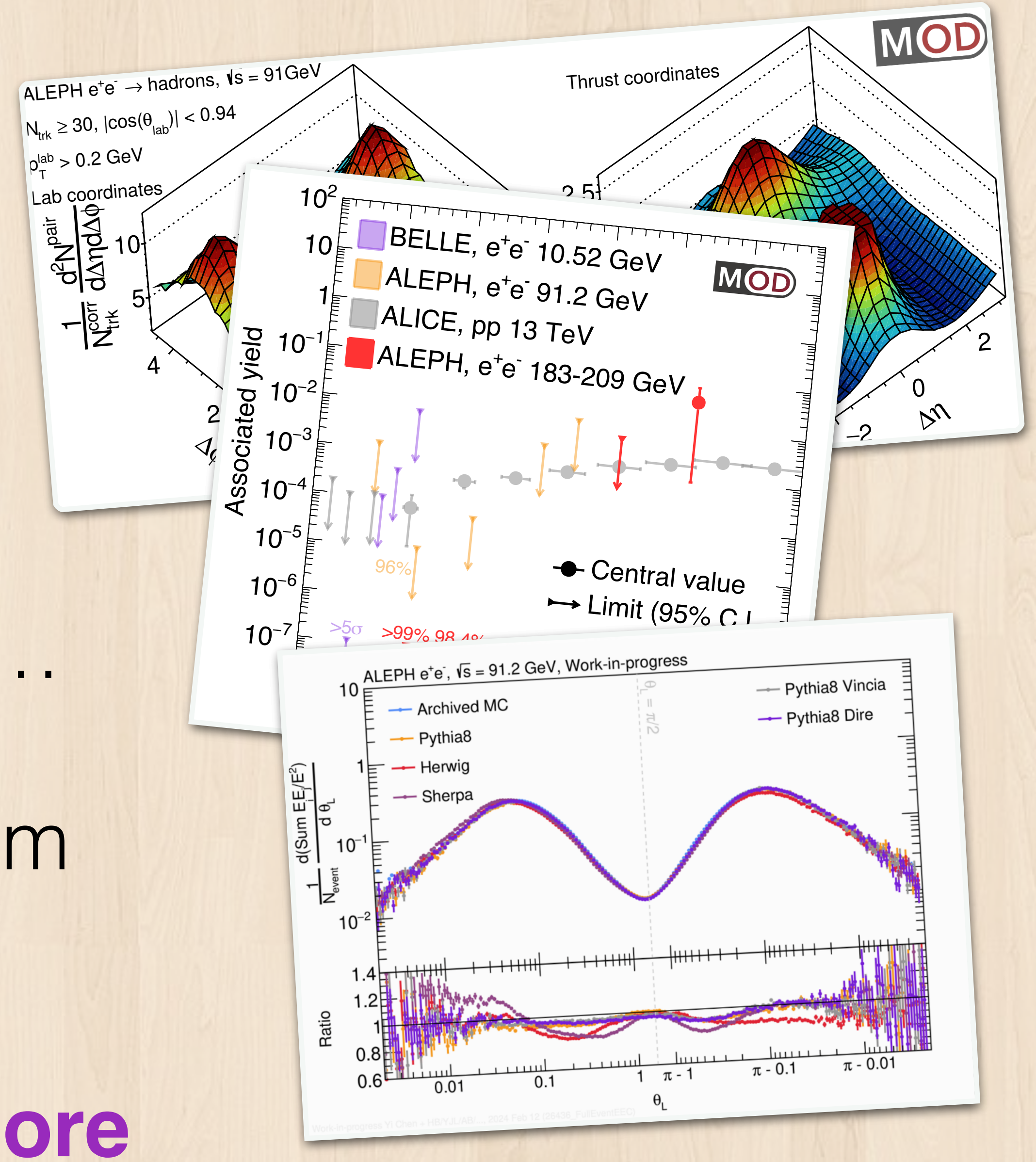
- Other efforts

    - Detailed measurement of **jet substructure** and properties

    - Energy-energy correlator, etc…

- **Testing ground** for new algorithm developments (e.g. EIC)

- **Huge amount of things to explore**



Experience from user

ie., me

Bottlenecks: one example

- Example: Particle identification information
- "**Particle identification score**": how likely a particle is a proton, kaon, pion, etc
  - Supposedly one select and study differentially
- Not immediately clear how to **control data/simulation differences** with this particle score
  - Need lower level information, and knowledge
- Not used so far in projects

Lessons learned as a user

- Mileage vary ***a lot*** depending on experiment (beyond ALEPH)
  - Make sense of the **format**: knowledge needed from members
  - Not easy to gain **control of stored information** — more lower-level information will be useful
  - Good to have more **sets of simulations** available (or easier-to-run software)
- Many lessons for current & future experiments
  - Enough information for end-to-end measurements?
  - Best to do some “**user tests**” for open data as we go

Summary

- Re-analysis of ALEPH **archived data**: multi-year effort
  - A lot of effort making sure we understand the data
  - Huge amount of help from ALEPH members
  - Lots of fun! 🤠
- A lot of food for thought for ongoing experiments
- Allows **new ideas** long after end of data-taking
  - **Greatly extending lifetime of experiment data**

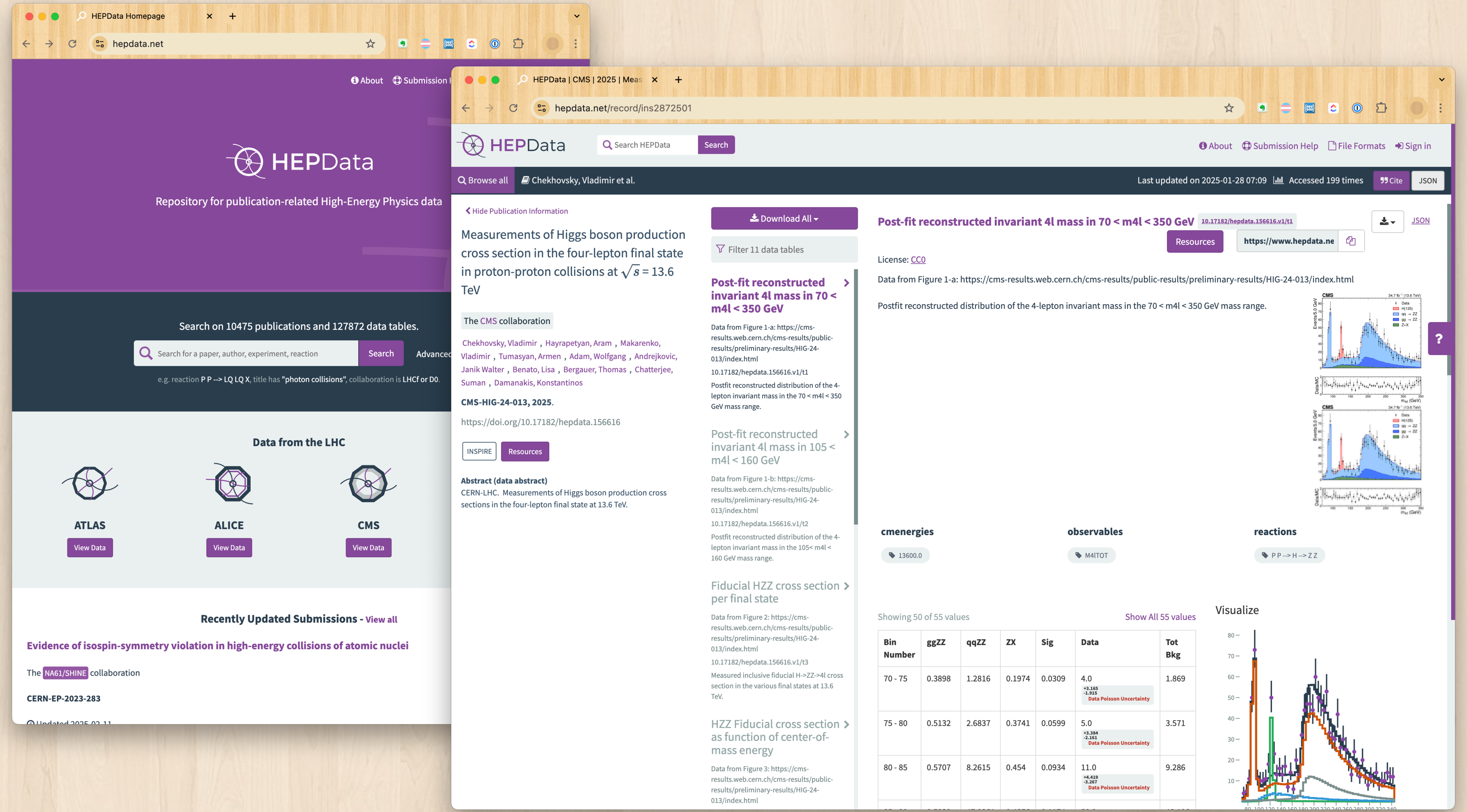
Thank you!

- We would like to thank Roberto Tenchini and Guenther Dissertori from the ALEPH collaboration for the useful comments and suggestions on the use of ALEPH archived data
- We would like to thank Felix Ringer, Jesse Thaler, Andrew Larkoski, Liliana Apolinário, Ben Nachman, Camelia Mironov, Wei Li, Wit Busza, Yang-Ting Chien, Jamie Nagle, Maxime Guilbaud, Jing Wang for the useful discussions on the analysis

Backup Slides Ahead

HEPData database

For final product + supplemental information



Revisiting the ALEPH Archived e^+e^- Data: Challenges, Lessons and Recent Result on an Intriguing Structure in Long Range Correlations in High Multiplicity e^+e^- Collisions

Yi Chen (Vanderbilt)

CERN EP Seminar, Mar 5, 2024

In collaboration with Yu-Chen Chen (MIT), Yen-Jie Lee (MIT), Marcello Maggi (INFN Bari), Anthony Badea (UChicago), Austin Baty (UIC), Paoti Chang (NTU), Chris McGinn (MIT), Jesse Thaler (MIT), Gian Michelle Innocenti (MIT), Michael Peters (MIT), Tzu-An Sheng (MIT)

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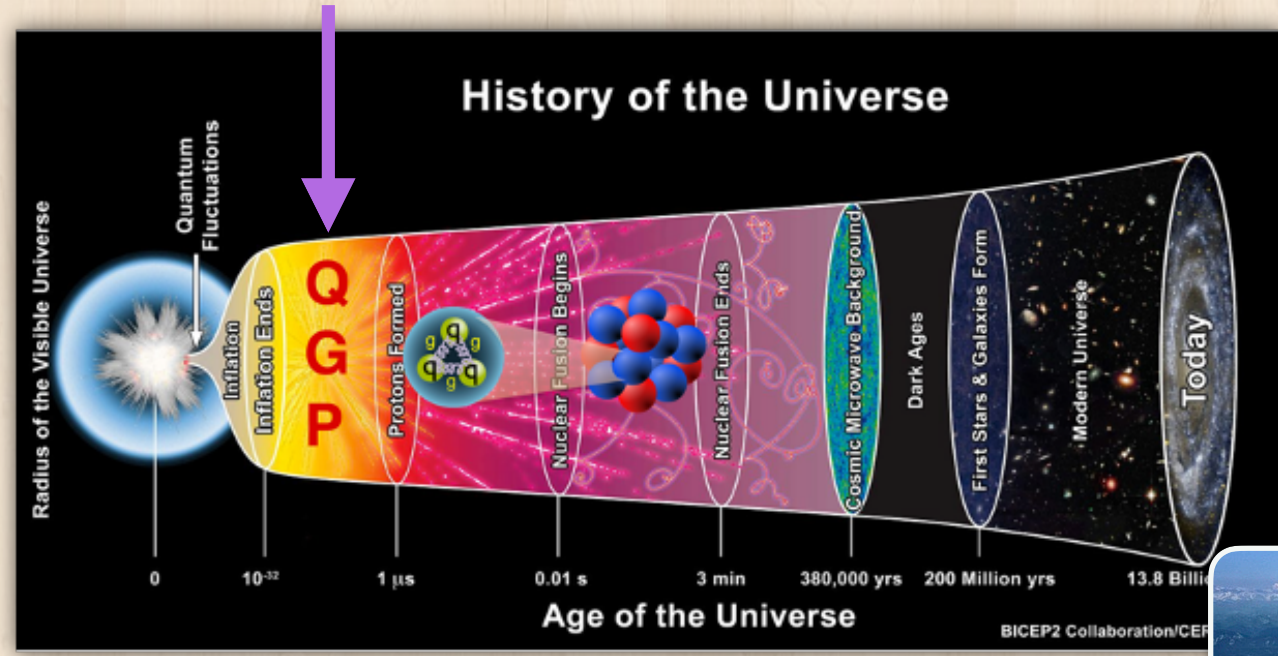
Outline

- Motivation
- Reanalysis effort on archived data
    - How it started and how it is going
    - Some lessons learned for open data
- Two-particle correlation analysis and results

Motivation:
Particle Correlations

Quark Gluon Plasma and Heavy-ion

The Quark-gluon plasma



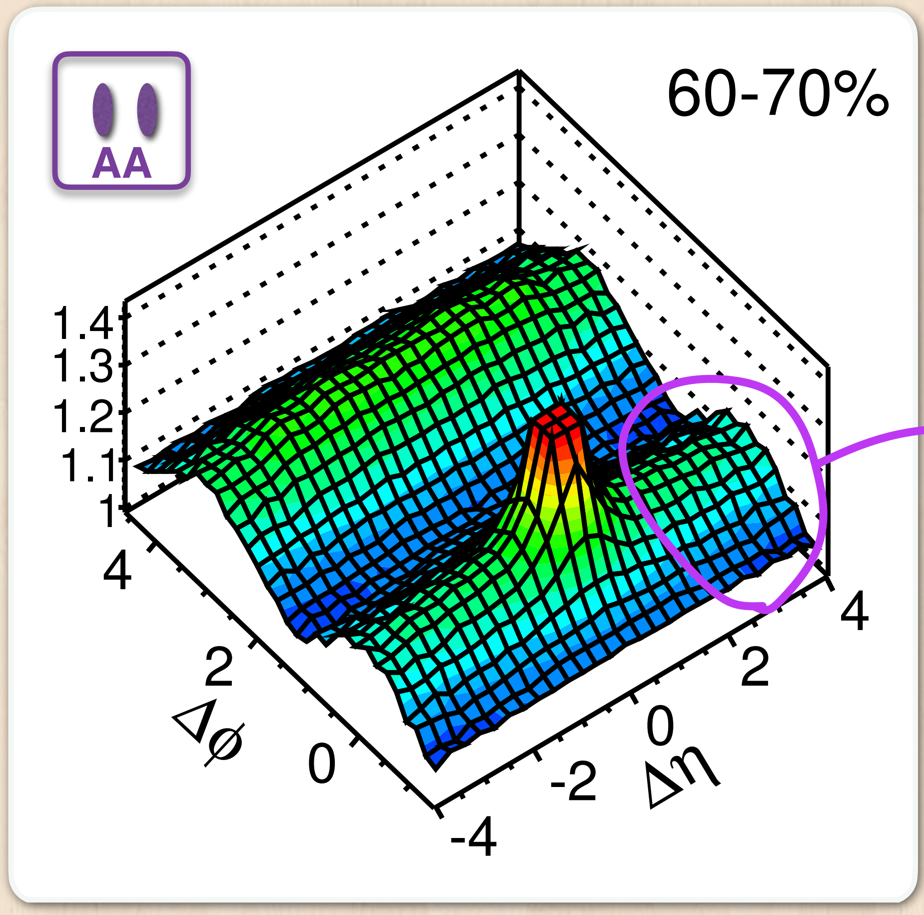
Hot! Quarks and gluons not confined in hadrons

Tiny droplets created in high energy Heavy-ion collisions (e.g. RHIC/LHC)

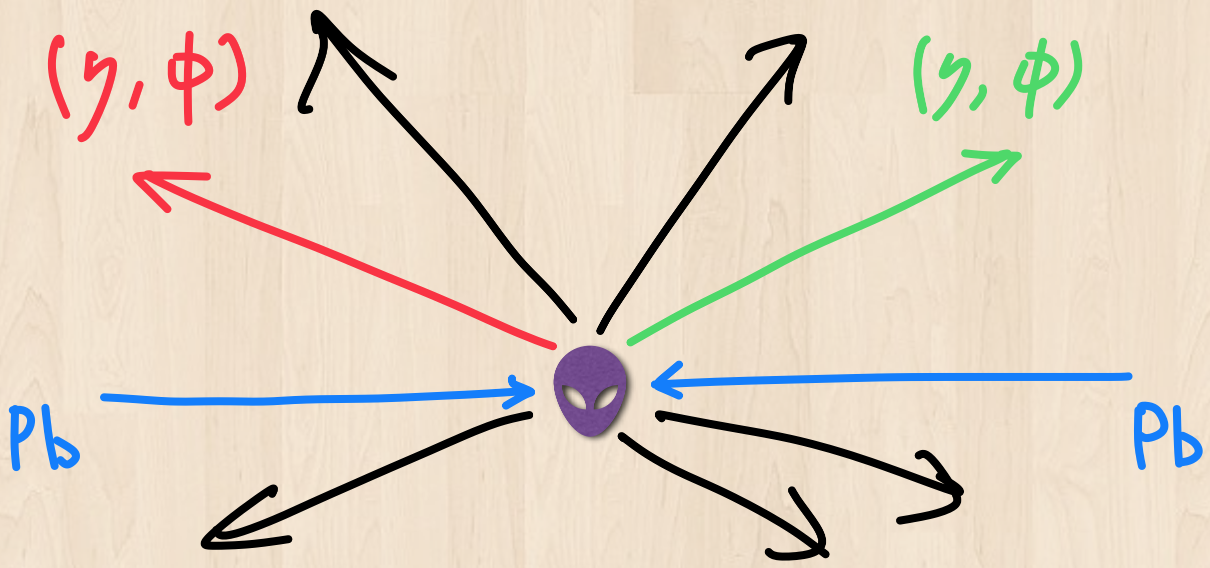
Stress test QCD under extreme conditions

Collectivity in Heavy-ion collision

2-particle correlation



PbPb collisions at LHC



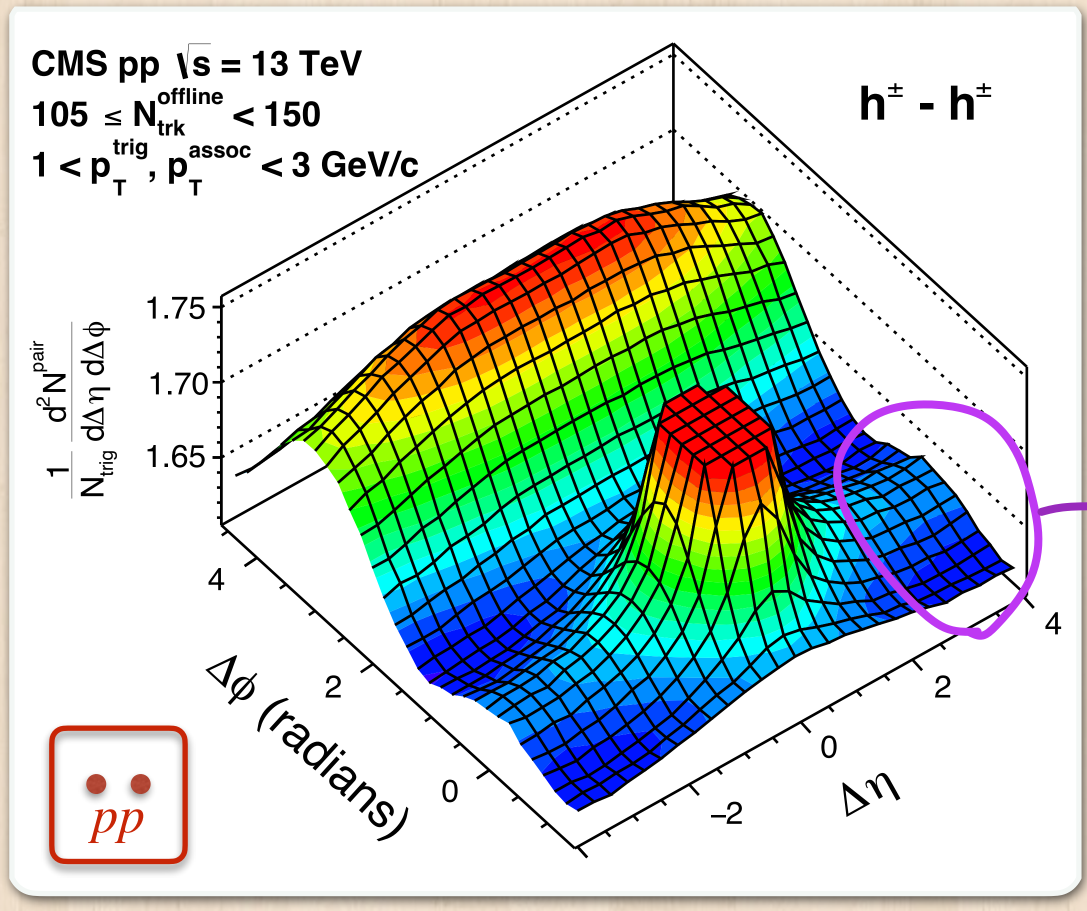
Excess $\Delta\phi \sim 0$, large $\Delta\eta$
Or $\phi \sim \phi$

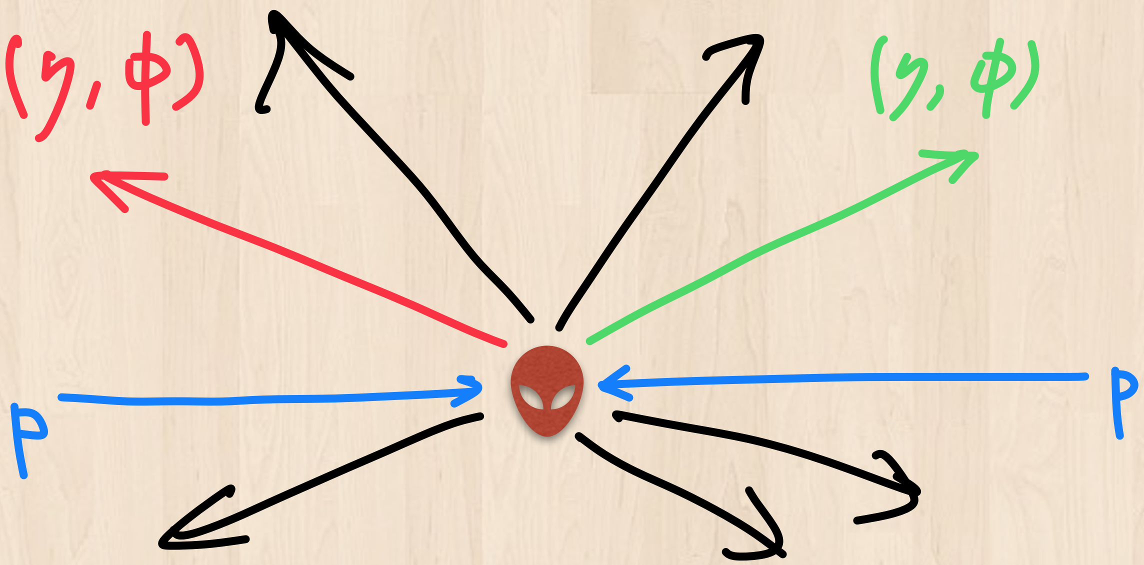
Many potential causes:
Shape of the plasma
Initial state fluctuation
...

