

# Collinear matching for TMD distributions in the background field method

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based on JHEP05 (2019) 125



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C O M P L U T E N S E  
M A D R I D

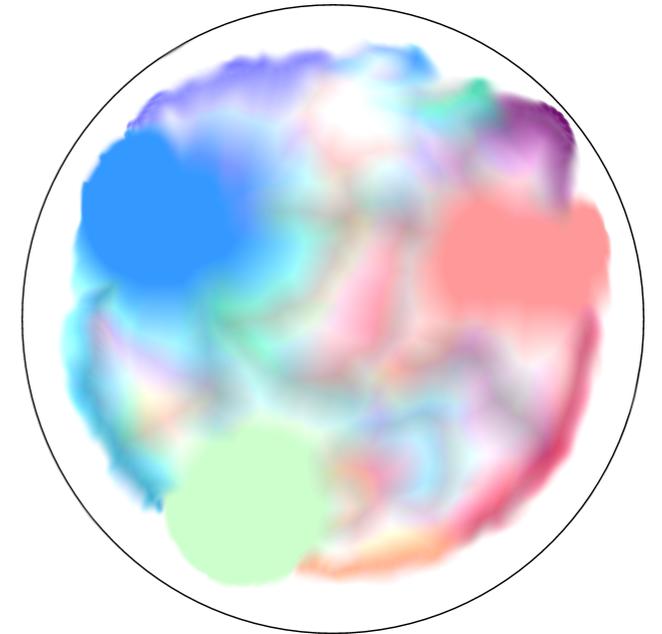
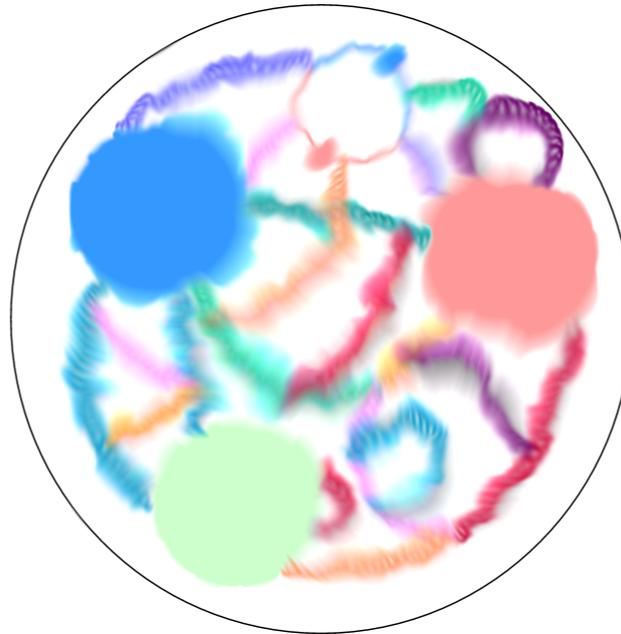
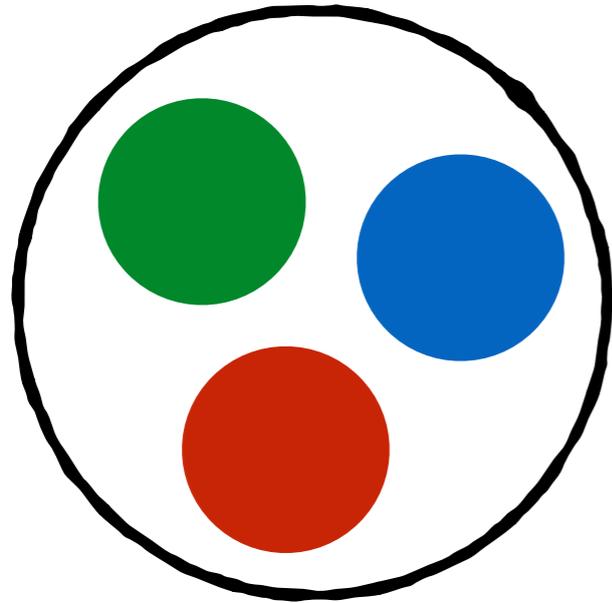