Phys.Lett.B 724 (2013) 213

Some effects in *pp* as well

2-particle correlation





Interesting 🤔

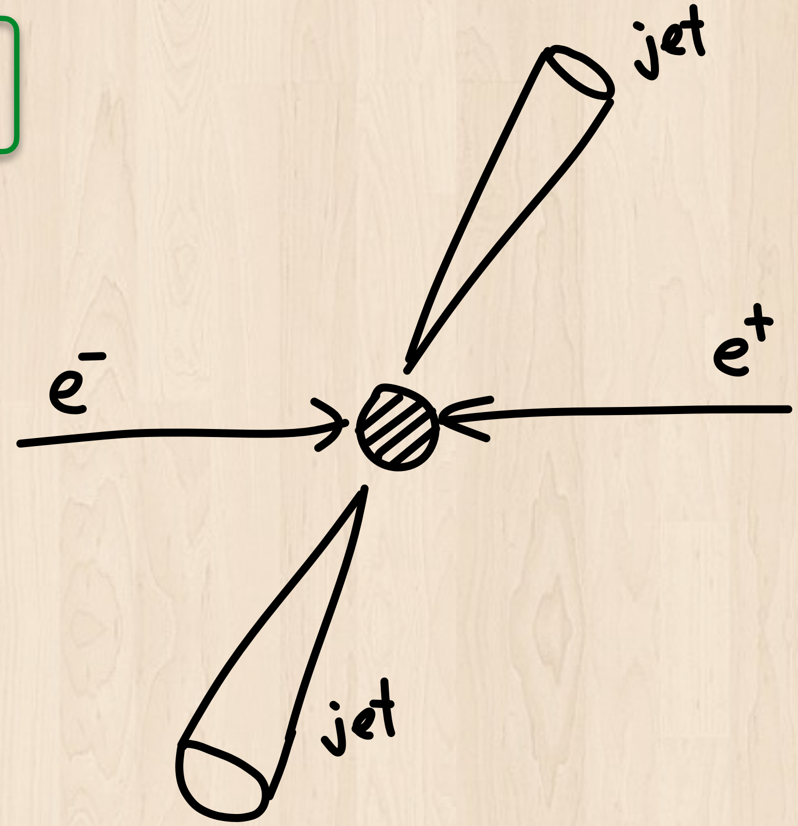
pp collisions at LHC

Time to go to simpler systems

Phys.Lett.B 765 (2017) 193

Going even simpler

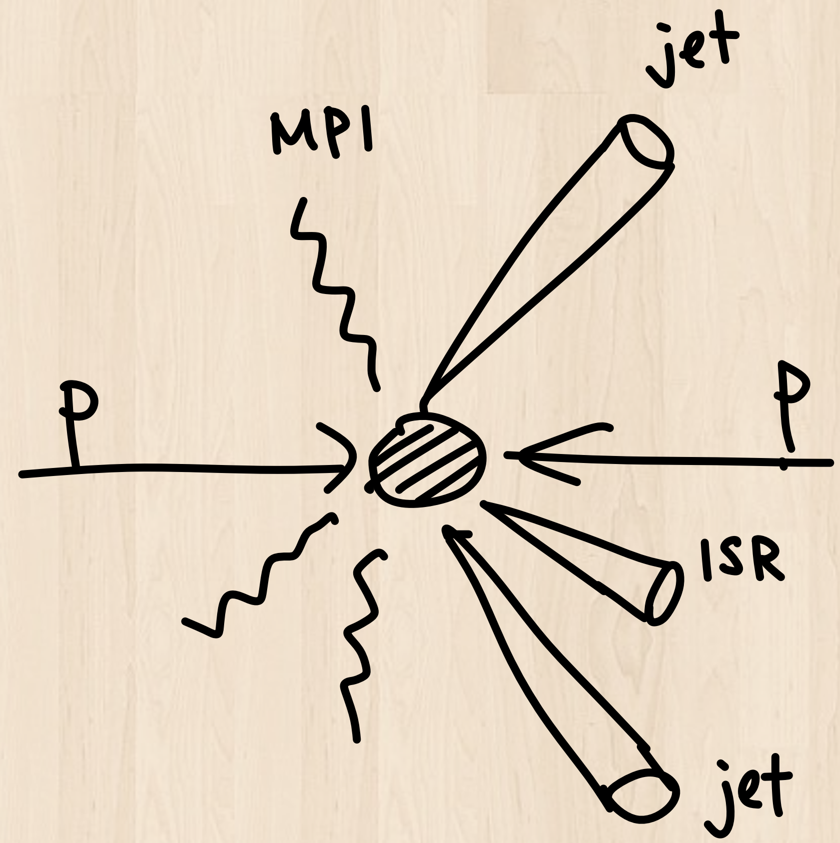
e^+e^-



Better control of event kinematics

Cleanest test of pQCD and models

pp

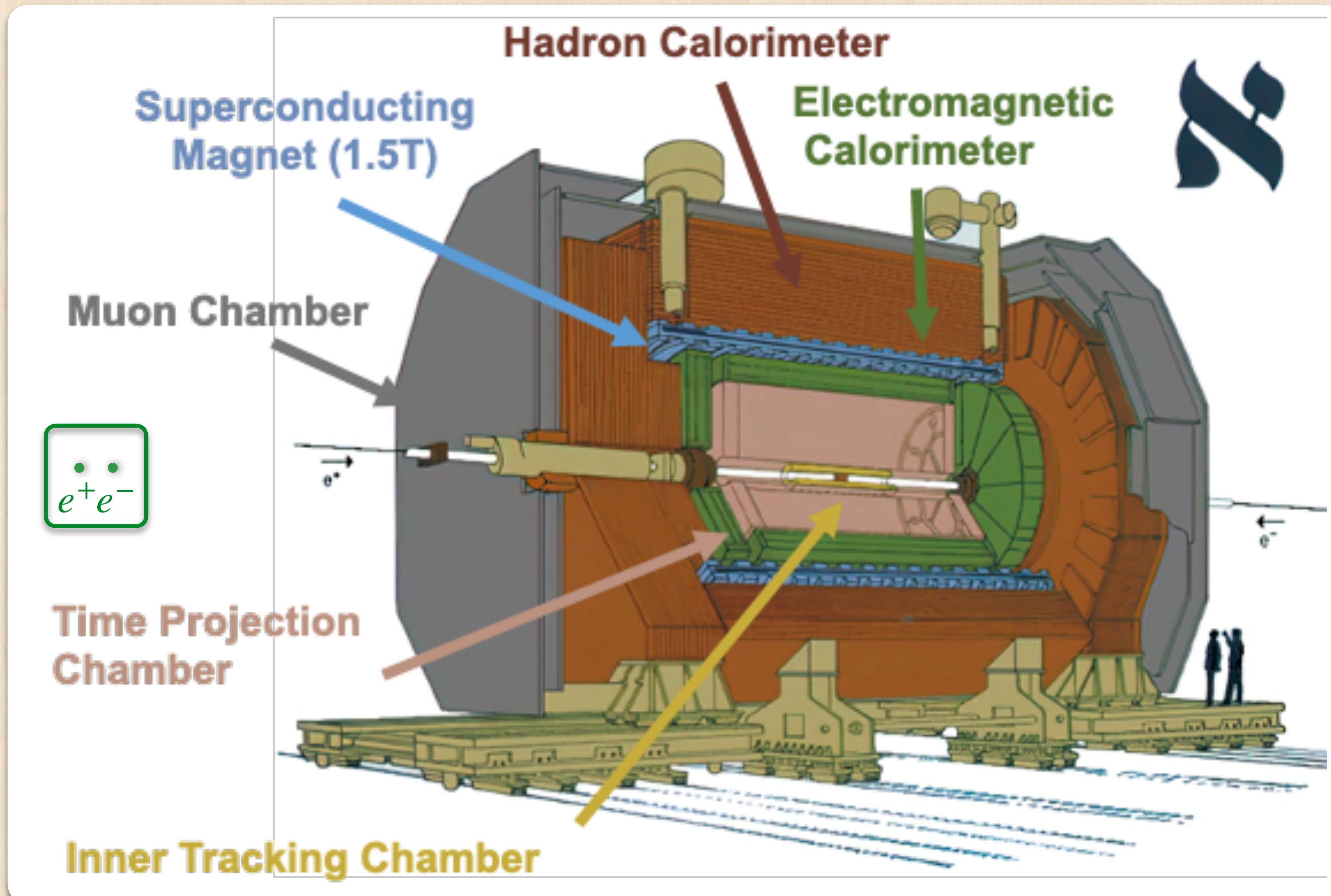


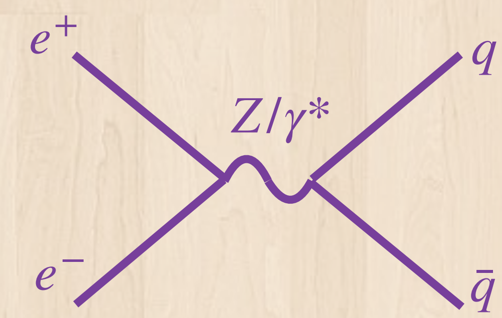
PDF convolution
No longitudinal control
More ISR
MPI

Complements well measurements from other systems

The archived data

The archived ALEPH data





LEP1 e^+e^- data taken at 91.2 GeV from 1992-1995

LEP2 taken with higher energy up to 209 GeV

How the reanalysis effort started

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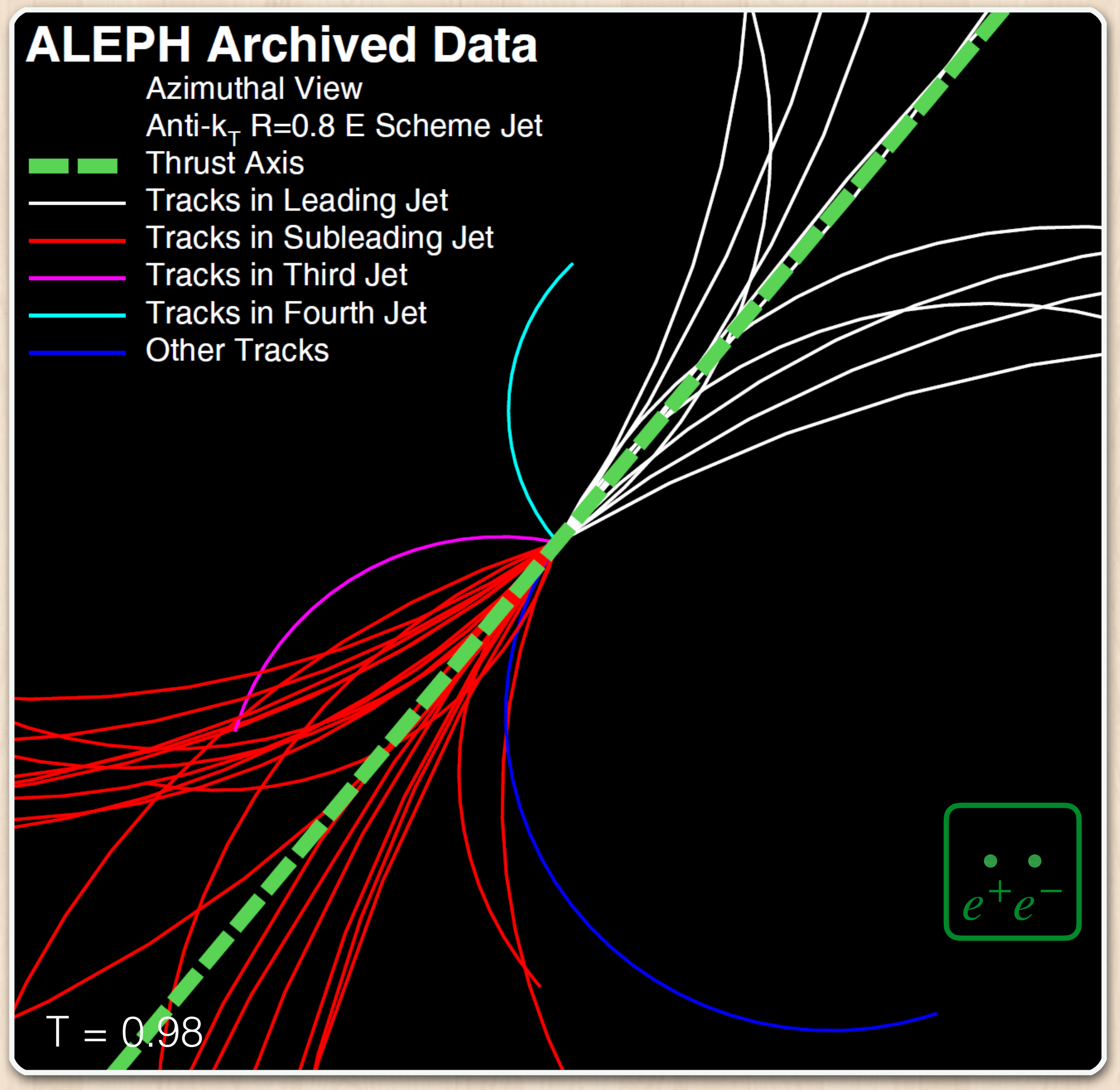
- Development of **data-driven checks** to understand the data

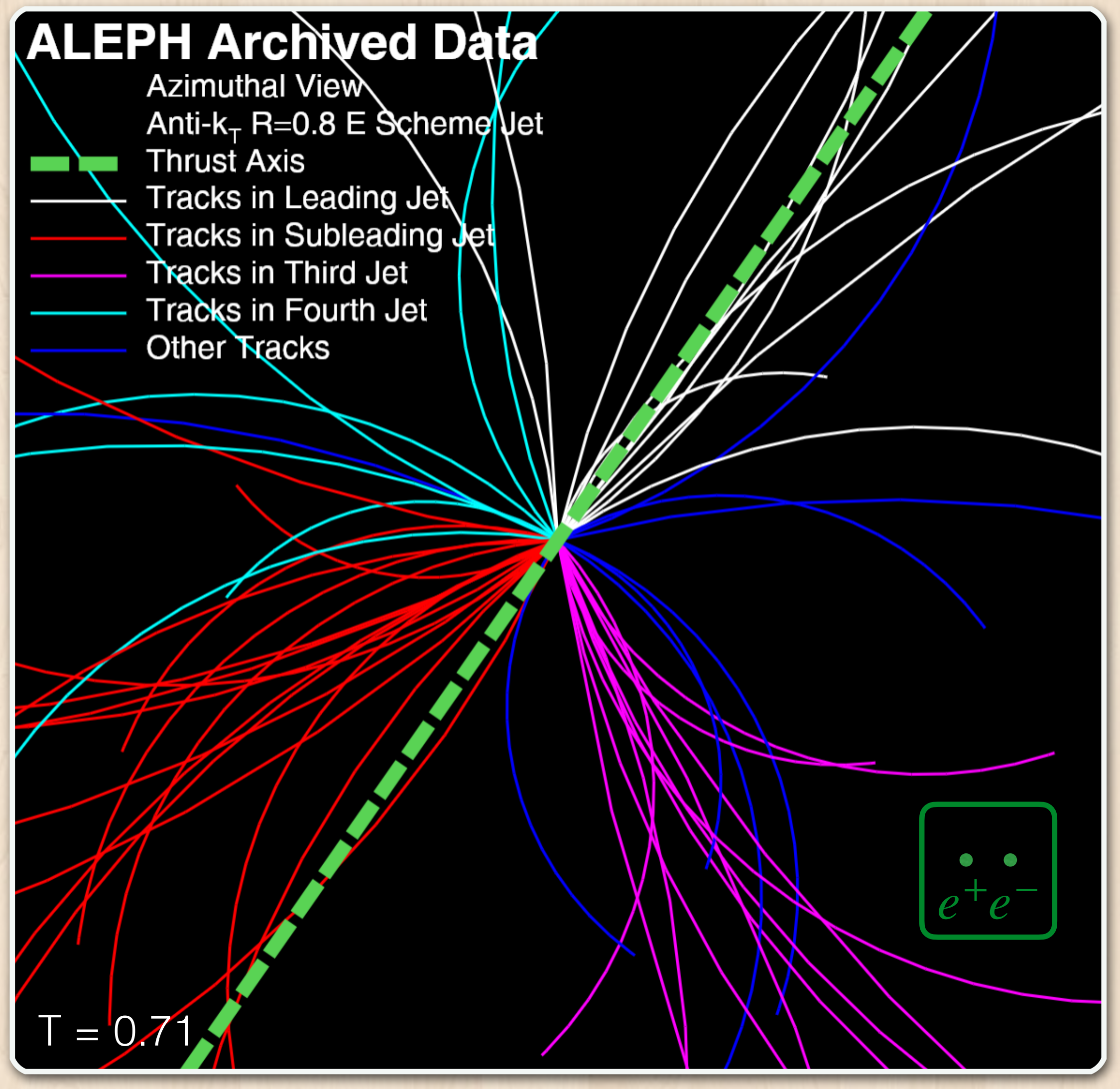
What information is available

- **Energy flow objects** — similar idea to the particle flow approach in other experimental collaborations
  - Combining information from tracker, calorimeter and muon chambers
  - Starting point of all re-analysis effort
- Some other **associated information** also available, for example PID scores, number of hits in TPC

Example high multiplicity events



$N_{\text{track}} = 39$



$N_{\text{track}} = 55$

Available simulation

- **Archived MC**: both generator level and detector level available
  - Great for deriving MC calibrations on objects
  - The only available set of MC that is fully simulated
- **More recent generators**: typically we generate things ourselves, only generator level possible
- This is the limiting factor for some observables

Data-driven checks

- Data-driven methods to study and understand the **data/MC difference**
- As a demonstration, I will go over a recent example on understanding performance of **jets**
- Dedicated calibration probing data/MC difference is developed — both jet energy scale and resolution

Jet clustering

For 1994 archived data & simulation

Energy-flow objects are used as input

anti-"k_T" jet, **R = 0.4**

Hadron-hadron collider

$$d_{ij} = \min\left(p_{T,i}^{-2}, p_{T,j}^{-2}\right)\frac{\Delta R_{ij}^2}{R^2}$$

$$d_{iB} = p_{T,i}^{-2}$$

→

e^+e^- distance measure

$$d_{ij} = \min\left(E_i^{-2}, E_j^{-2}\right)\frac{1-\cos\theta_{ij}}{1-\cos R}$$

$$d_{iB} = E_i^{-2}$$

JHEP 0804 (2008) 063

EPJC 72 (2012) 1896

Jet calibration

"MC calibration" "Residual"

Jet energy scale in data = Jet energy scale in simulation × (Jet energy scale in data / Jet energy scale in simulation)

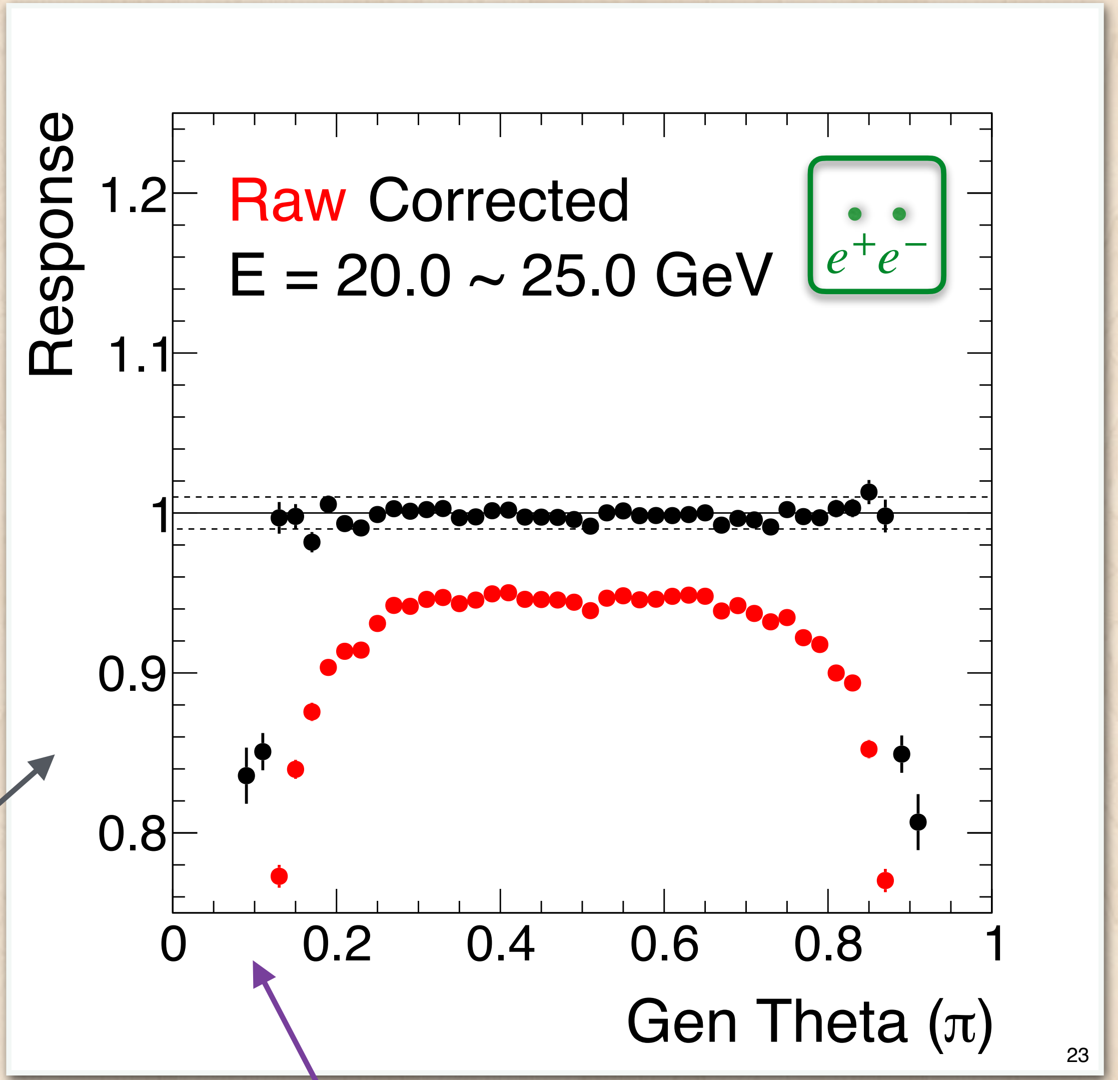
Inclusive Selection

Strategy: first go 99% of the way there with simulation
Then data and MC difference in restricted phase spaces

Simulated energy scale

Correct detector jet energy in bins of jet direction (θ_{jet})

Good closure with
E > 10 GeV
$0.2\pi < \theta_{\text{jet}} < 0.8\pi$



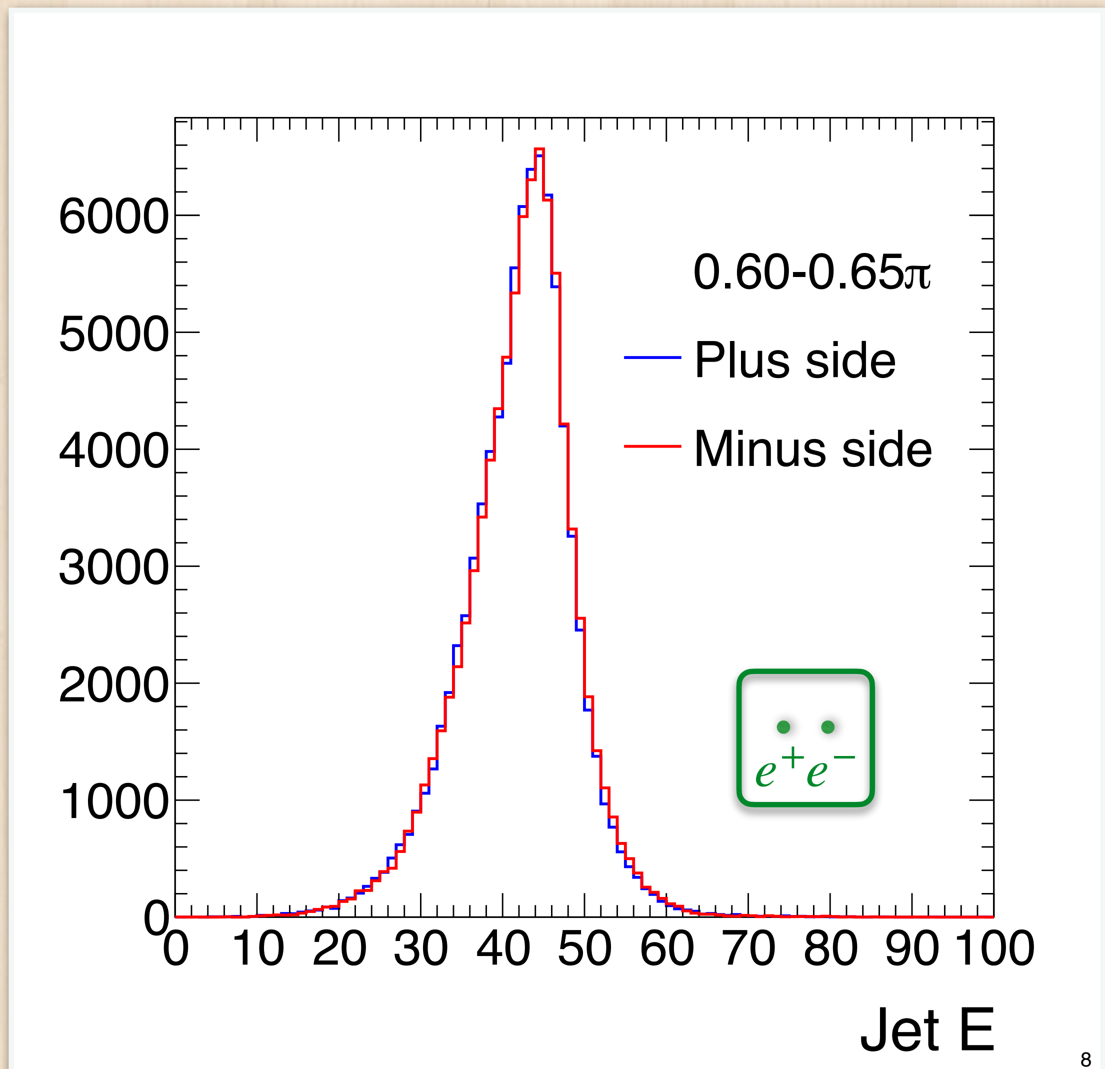
Example raw and corrected response (= detector-level/generated)

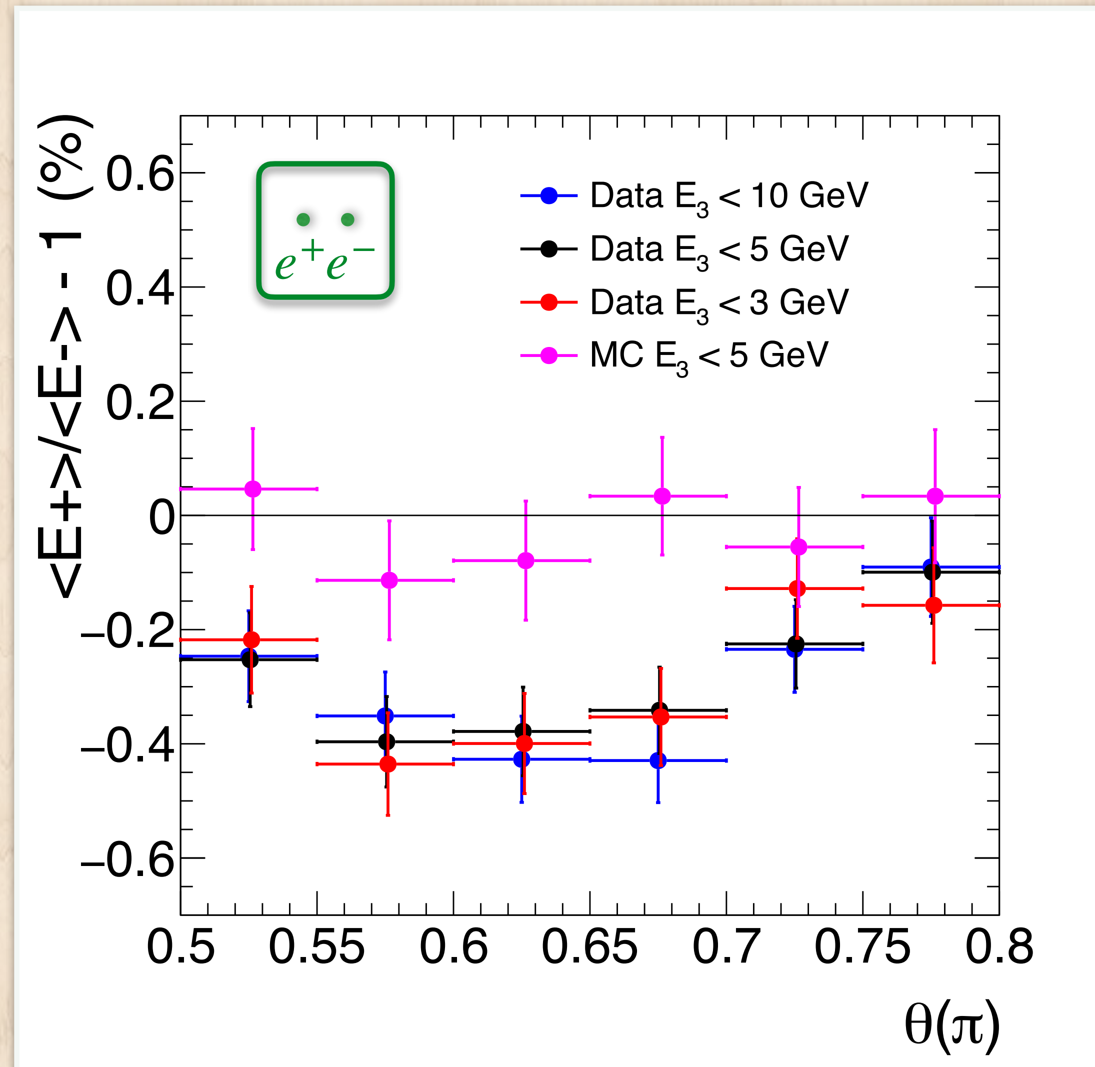
Energy leaking out around beam direction

arXiv 2108.04877

Residual calibration: step 1

Fiducial dijet, two sides of the detector



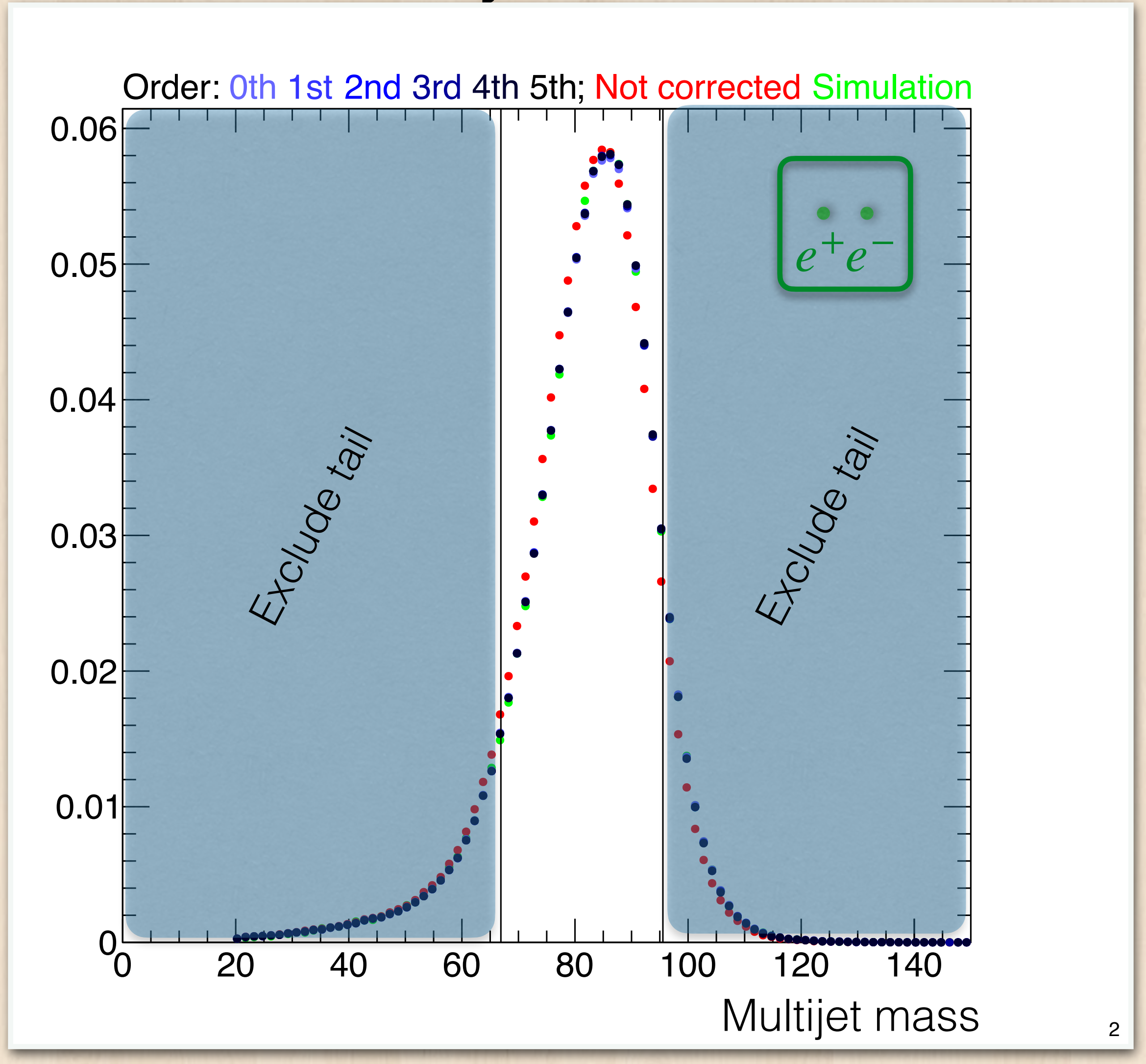


Look at data only, and calibrate out the difference between e^-- and e^+-going sides

arXiv 2108.04877

Residual calibration: step 2

Fiducial multijet invariant mass

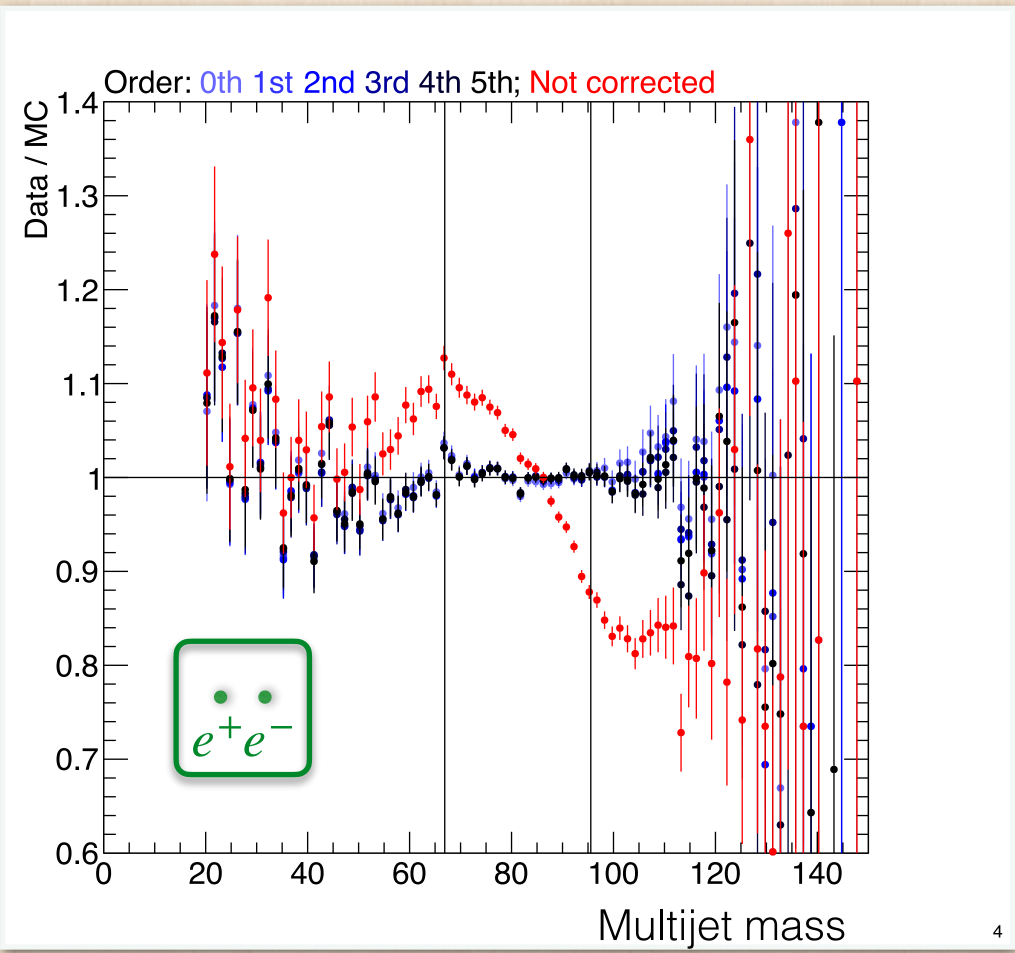


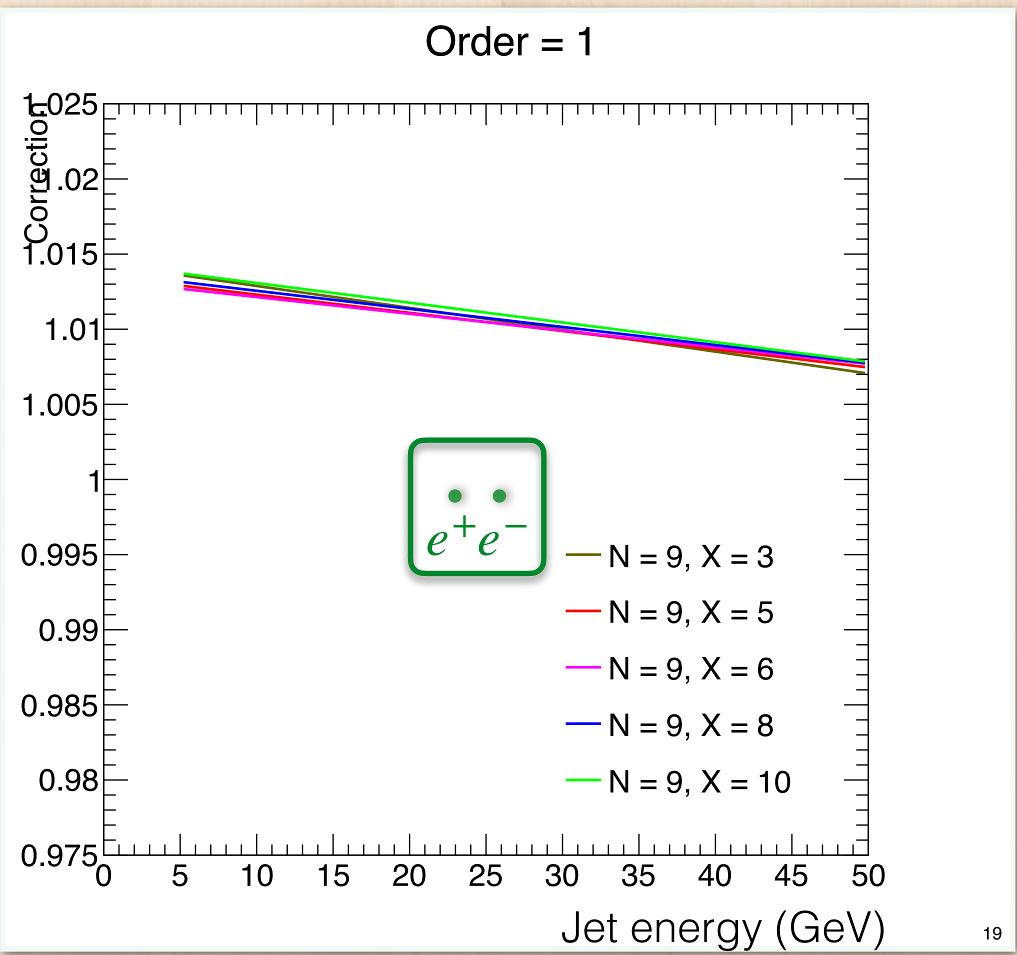
Fit jet energy correction function parameters

arXiv 2108.04877

Residual calibration: step 2

Fiducial multijet invariant mass





Take up to leading N jet above X GeV

Fit jet energy correction function parameters

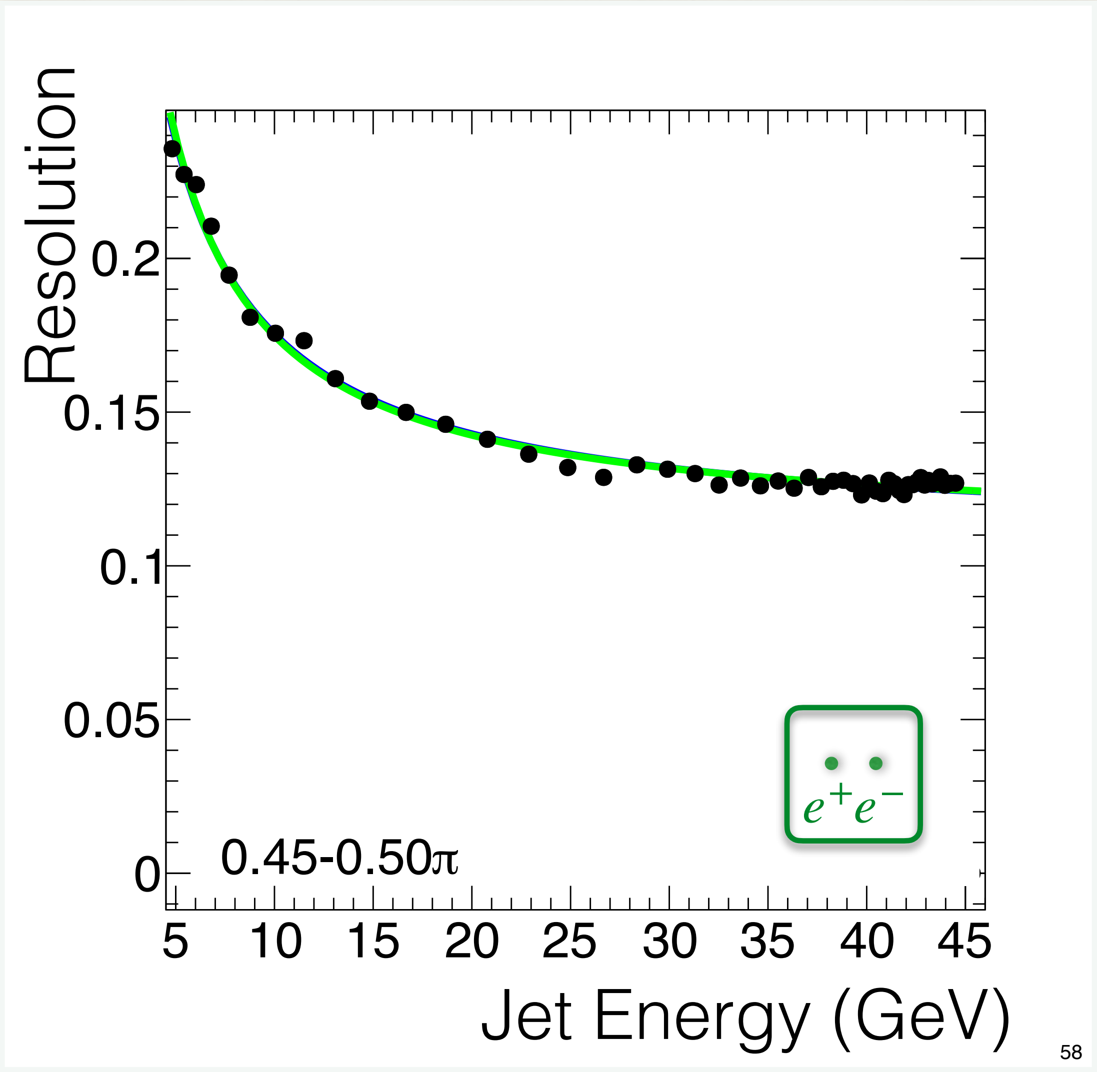
Minimize “quantile difference” (~KS) between data and MC curves

Nominal: linear correction as a function of energy

arXiv 2108.04877

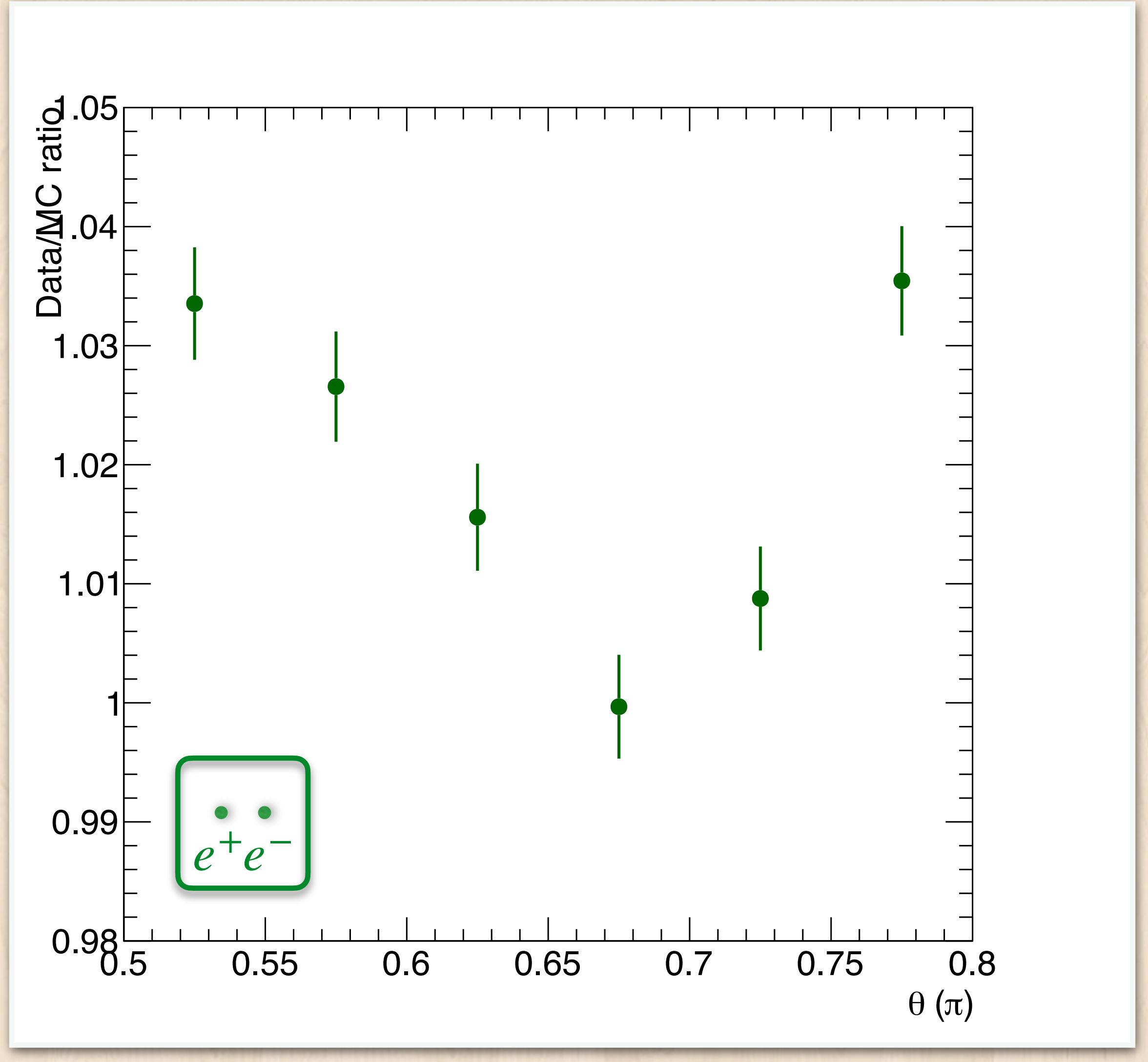
Jet resolution

Jet resolution in simulation



Energy resolution: 10-25%

Fiducial dijet —
vary 3rd-leading jet as systematics



Up to 5% difference in energy resolution between data and MC

arXiv 2108.04877

Data-driven checks

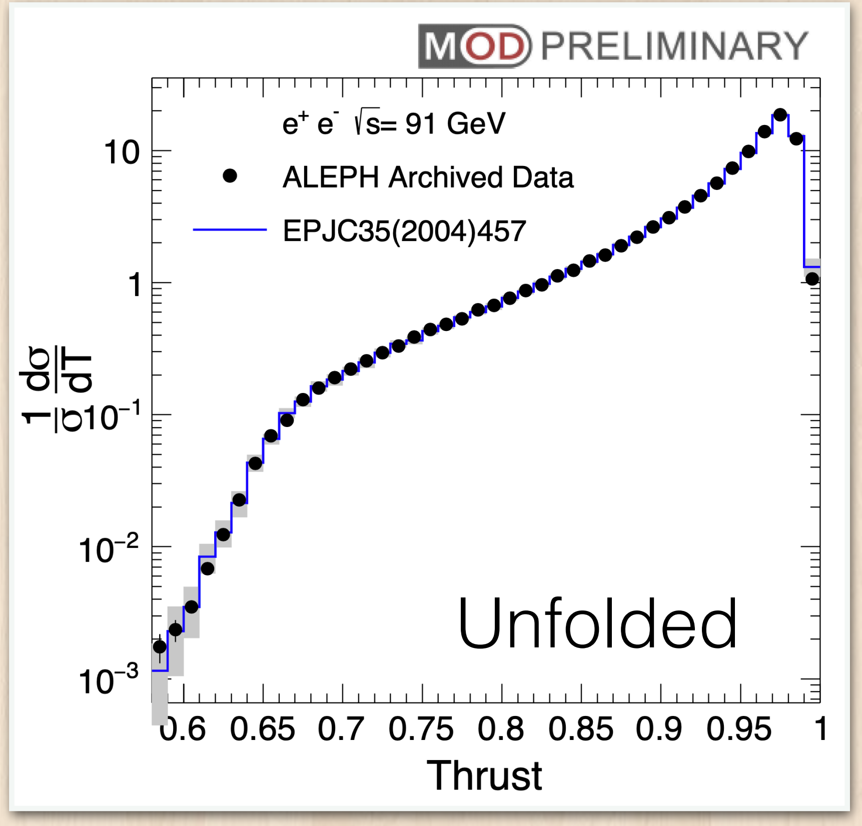
- Even though anti-kT jets did not exist during LEP, we are able to control data/MC differences with available information
  - Up to 1-2% for jet energy scale, and up to relative 4% for jet energy resolution

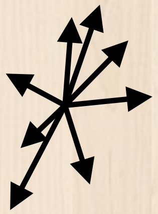
Some bottlenecks

- Example: PID information
- PID scores for different particle hypothesis are available: how likely it is a proton, Kaon, pion, etc
  - Supposedly one can cut and enrich particle type
- Not immediately clear how to **control data/MC differences** on the information

Reproducing published results

- Comprehensive data/MC comparisons
- Ultimate test of our understanding of the data
- Exact selection as QCD paper
- Thrust $T \equiv \max_{\hat{n}} \frac{\Sigma_i |\vec{p}_i \cdot \hat{n}|}{\Sigma_i |\vec{p}_i|}$
- Global event shape
  - Back to back dijet: T ~ 1





Lessons for future: accessing the data

- Mileage vary ***a lot*** depending on experiment (beyond ALEPH)
  - Make sense of the **format**: knowledge needed from members
  - Not easy to gain **control of stored information** — more lower-level information will be useful
  - Good to have more **sets of fully simulated MCs** available
- Many lessons for current & future experiments
  - Enough information for end-to-end measurements?
  - Best to do some "**user tests**" for open data as we go

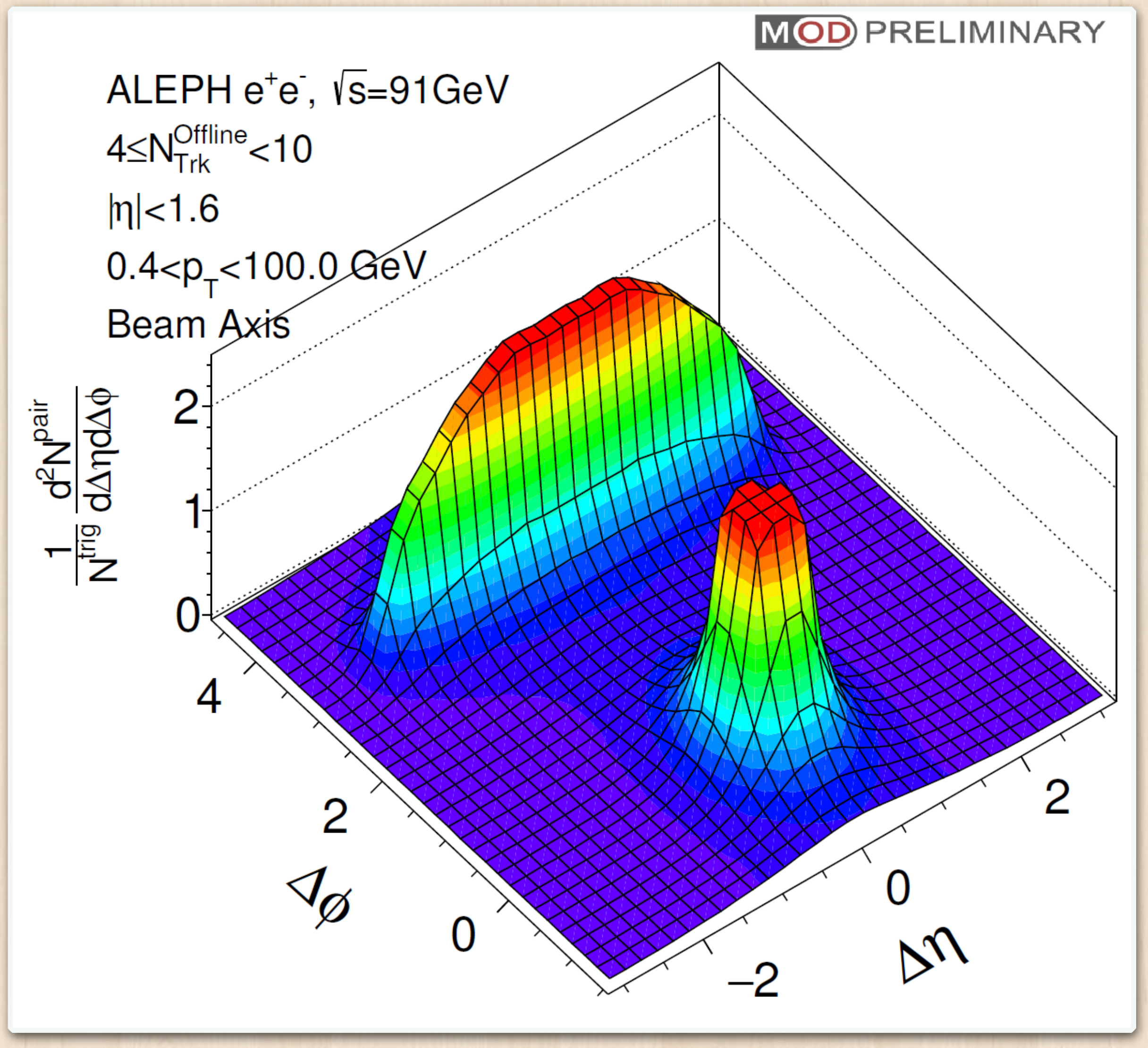
The re-analysis: 2PC

Analysis overview

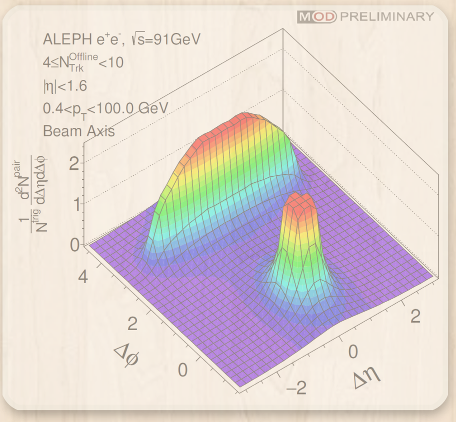
Same event correlation $S(\Delta\eta, \Delta\phi)$

Correlate all pairs of particles from the same collision

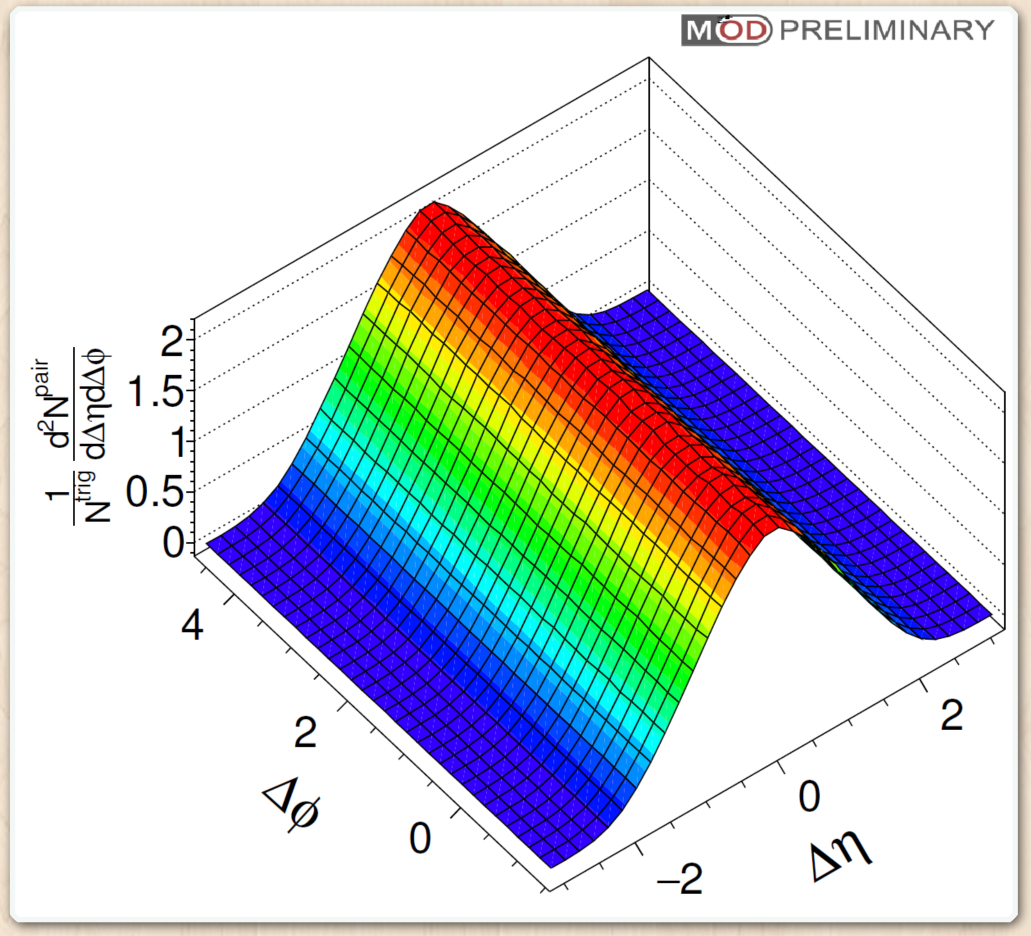
However acceptance effects are in here



Analysis overview



& Mix event correlation $B(\Delta\eta, \Delta\phi)$

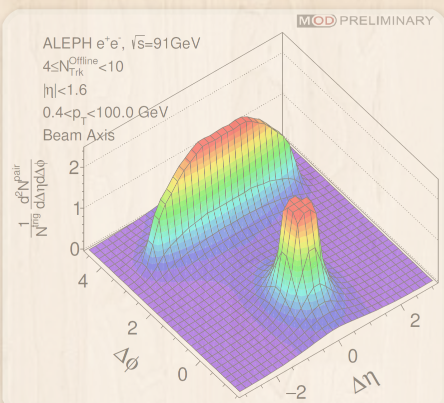


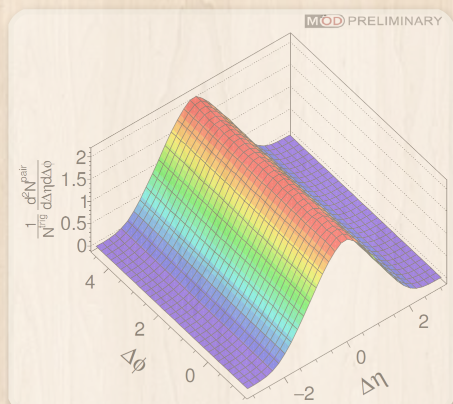
Correlate particles from different collisions

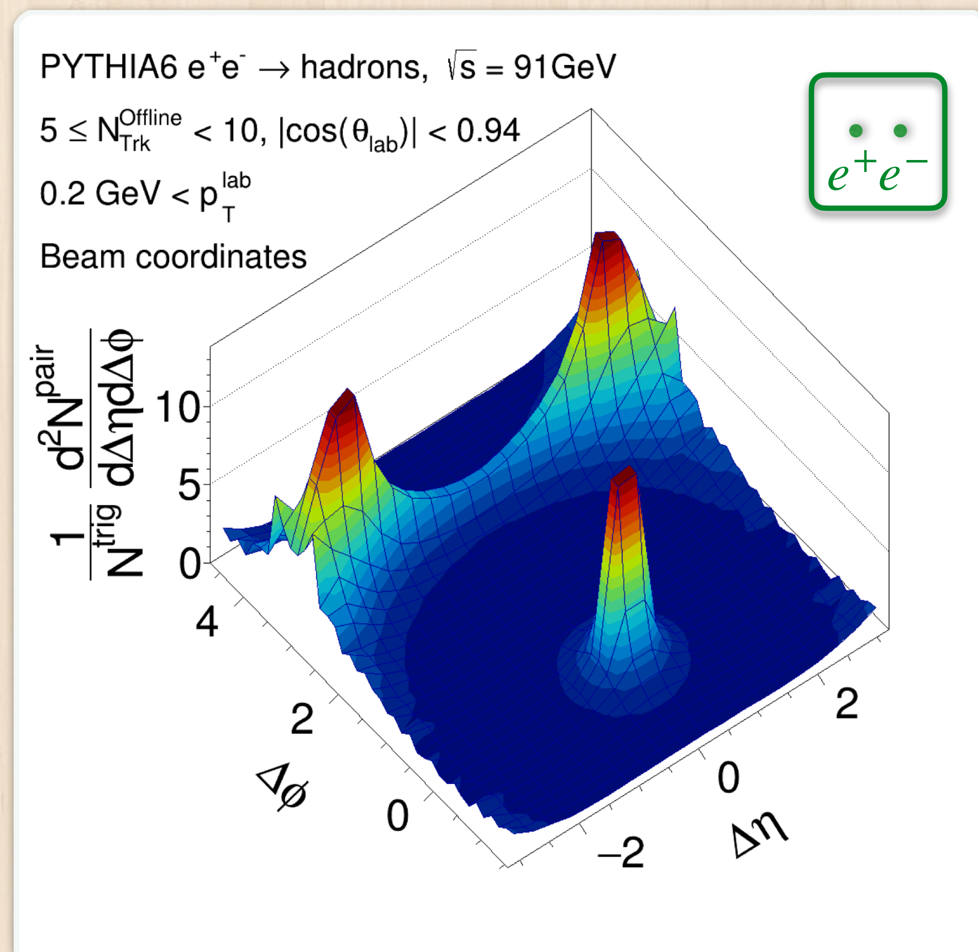
“Null hypothesis” without any physics correlations

Analysis overview

= Acceptance-corrected signal $S(\Delta\eta, \Delta\phi) \times \frac{B(0,0)}{B(\Delta\eta, \Delta\phi)}$





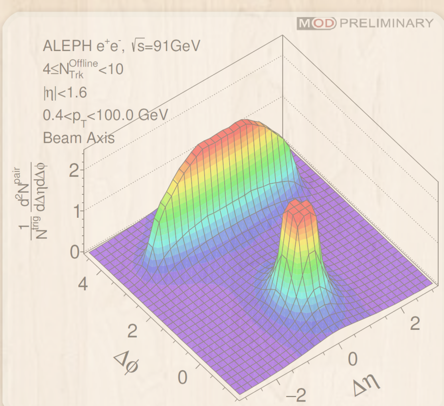


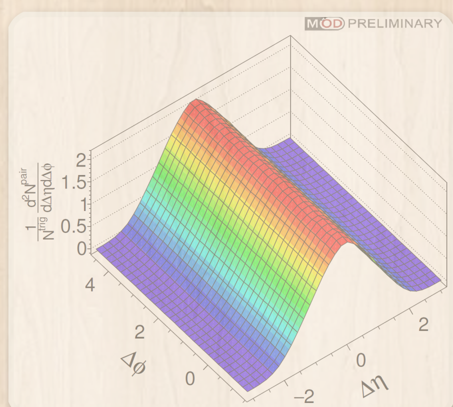
Keep only correlation from physics processes

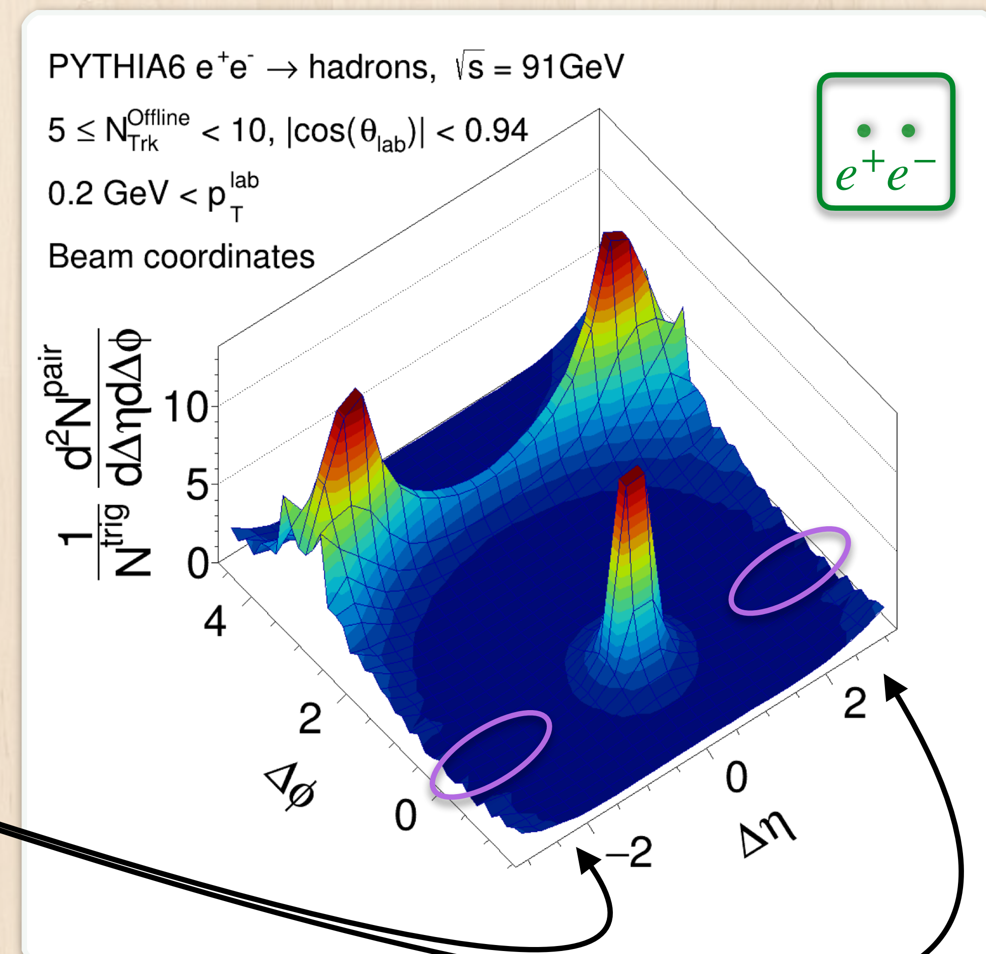
PRL 123 (2019) 212002

Analysis overview

= **Acceptance-corrected signal** →



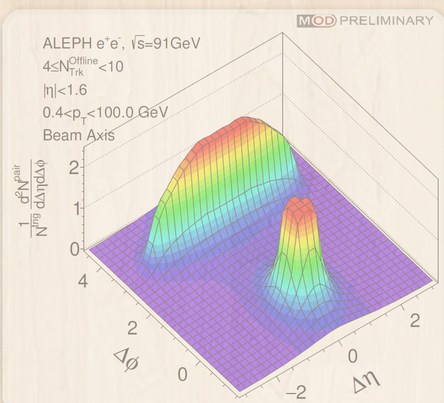


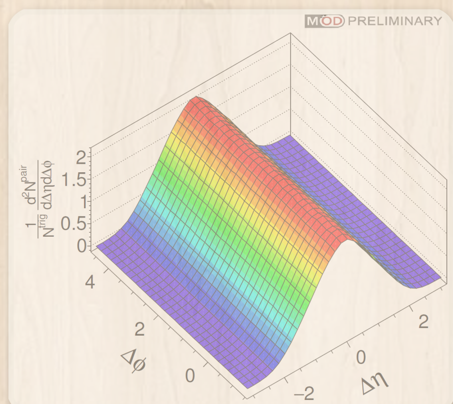


Look at near-side long-range correlation in (η, ϕ) space

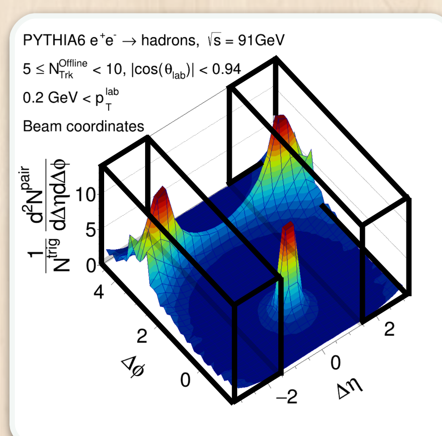
Analysis overview

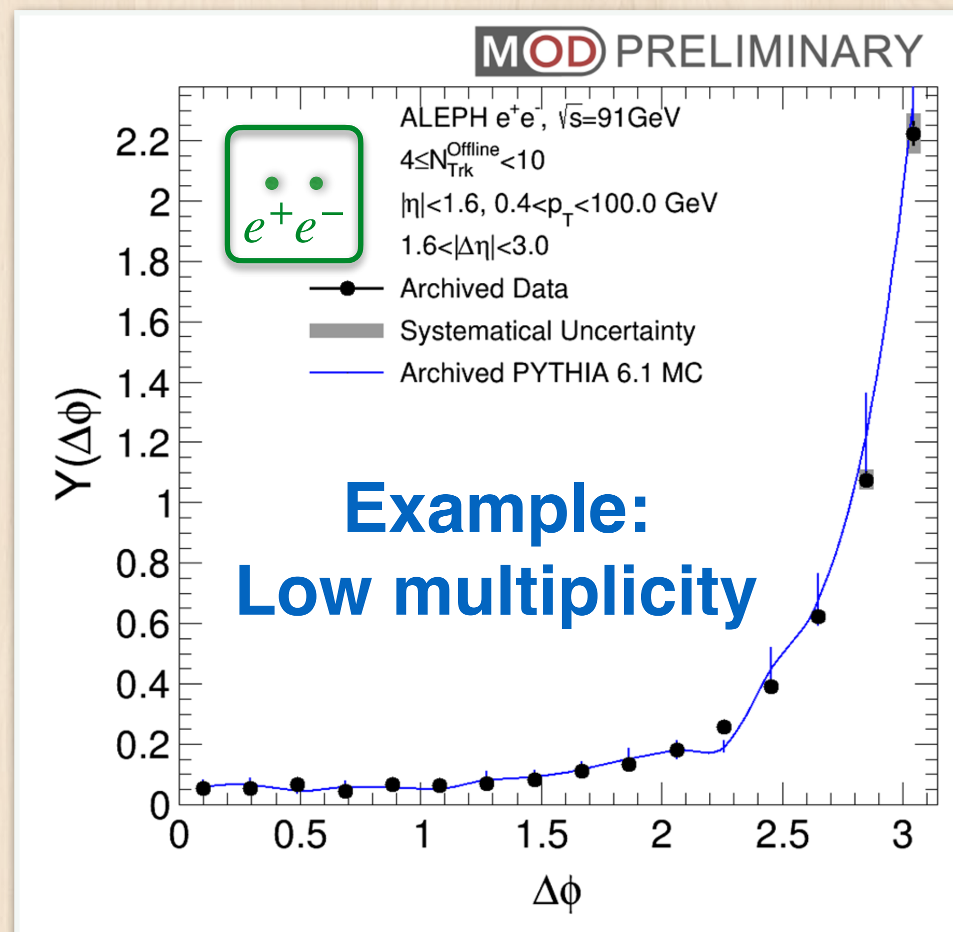
= Acceptance-corrected signal → Projection



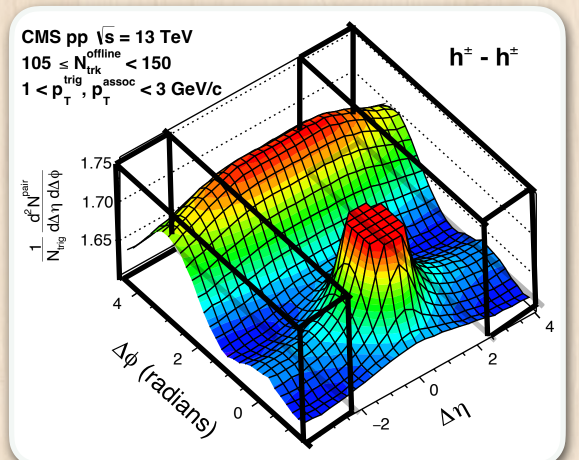


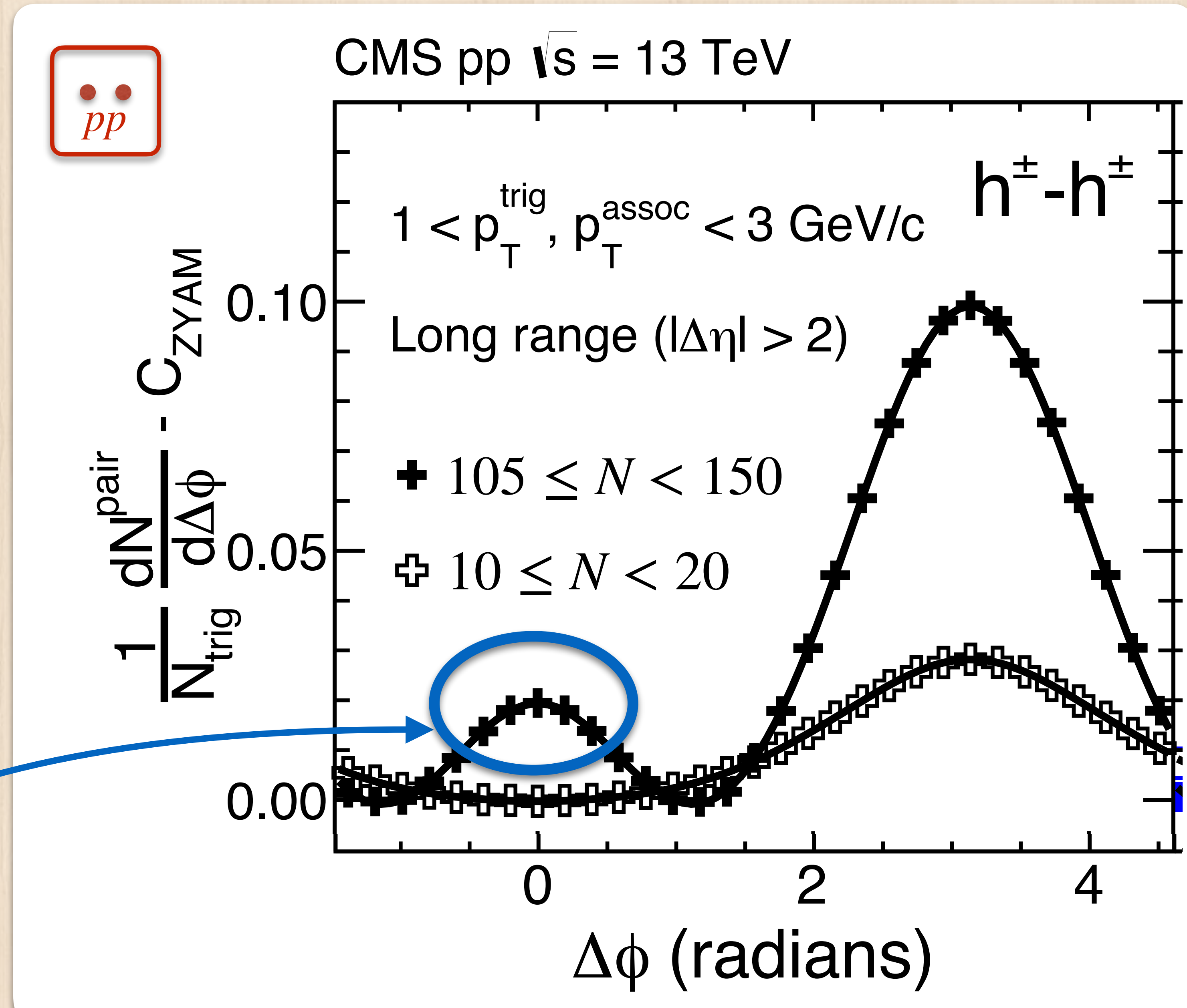
Project onto $\Delta\phi$ for further studies





How ridge looks like in pp

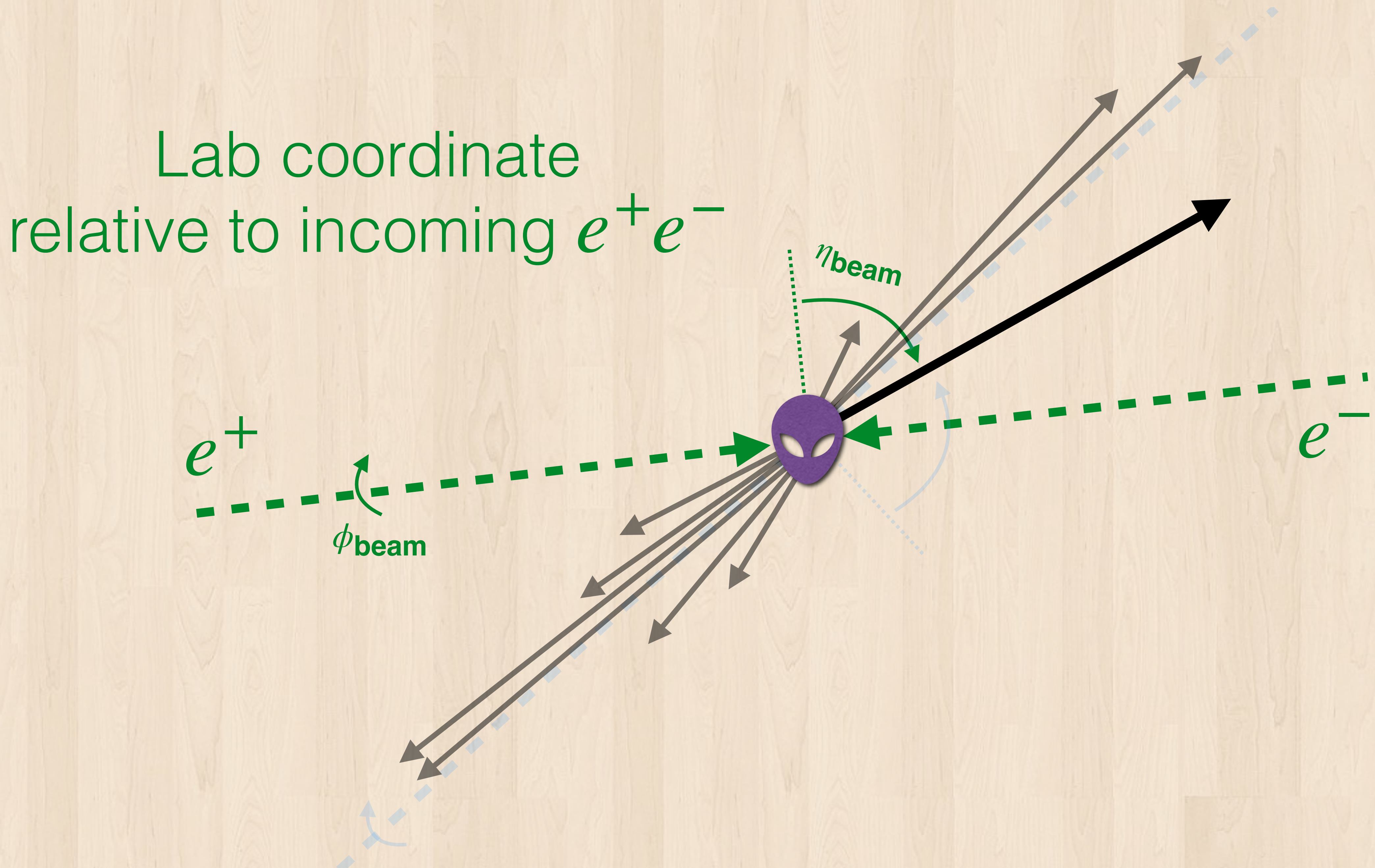




Excess at small $\Delta\phi$

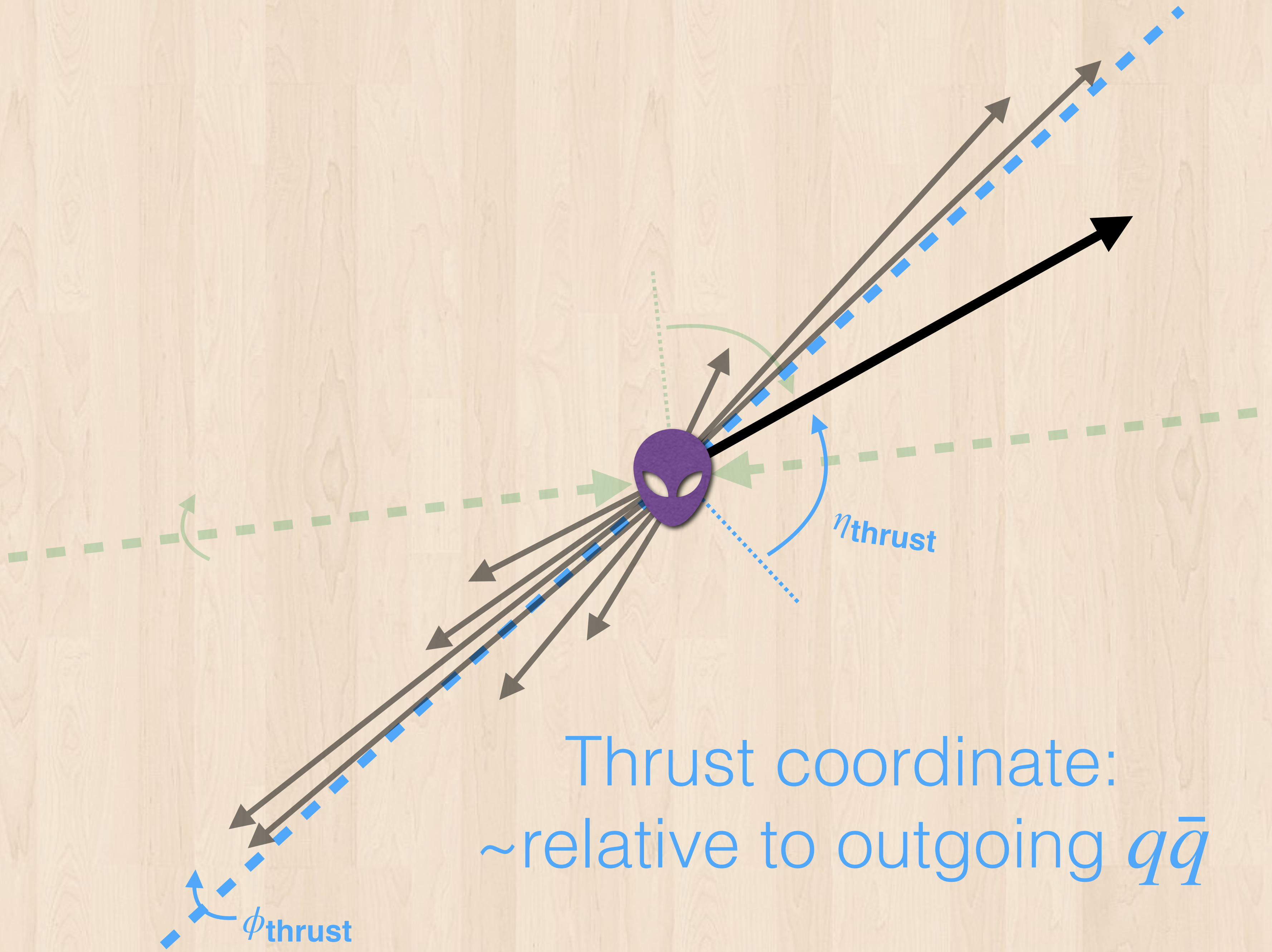
PLB 765 (2017) 193

The two coordinate systems



Analogous to hadron collider setup

The two coordinate systems



~direction of color-string in $q\bar{q}$ topology

Baseline event selections

- Following methods from previous ALEPH publication
- Select **hadronic** events
  - Number of good charged particles >= 5
  - Number of good particles >= 13
  - $E_{charged}$ > 15 GeV
  - $|\cos(\theta_{sphericity})| < 0.82$: ensure collision well-contained in the detector

Phys. Rep. 294 (1998)

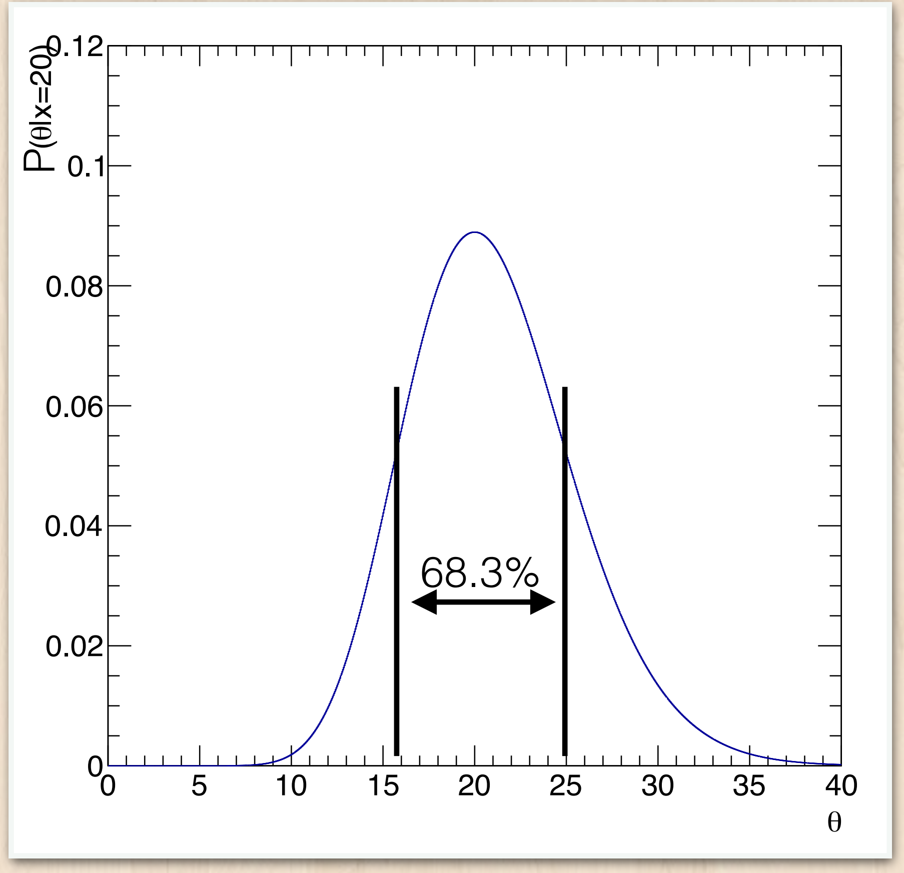
Baseline event selections: LEP2

- In LEP2, **initial state QED radiation** is significant
  - Reject collisions with a lot of QED radiation
- Method from previous ALEPH publication
  - First, ignore "QED jets" and examine the rest
  - Effective center-of-mass energy $\sqrt{s'} > 0.9\sqrt{s}$
  - Visible invariant mass $M_{vis} > 0.7\sqrt{s}$

Eur. Phys. J. C 35 (2004) 457

Uncertainty: Bayesian approach

- Things not always Gaussian: **Bayesian approach**
- Construct posterior $P(\theta|x)$ using Bayes' theorem
  - $P(\theta|x) = P(x|\theta)P(\theta)/P(x)$
  - Probability of some value θ to be true given observed data x
- Example: counting experiment
- Then we quote central value and uncertainty (68% most likely interval)



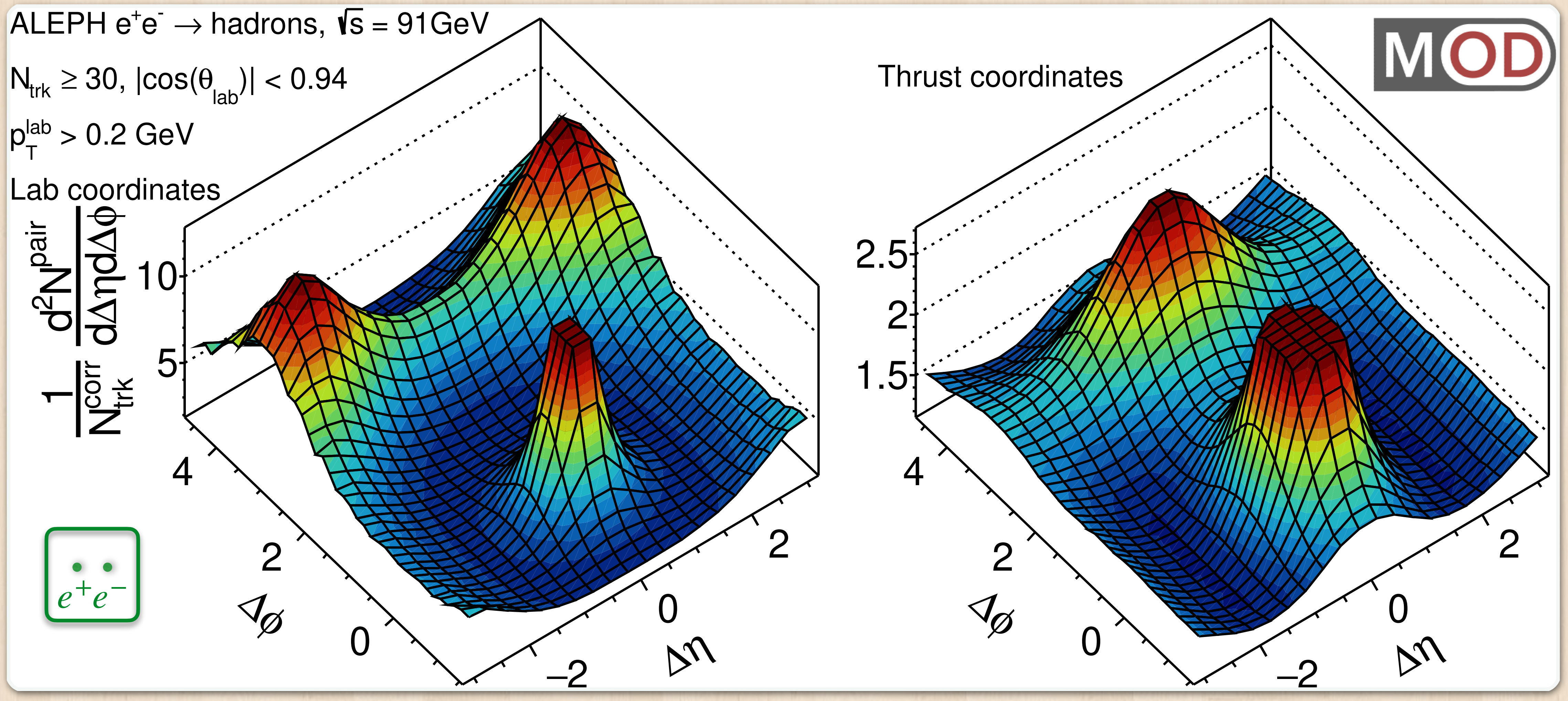
Propagating uncertainty

- In the Bayesian paradigm everything has a **probability density** interpretation
- **Monte-Carlo technique** can be used to **propagate uncertainty** to nontrivial observables
  - For example, associated yield across many bins
- Extensive internal studies show that this approach is reasonable and robust

Results

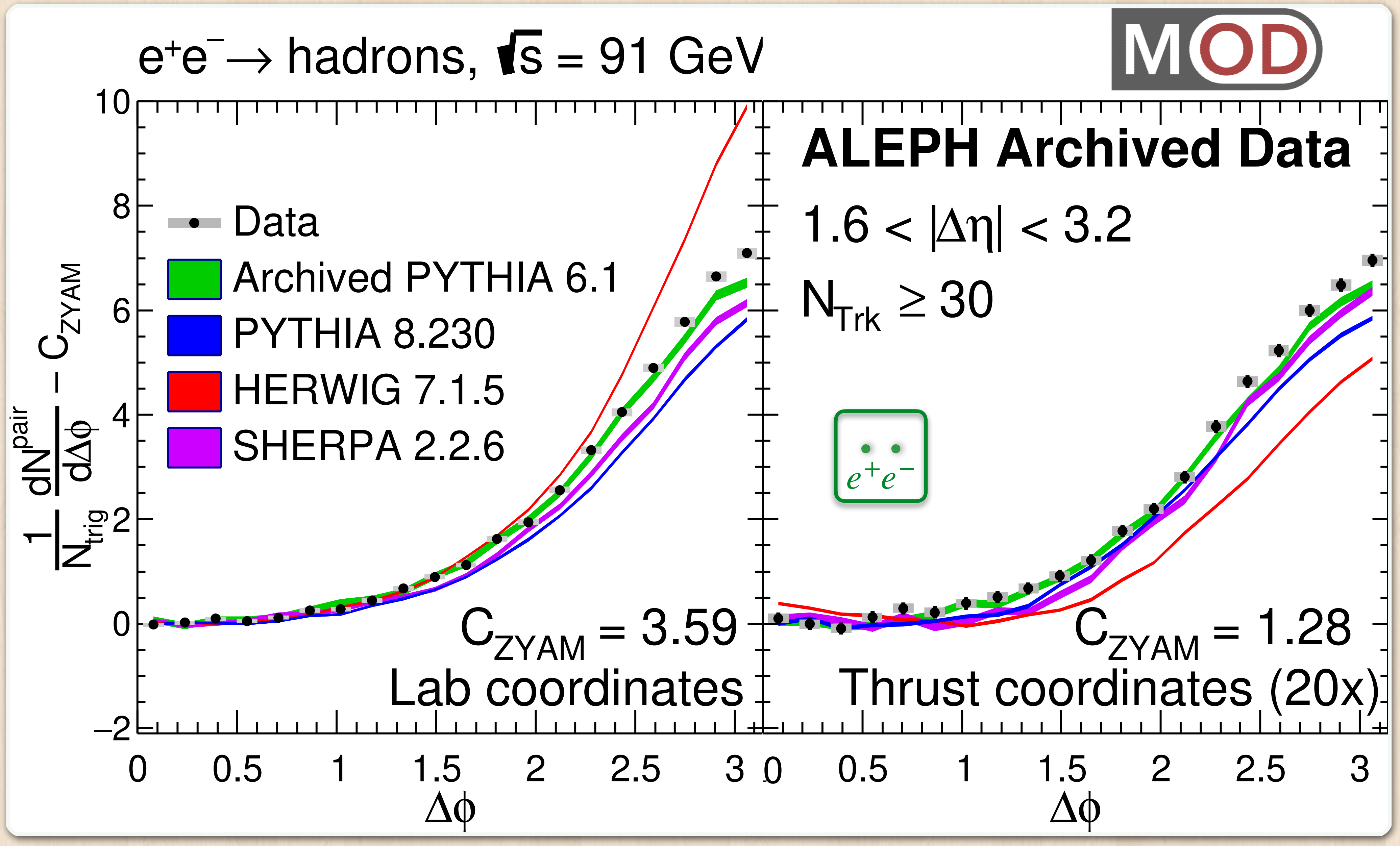
LEP1: High multiplicity

Focus on high multiplicity here: $N_{trk} \geq 30$



Many interesting features!
For now let's focus on the ridge search

No sign of ridge 😣 (LEP1)



Also no ridge-like excess in other multiplicity bins

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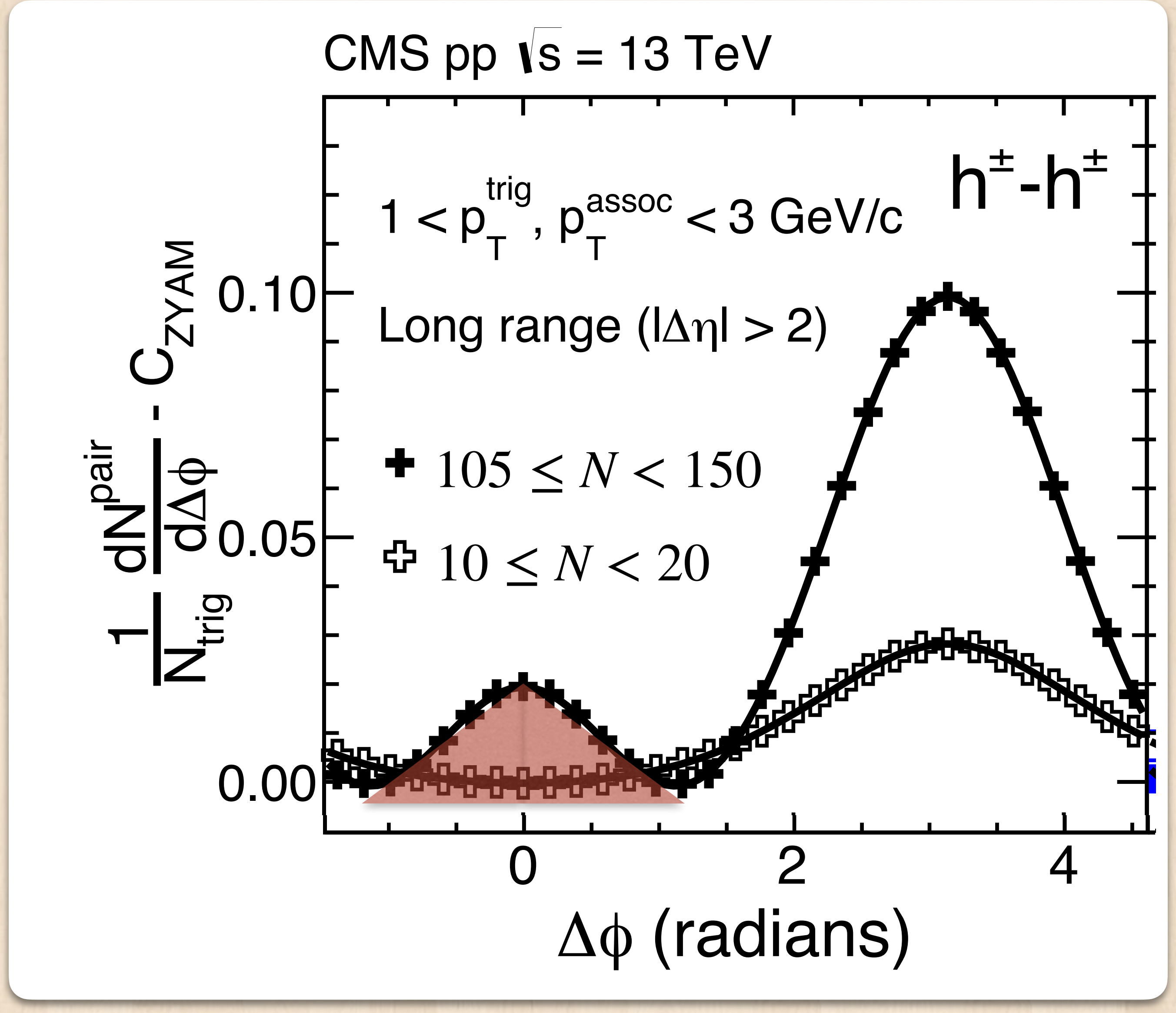
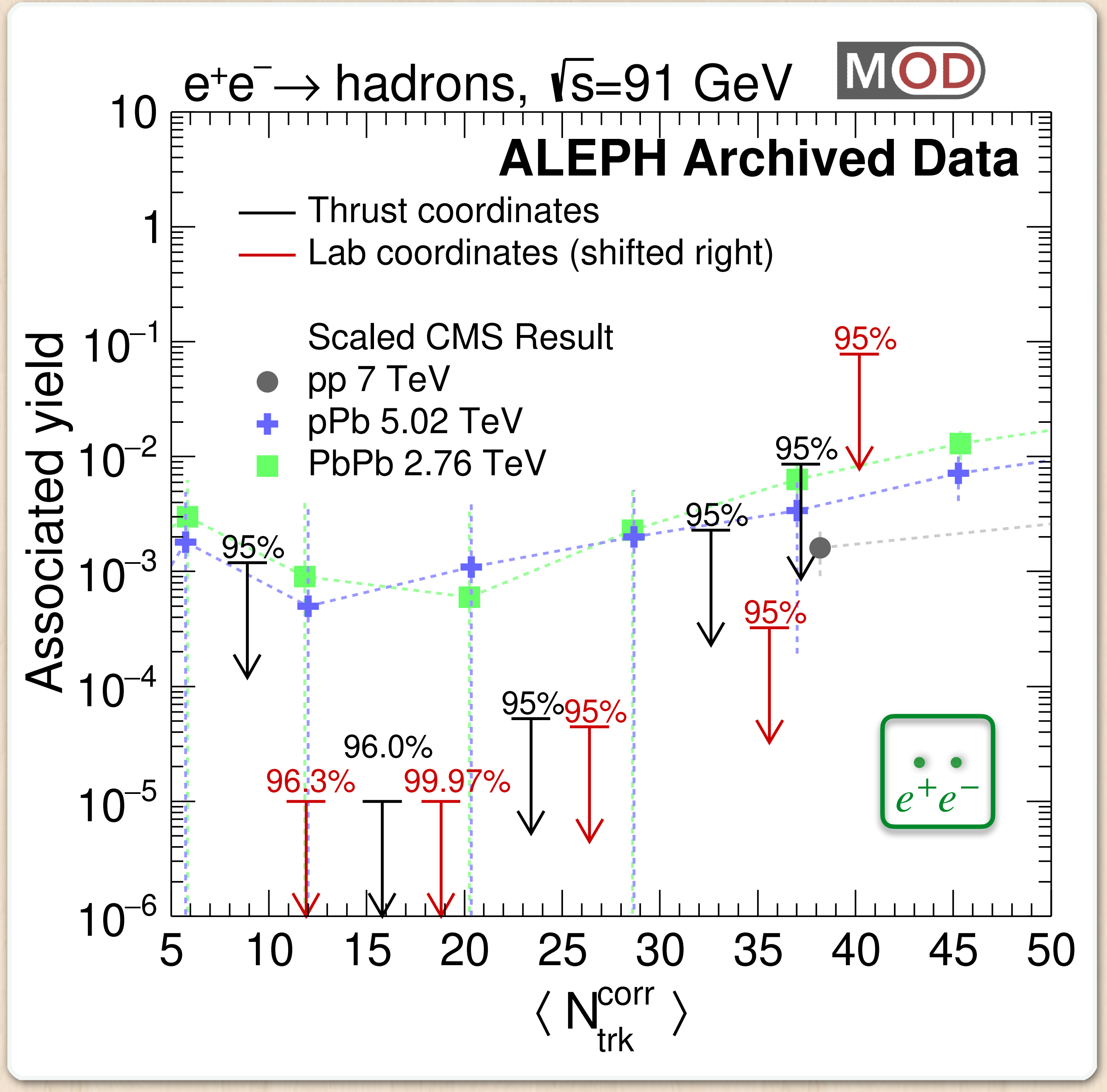
Ridge-like yield extraction



1. Find minimum point
2. Integrate area under it

Phys.Lett.B 765 (2017) 193

Limits on ridge yield: LEP1



No significant ridge observed — we proceed to set limit

What about higher energy e^+e^- data?

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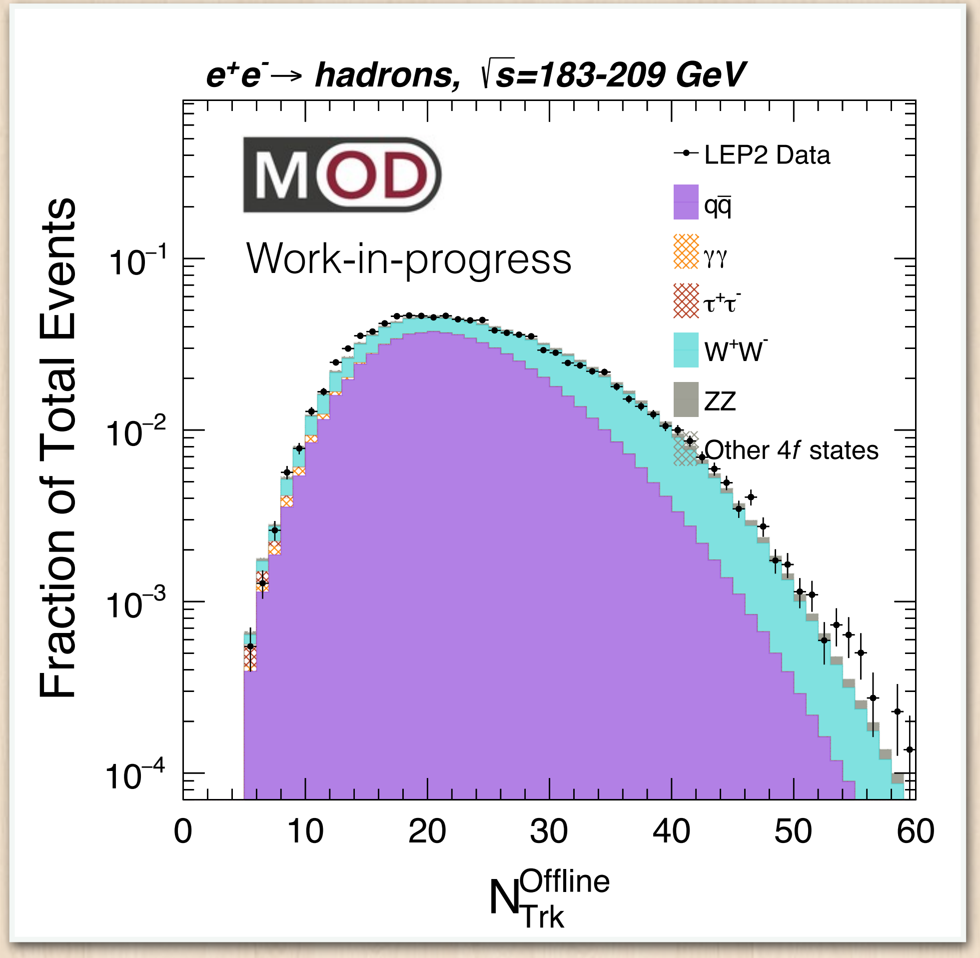
Higher energy LEP2 data

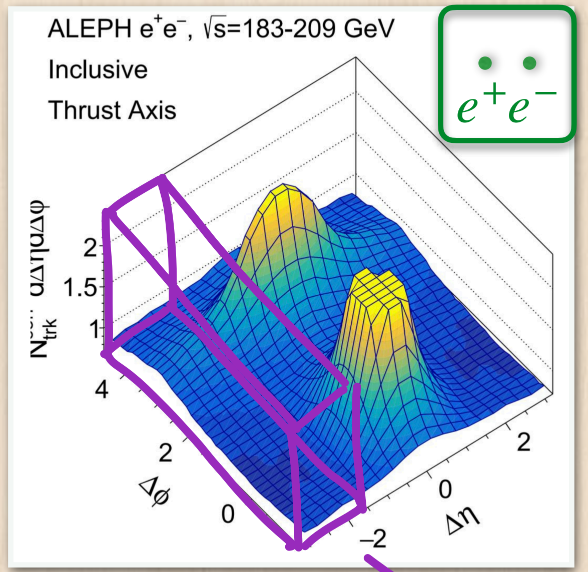


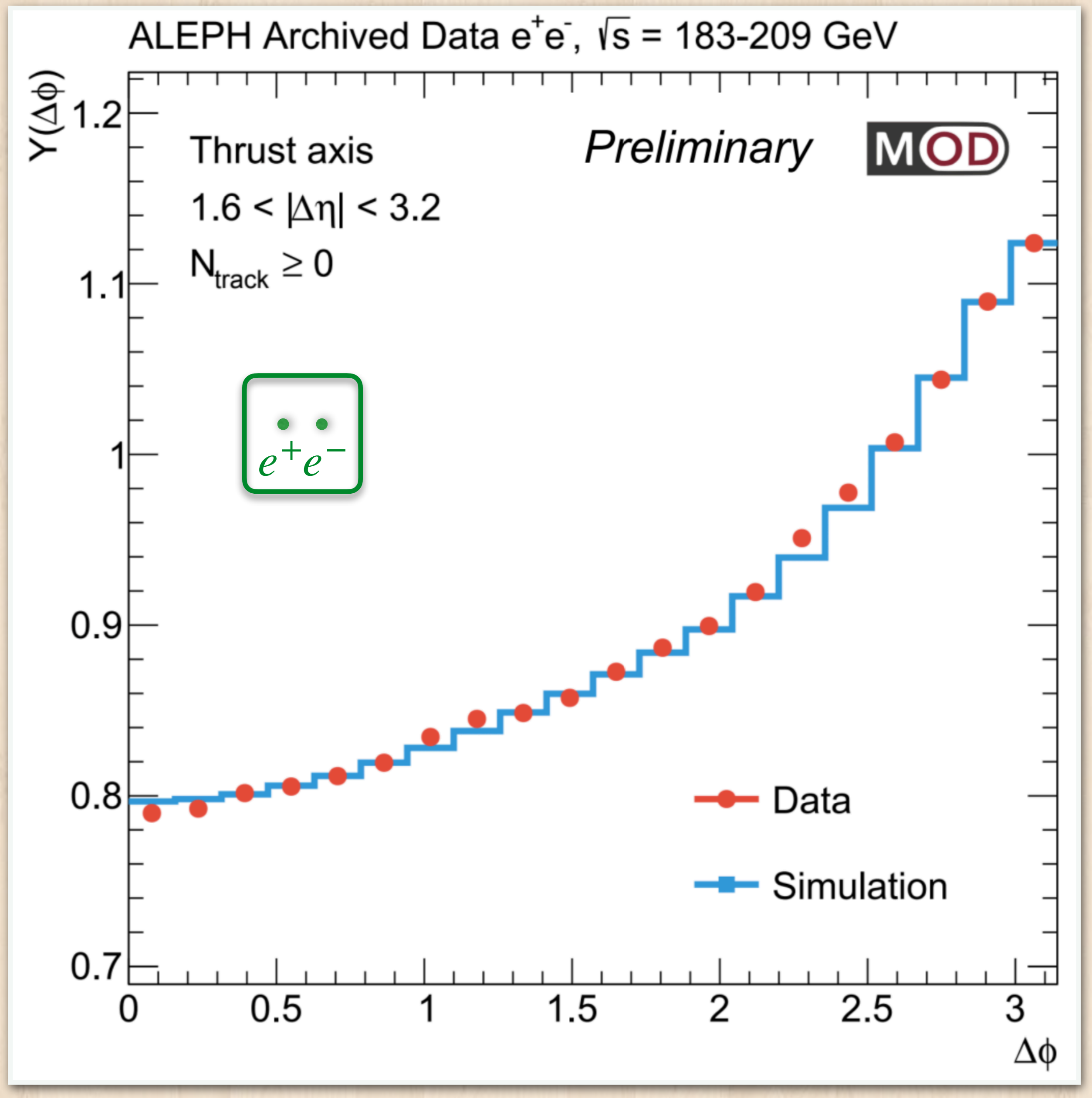
Integrated over higher energy datasets

Generally decent data-MC agreement

arXiv 2312.05084

Inclusive correlation function



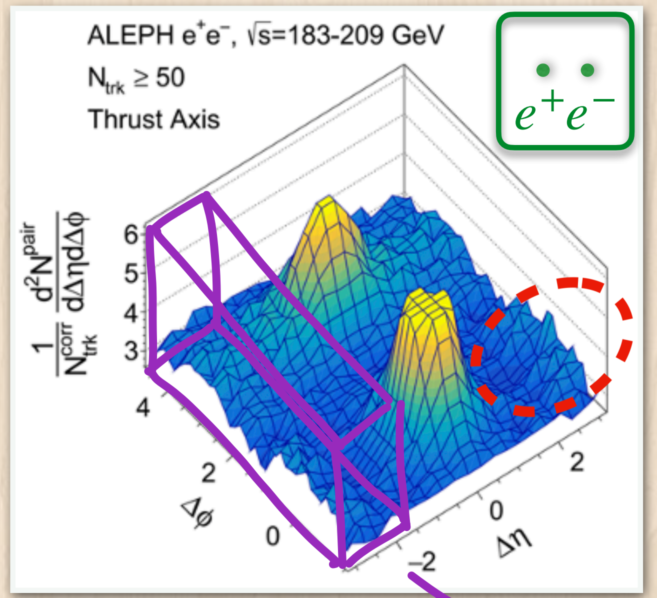


Decent data-MC agreement

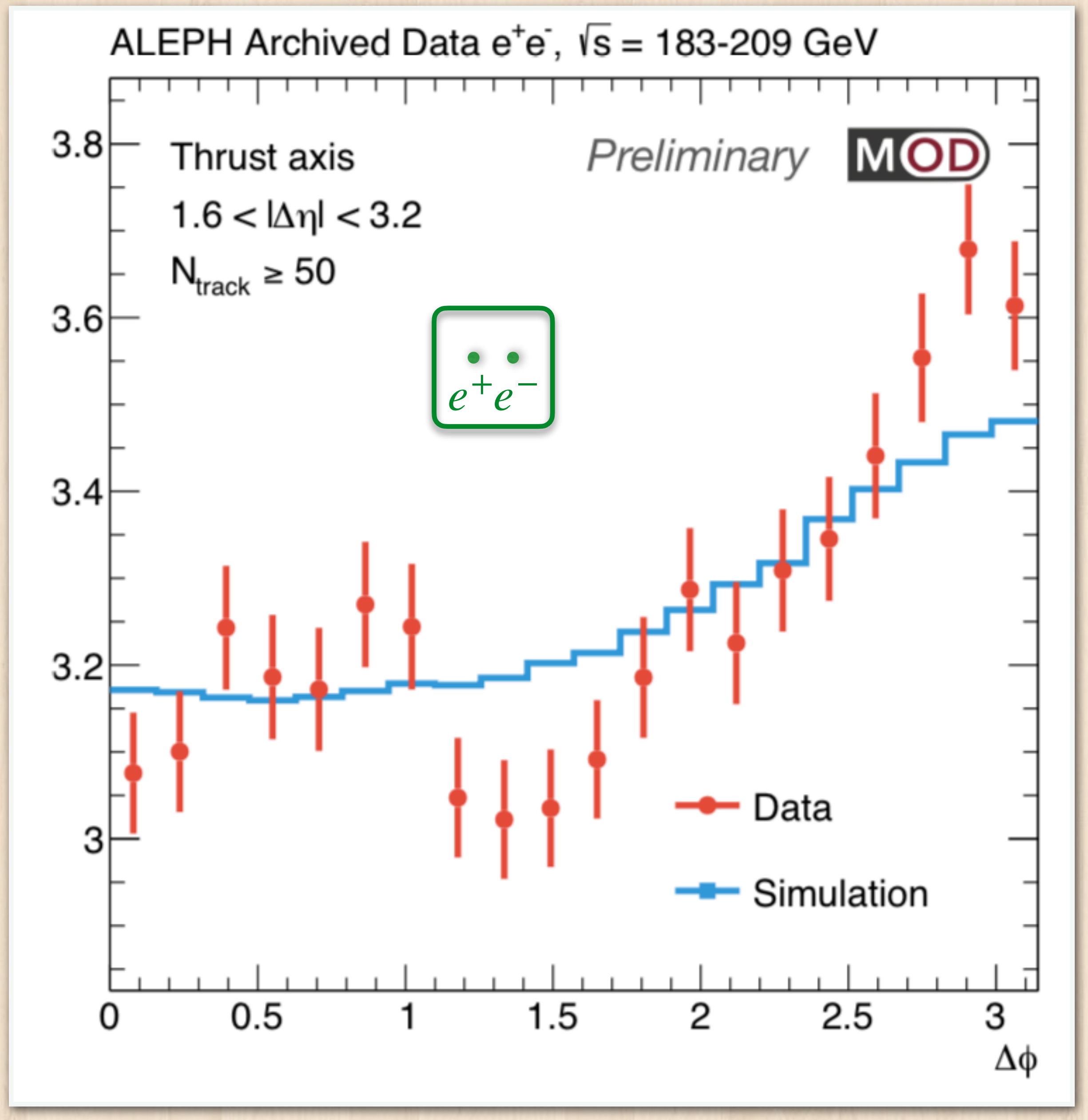
Overall things match quite well

arXiv 2312.05084

Focus on high multiplicity



Intriguing structure!



arXiv 2312.05084

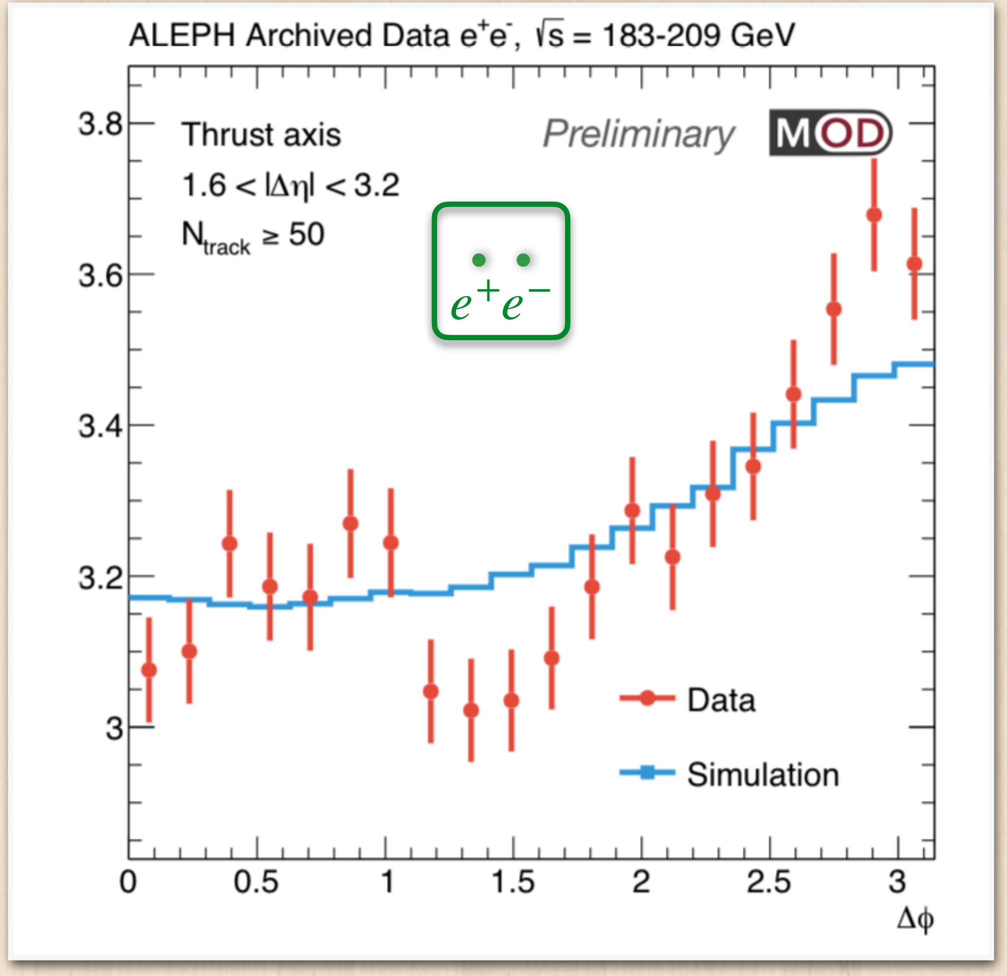
Quantify with Fourier components

Fourier decomposition of the 2-particle distribution:

$$Y(\Delta\phi) \propto 1 + \sum_n 2V_n \cos(n\Delta\phi)$$

Coefficients of "single particle":

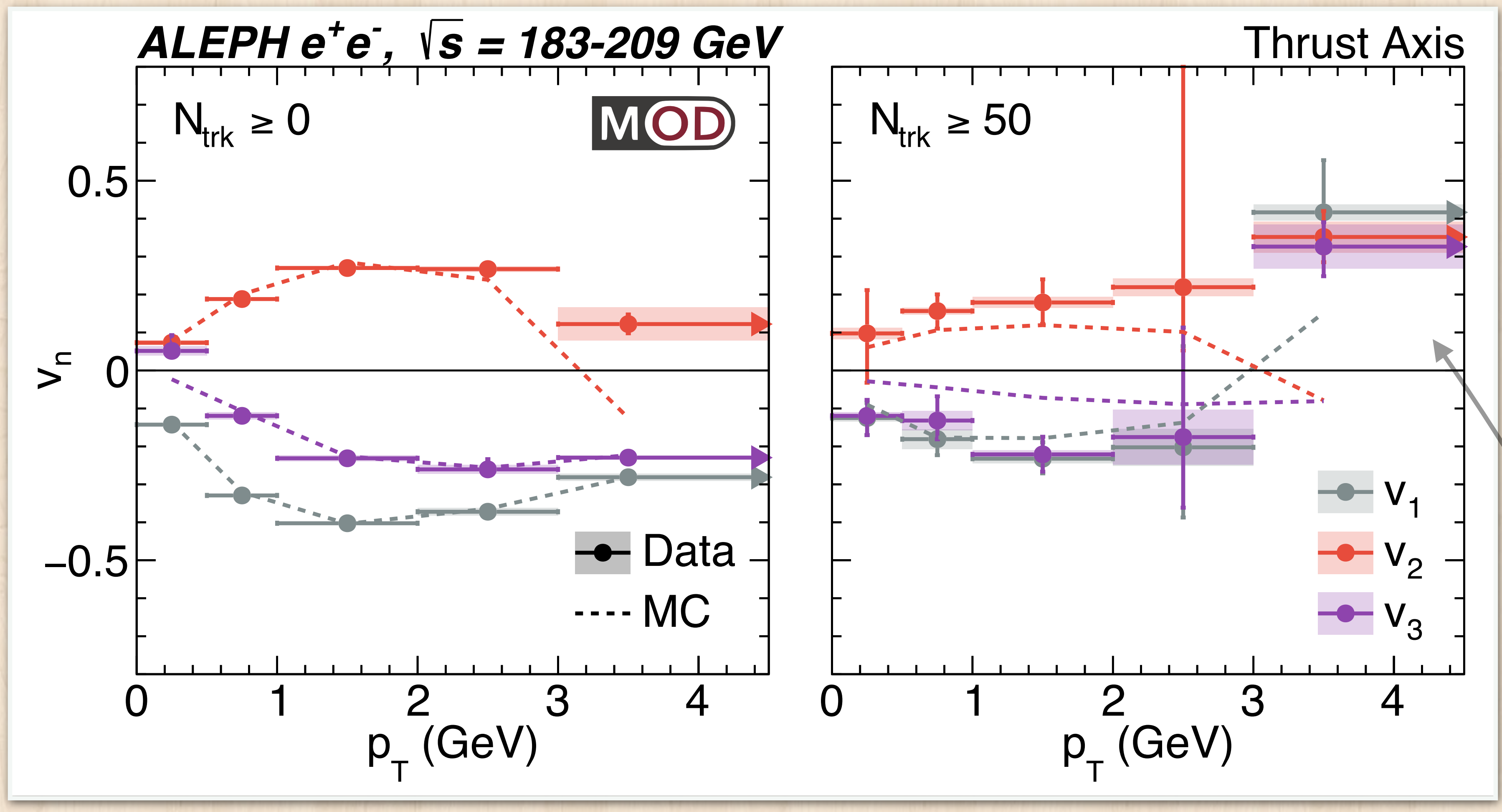
$$v_n \equiv \text{sign}(V_n)\sqrt{|V_n|}$$



arXiv 2312.05084

Quantify with Fourier components

Inclusive: decent data-MC agreement



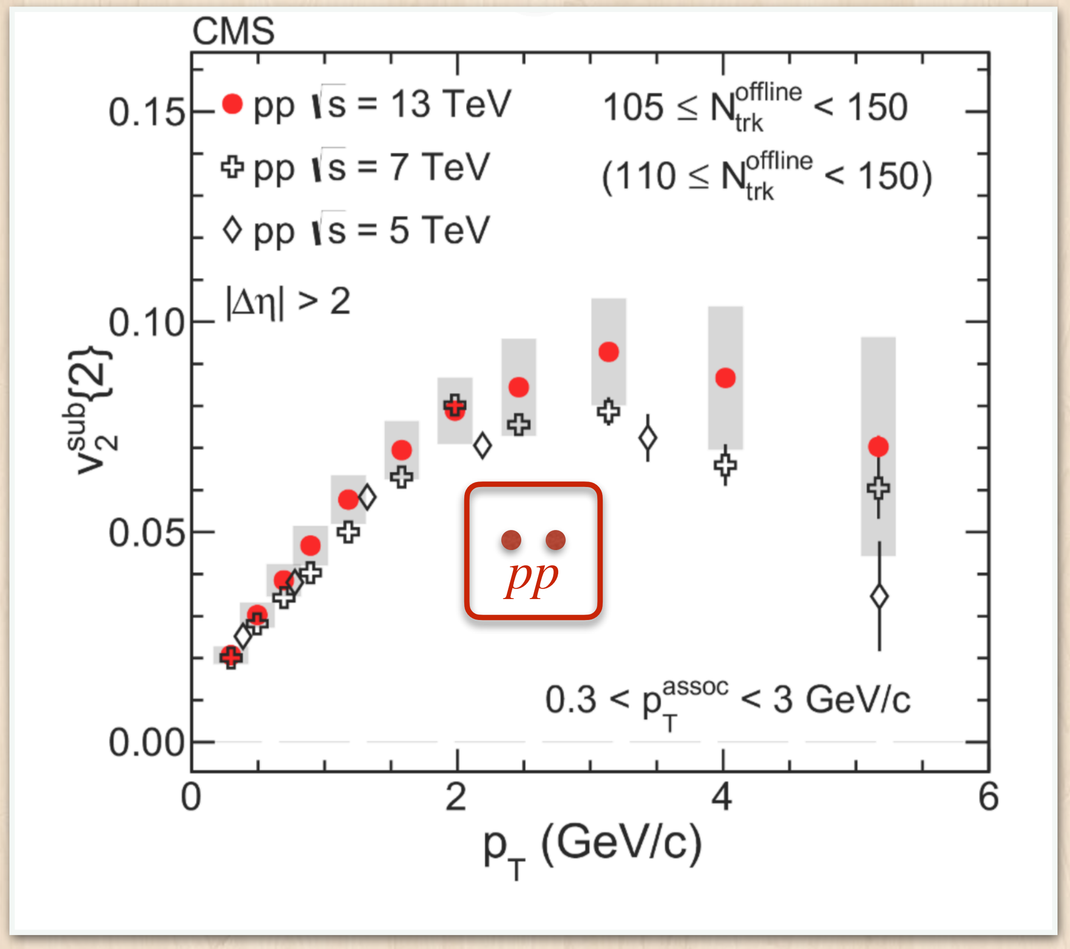
Smaller magnitude for large multiplicity

High multiplicity: magnitude larger in data

Sign change for v_1 and v_3

arXiv 2312.05084

Comparisons to LHC

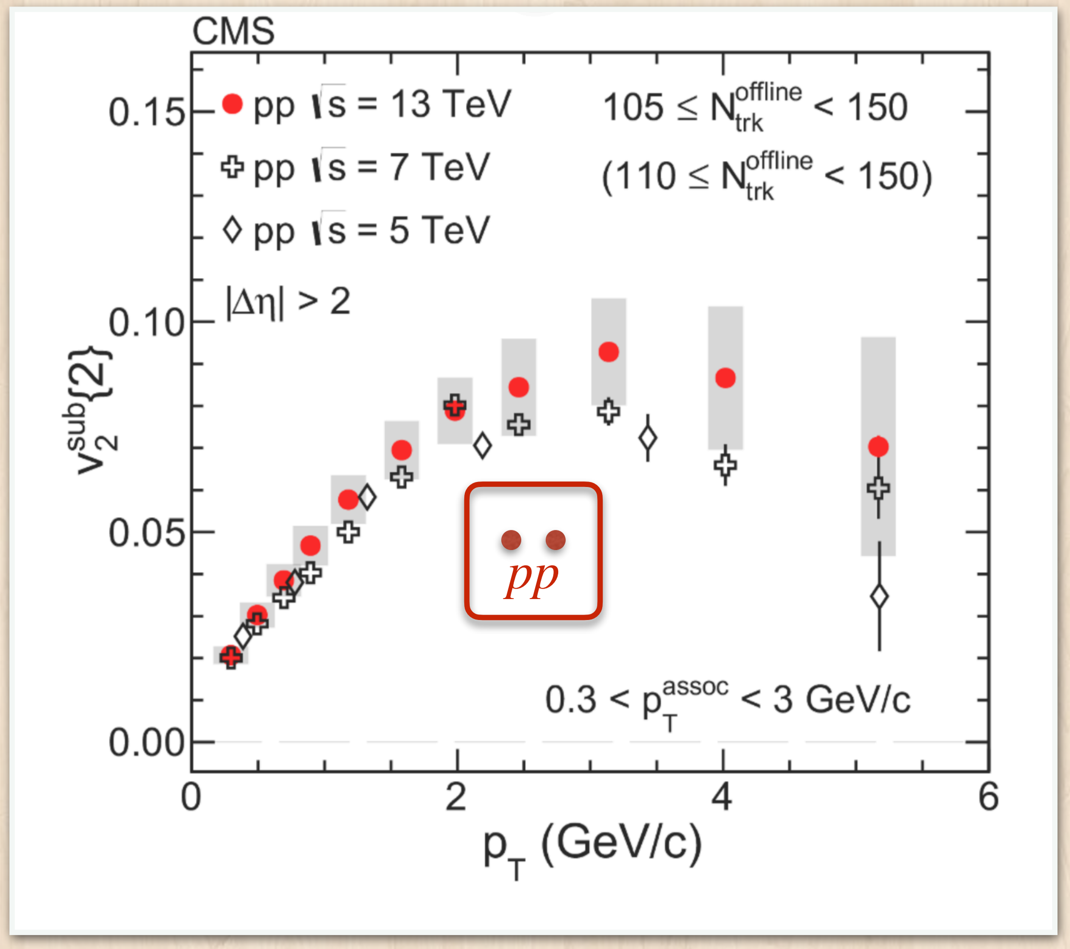


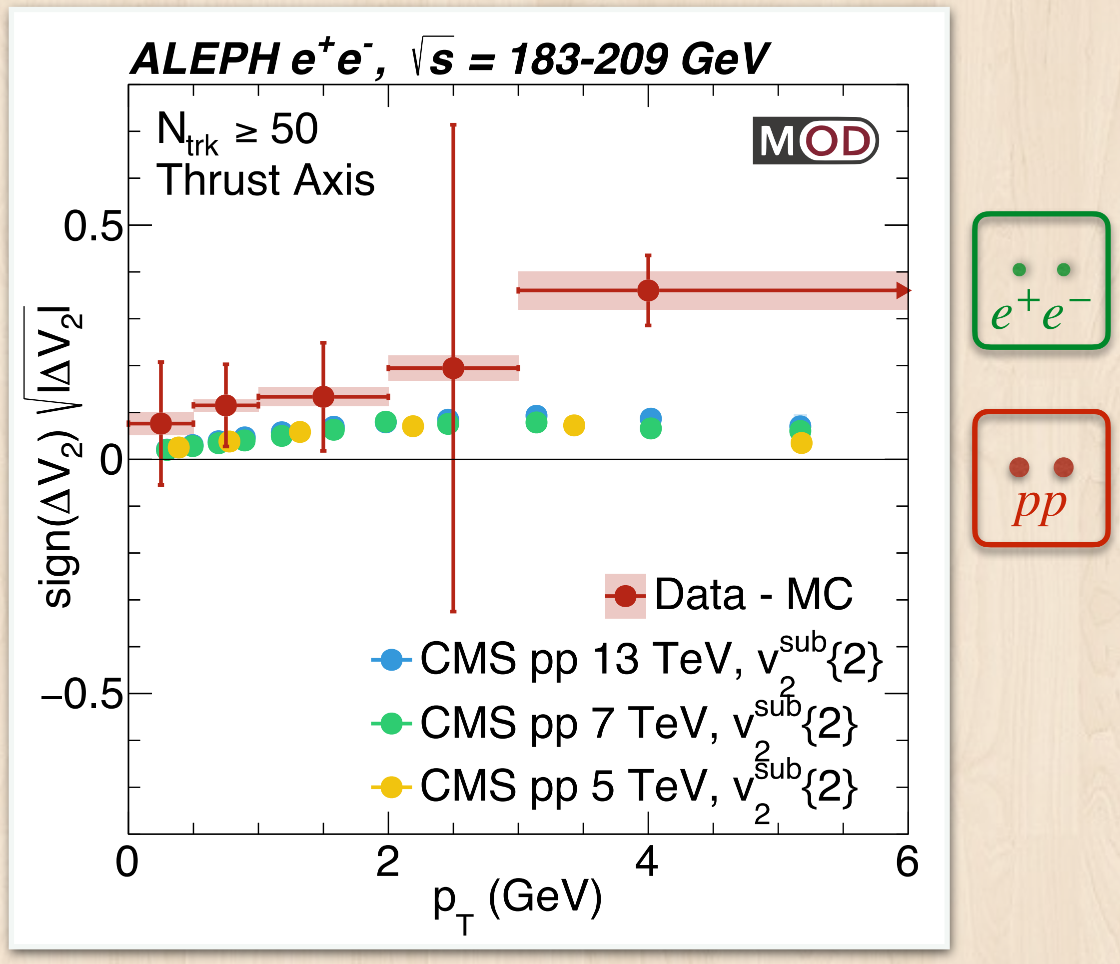
High multiplicity *pp*

Non-zero v_2

Phys.Lett.B 765 (2017) 193

Comparisons to LHC





High multiplicity *pp*

Non-zero v_2

e^+e^-: v_2(data) − v_2(MC)

Interesting trend 🤔

=> final state effect?

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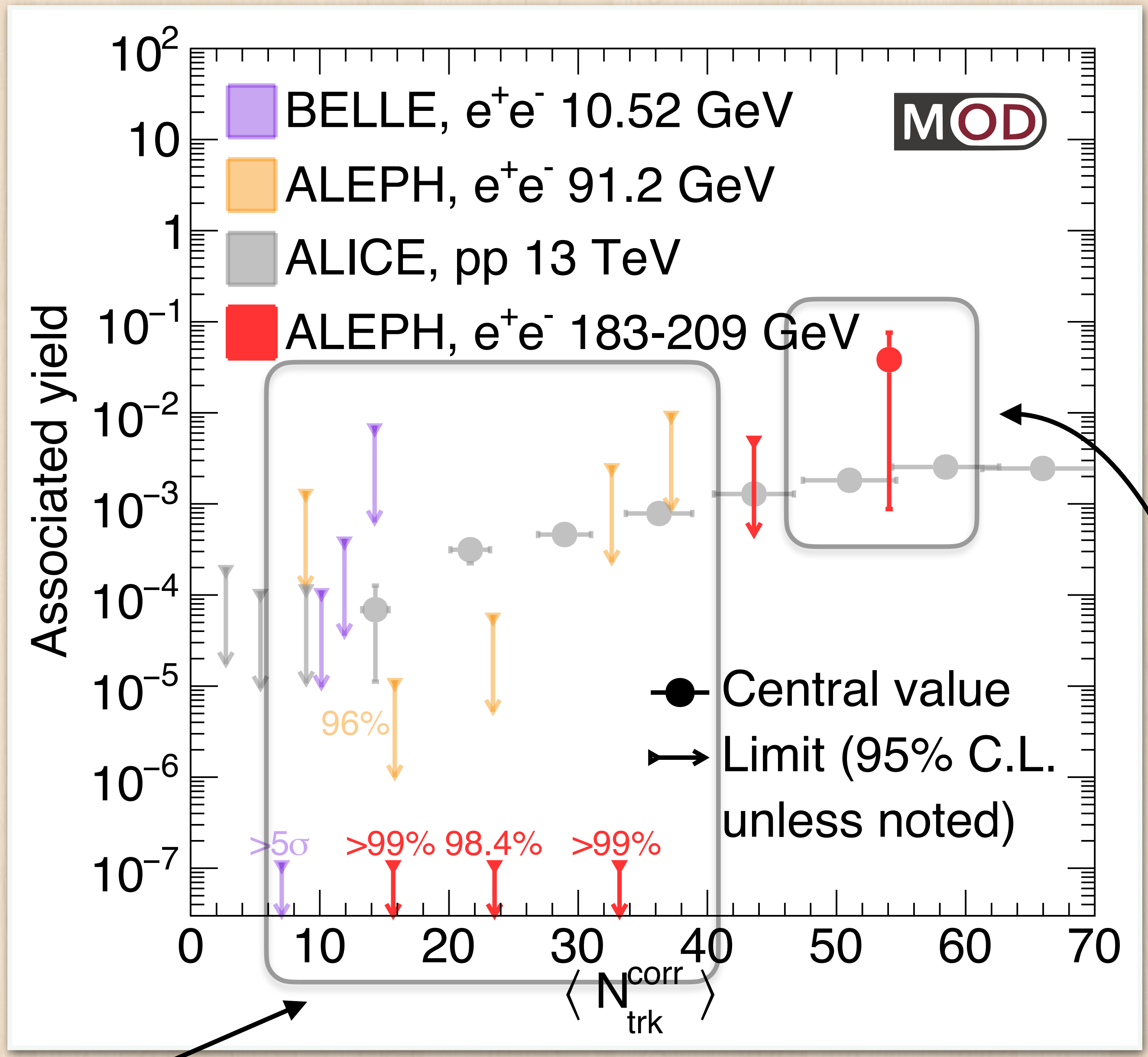
arXiv 2312.05084

Quantifying the result: ridge yield



Associated yield lower than what ALICE found in pp

Uncertainty still large but interesting trend

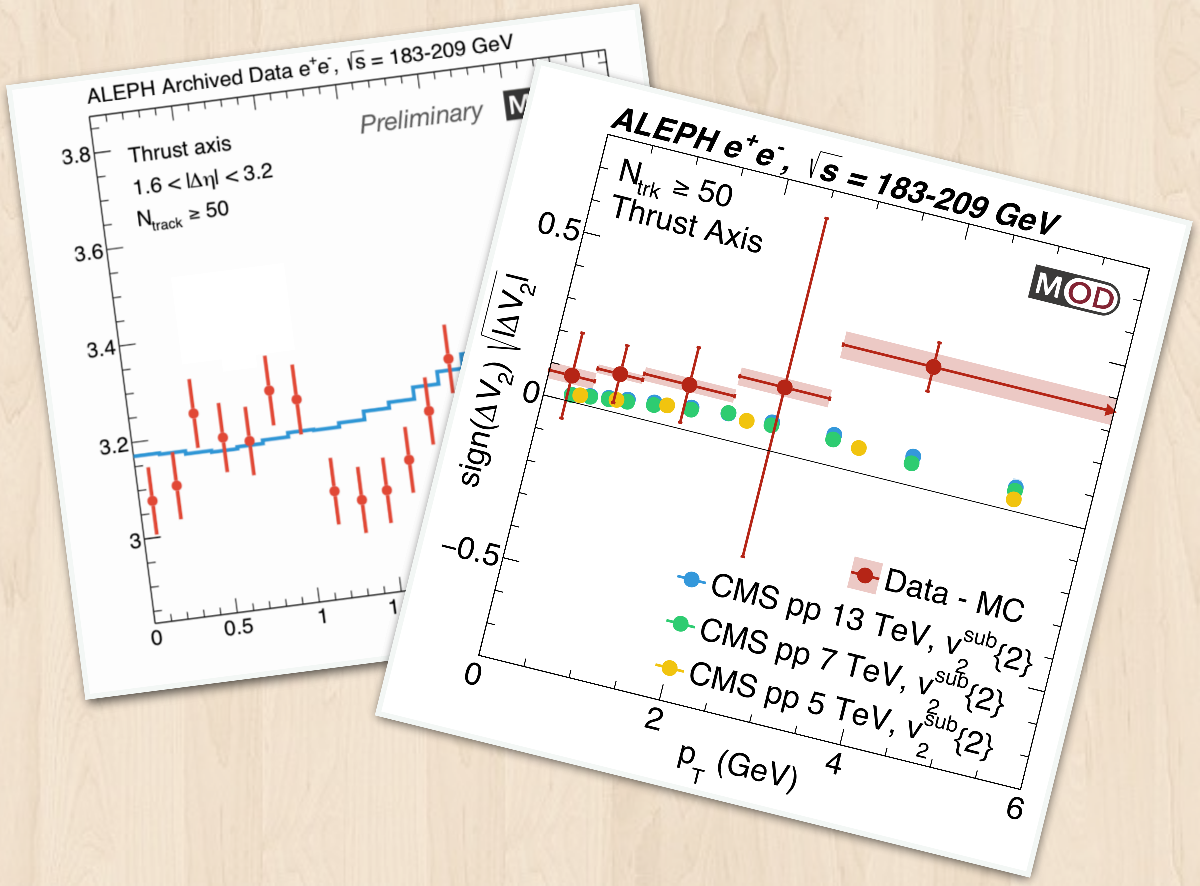
arXiv 2312.05084, 2306.04808

PRL128(2022)14; PRL123(2019)21

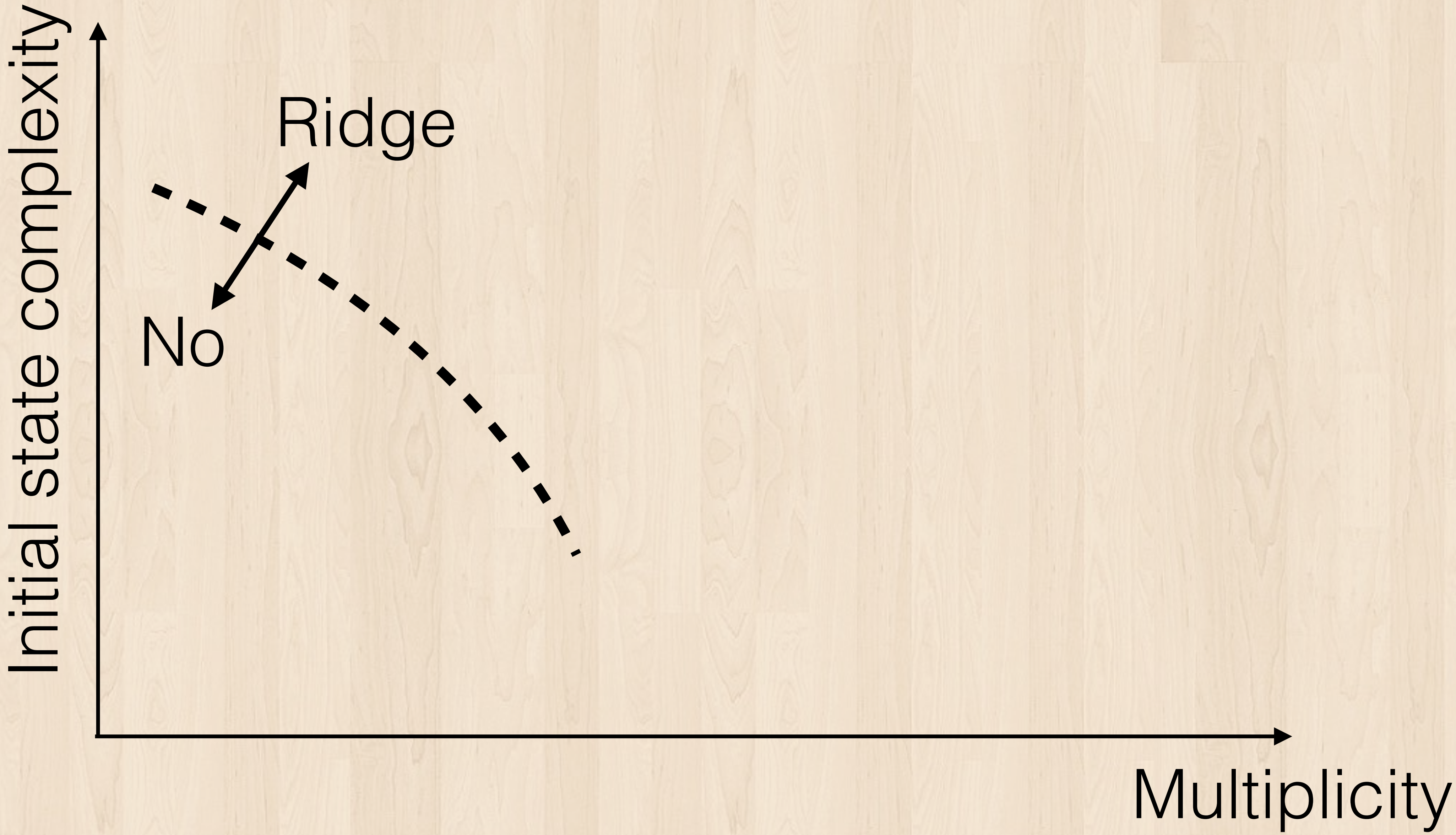
Concluding Remarks

Summary: two-particle correlation

- First measurement of two-particle correlation function for e^+e^- collisions up to 209 GeV at LEP
- No significant ridge-like signal at 91 GeV
- LEP2 with thrust axis: interesting structure in high multiplicity events



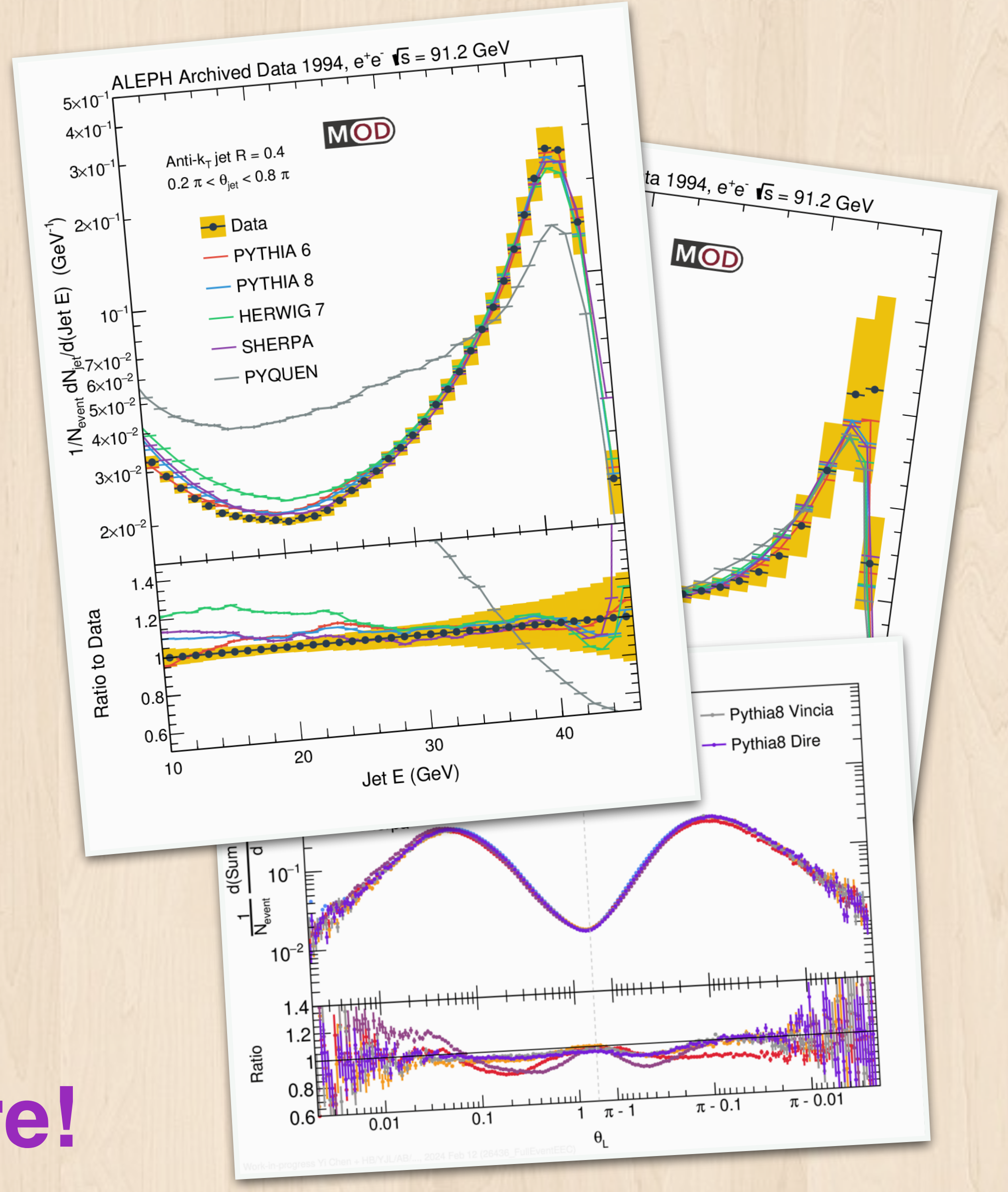
Putting into bigger picture



More places to explore! e.g. topologies in e^+e^-

What comes next for the effort?

- Two-particle correlation: more to explore with selections focusing on **different event topologies**
- Other efforts
  - First measurement of **jet spectra and substructure** [1]
  - Energy-energy correlator, etc…
- **Testing ground** for new algorithm developments (e.g. EIC)
- **Huge amount of things to explore!**



[1] JHEP 06 (2022) 008

Archived data

- Re-analysis of archived ALEPH data — multi-year process
  - A lot of effort in making sure we understand the data
  - Huge amount of help from ALEPH members
- Food for thought for ongoing experiments: preservation of knowledge, multiple MC samples, ability to rerun key software, low-level information, …
- **User test** while experiment ongoing would be best
- Allows **new ideas** popped up long after end of data-taking

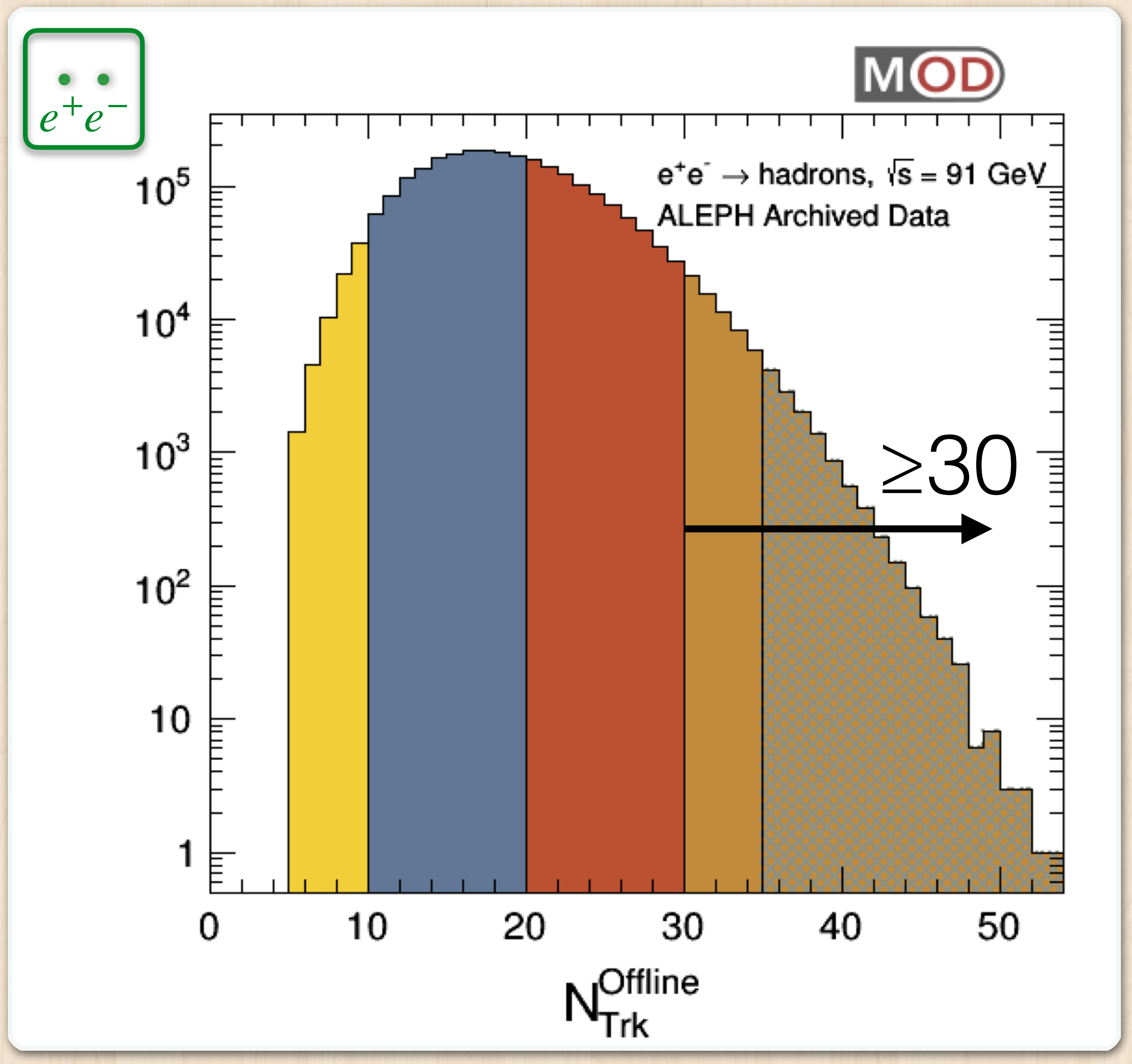
Thank you!

- We would like to thank Roberto Tenchini and Guenther Dissertori from the ALEPH collaboration for the useful comments and suggestions on the use of ALEPH archived data

- We would like to thank Felix Ringer, Jesse Thaler, Andrew Larkoski, Liliana Apolinário, Ben Nachman, Camelia Mironov, Wei Li, Wit Busza, Yang-Ting Chien, Jamie Nagle, Maxime Guilbaud, Jing Wang for the useful discussions on the analysis

Backup Slides Ahead

Particle multiplicity (LEP1)



Inspired by the pp experience, look at correlations in bins of multiplicity

We focus on the high multiplicity events in this talk

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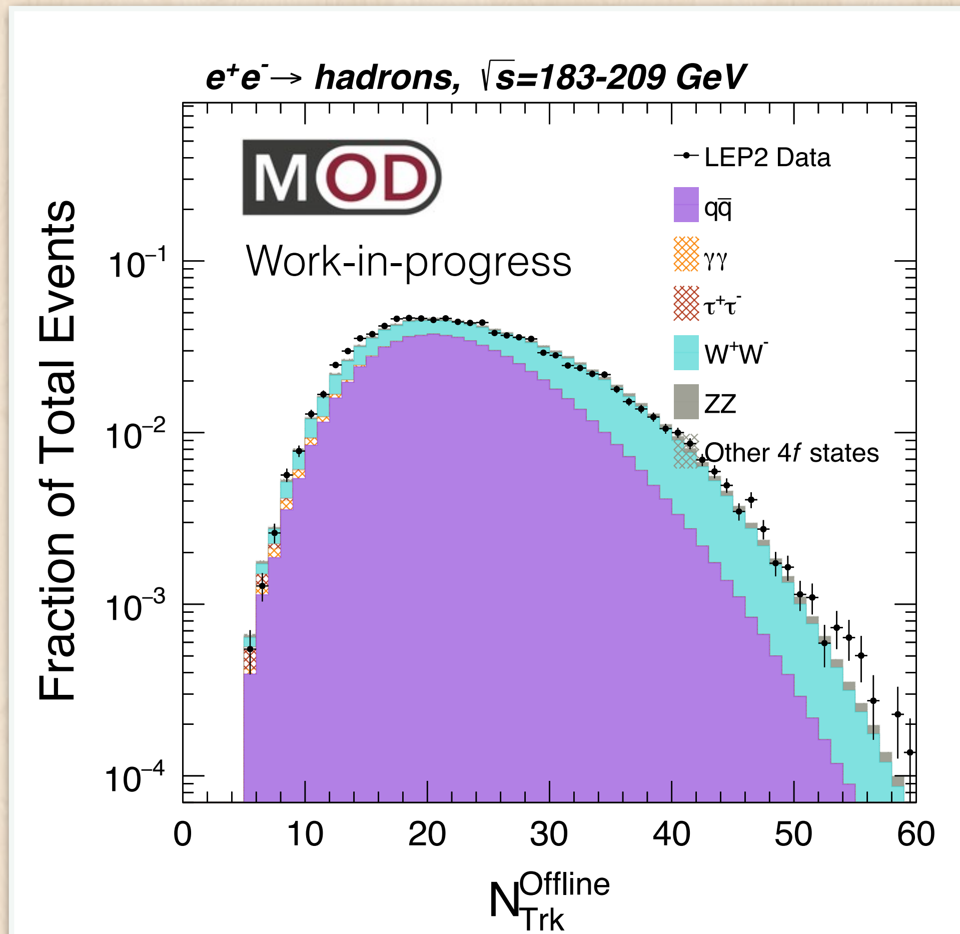
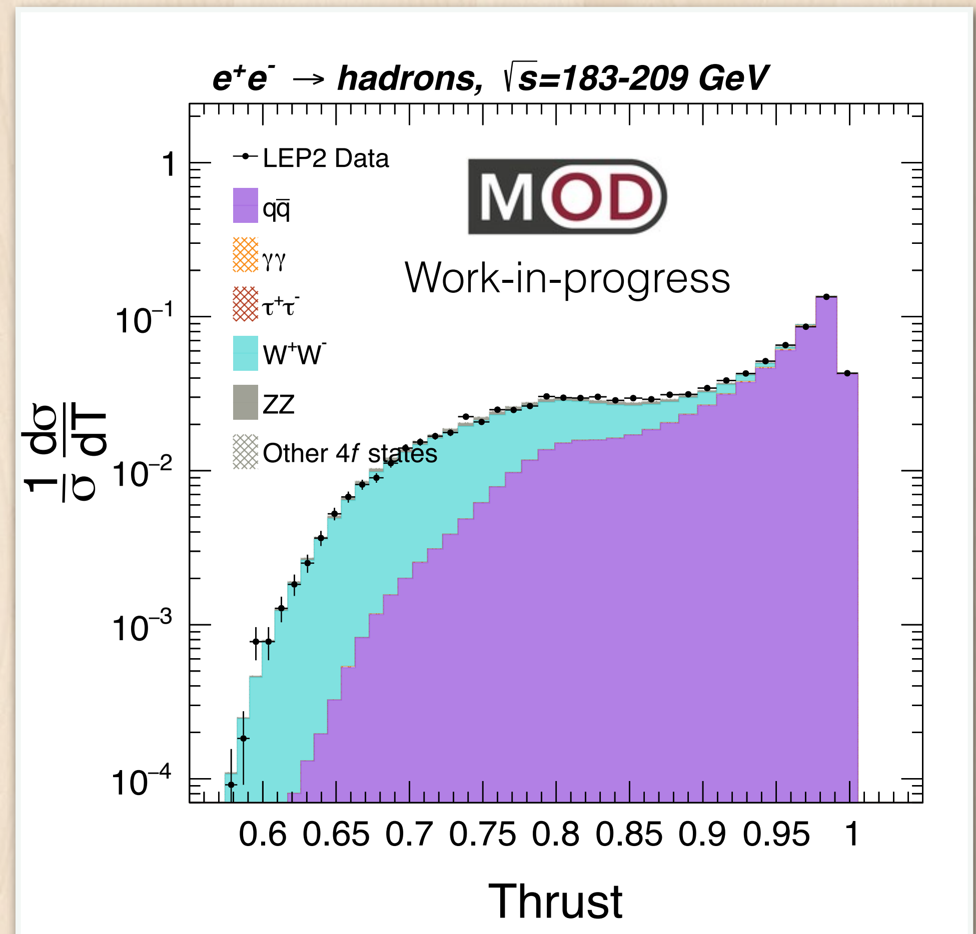
Higher energy LEP2 data



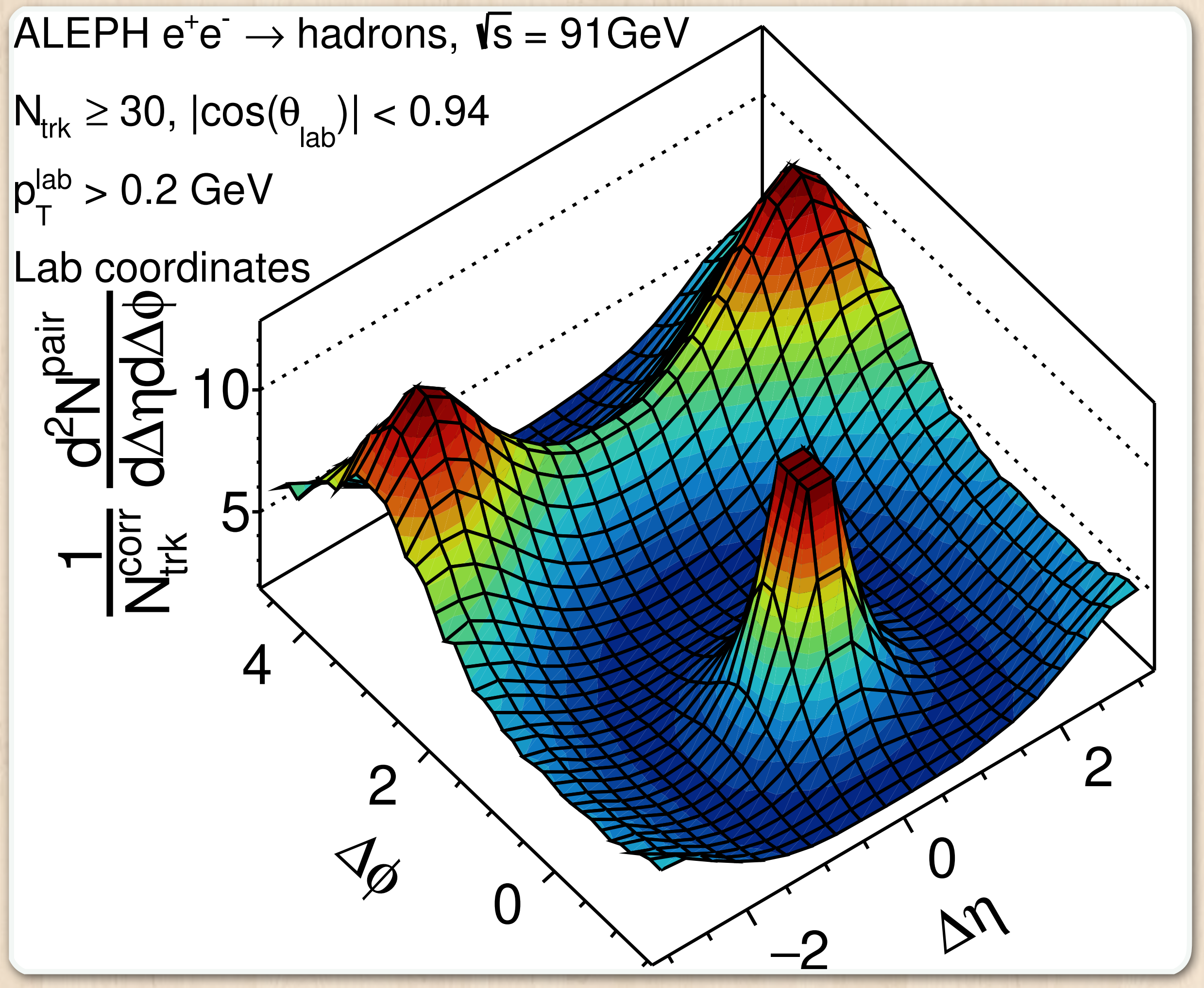


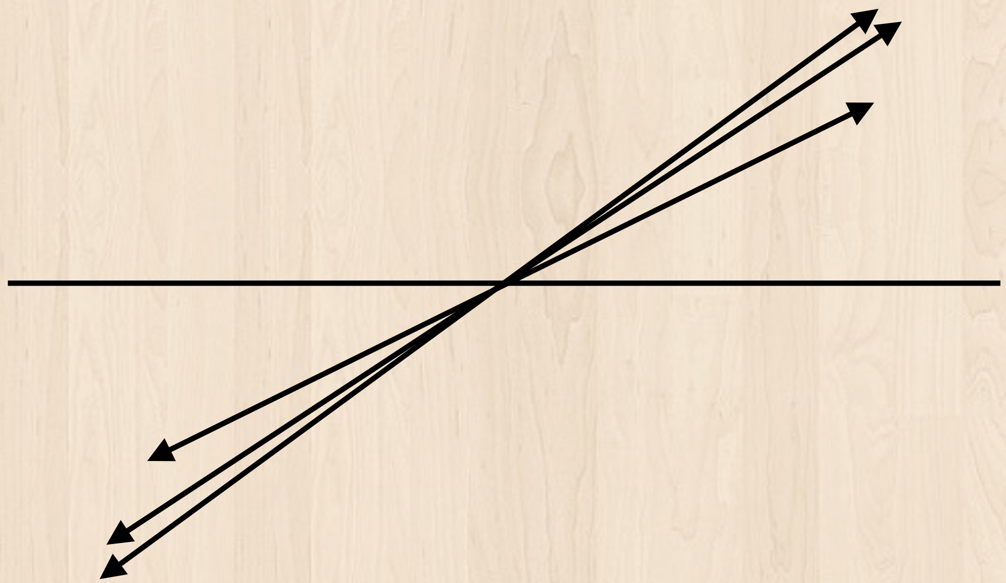
Integrated over higher energy datasets

Generally decent data-MC agreement

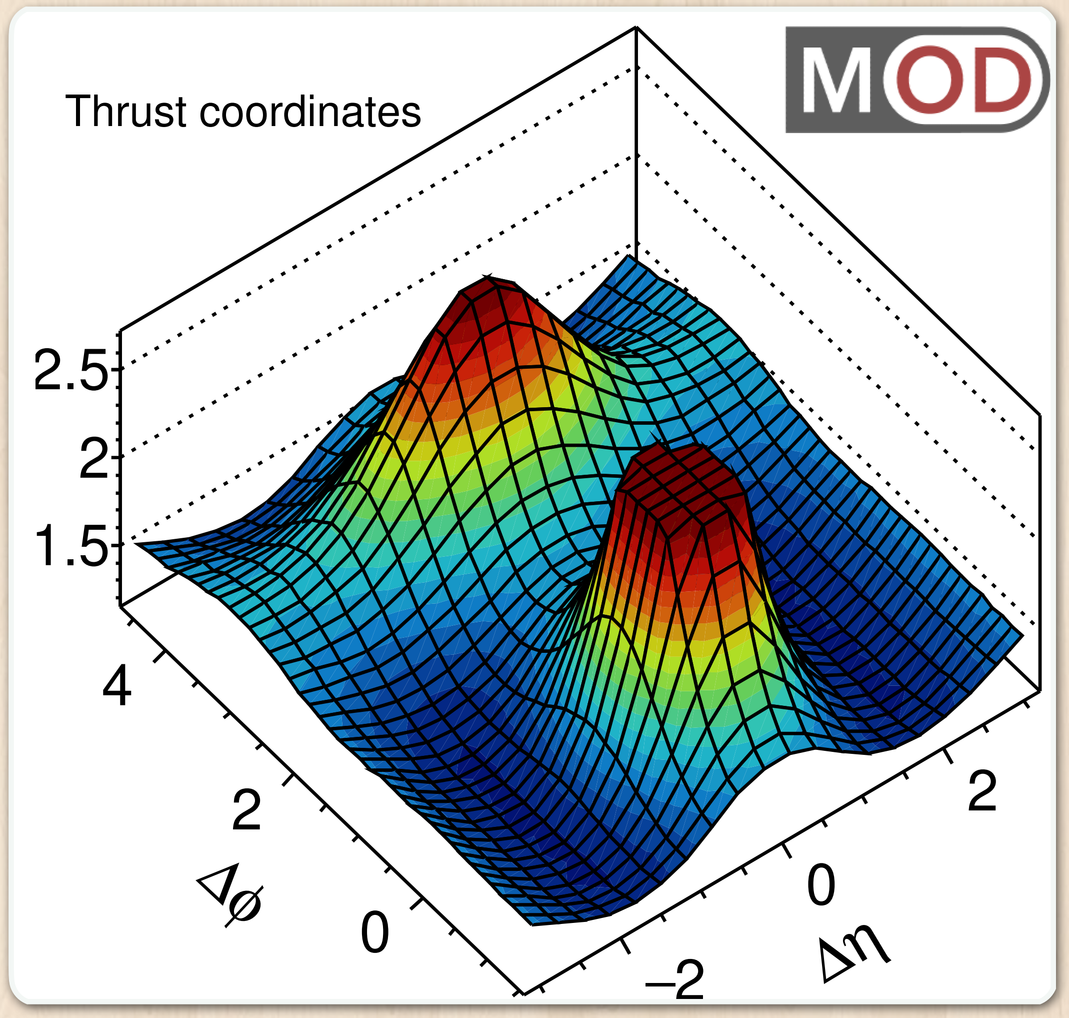
arXiv 2312.05084

Understanding beam axis

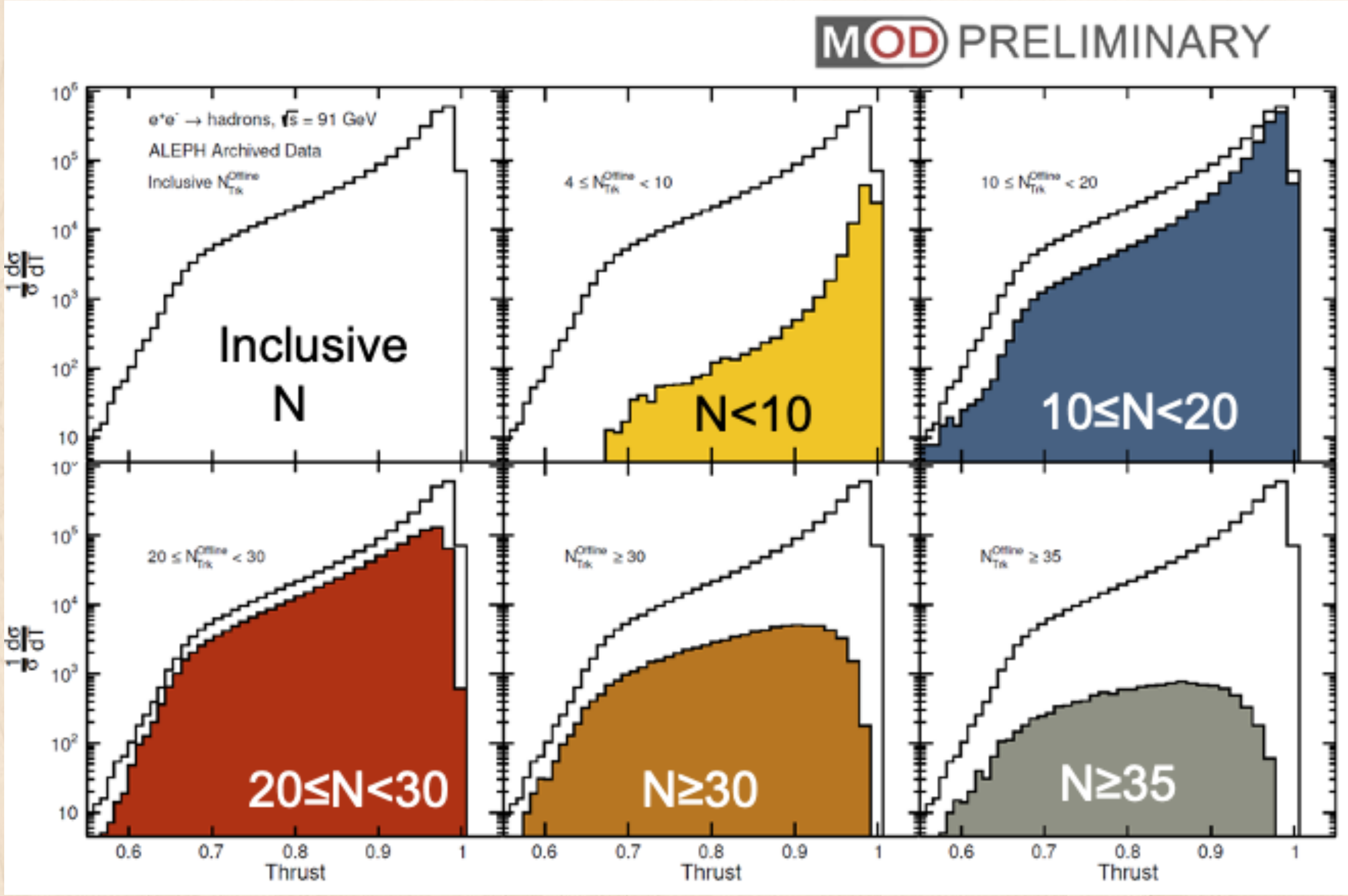




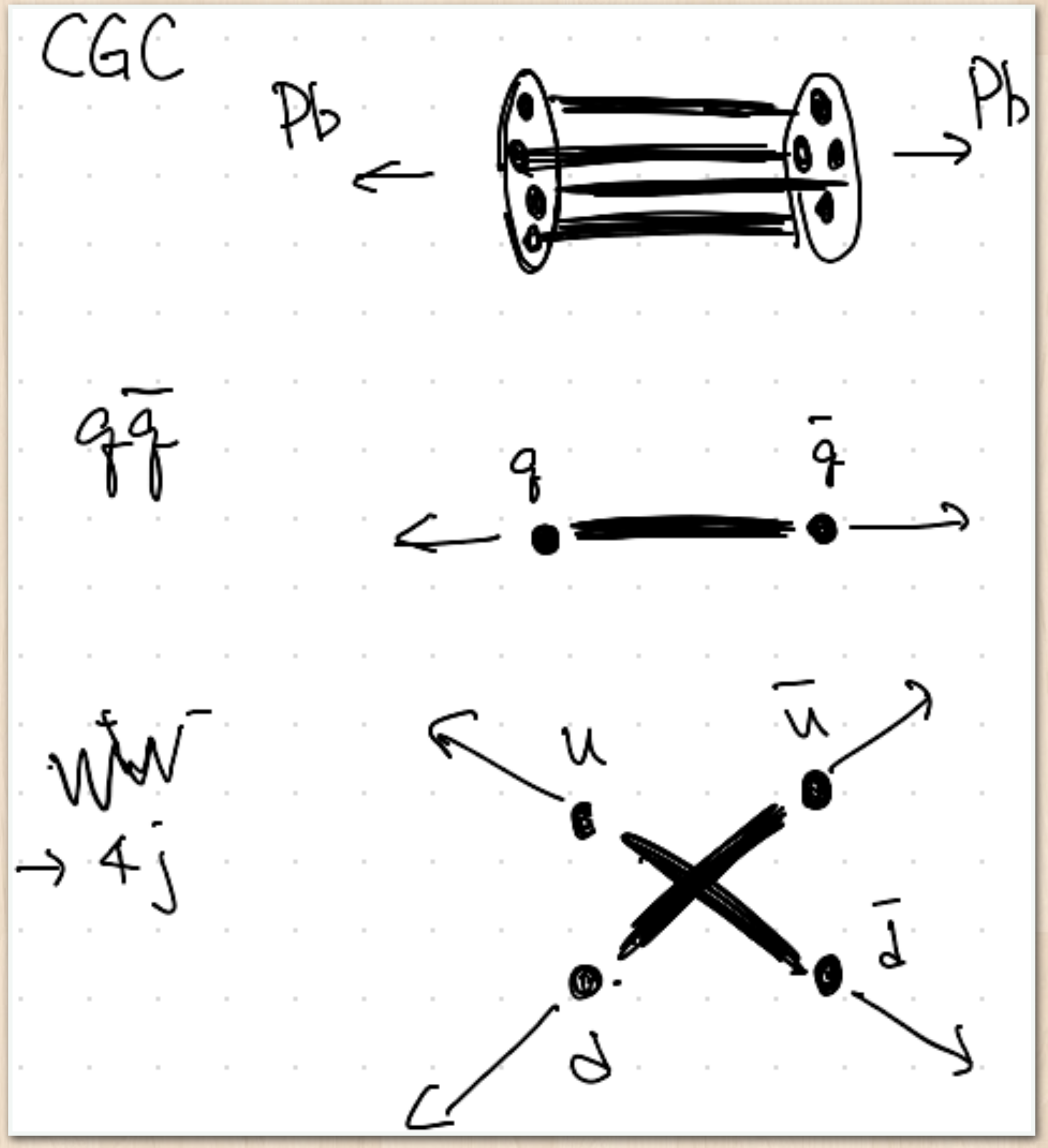
Thrust: what is what



Backup: thrust distributions



Color string configuration



Systematic uncertainties

- Event and track cuts
- Number of hits in the TPC detector
- Overall normalization
- Residual MC correction

Lab coordinate

$N_{Trk}^{Offline}$	TPC hits	Event energy and track cuts	$B(0,0)$	Residual MC correction
		LEP-I		
$[5,10)$	0.7	0.6	0.11	10.3
$[10,20)$	0.7	0.0	0.015	2.3
$[20,30)$	0.7	0.0	0.013	0.2
$[30,\infty)$	0.7	0.0	0.027	1.2
$[35,\infty)$	0.7	0.0	0.057	4.4
		LEP-II		
$[10,20)$	0.28	6.84	0.10	1.52
$[20,30)$	1.99	2.97	0.06	0.61
$[30,40)$	1.13	0.64	0.06	1.10
$[40,50)$	0.45	0.10	0.09	1.50
$[50,\infty)$	2.52	0.21	0.17	1.74

Thrust coordinate

$N_{Trk}^{Offline}$	TPC hits	Event energy and track cuts	$B(0,0)$	Residual MC correction
		LEP-I		
$[5,10)$	0.3	3.4	0.88	0.50
$[10,20)$	0.3	0.0	0.09	0.21
$[20,30)$	0.3	0.0	0.05	0.06
$[30,\infty)$	0.3	0.0	0.06	0.21
$[35,\infty)$	0.3	0.0	0.13	0.21
		LEP-II		
$[10,20)$	1.09	0.39	0.44	1.17
$[20,30)$	0.68	0.44	0.21	0.11
$[30,40)$	0.65	0.05	0.12	0.10
$[40,50)$	0.73	0.04	0.16	0.13
$[50,\infty)$	1.60	0.50	0.27	0.02