Universität Regensburg

# Hadron as a many-body parton system

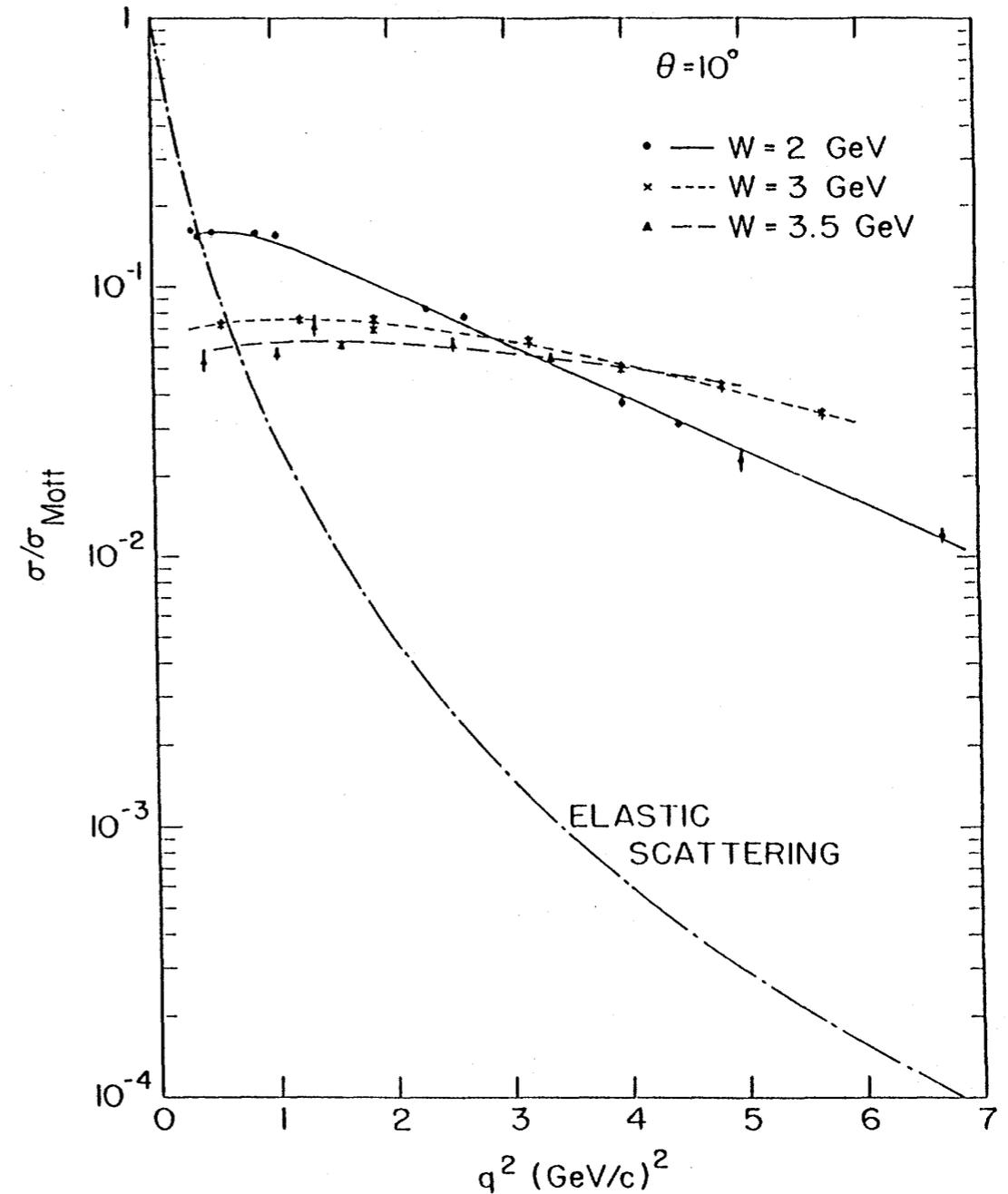
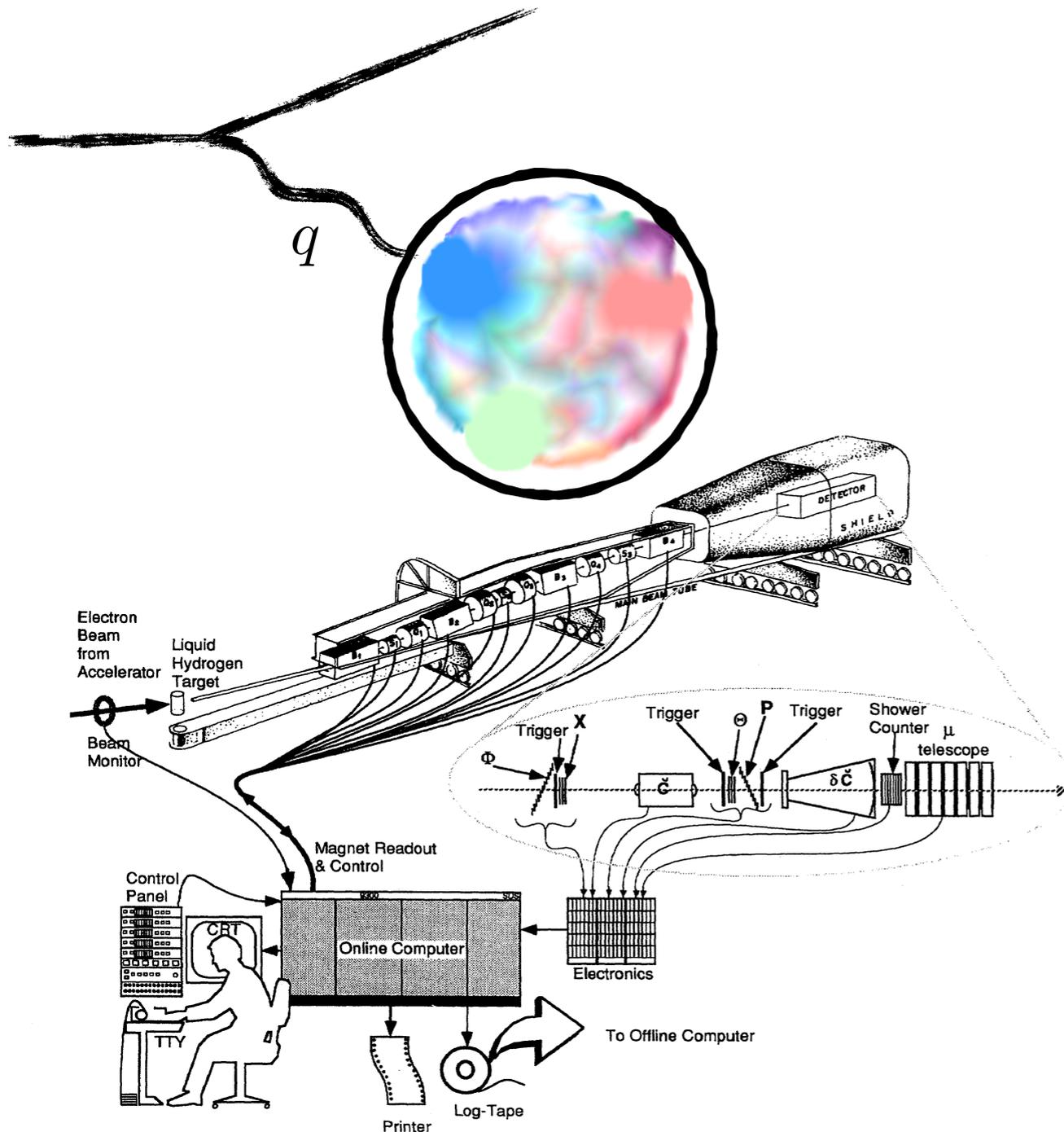
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$$1 \text{ fm} \sim 5 \text{ GeV}^{-1}$$



- The typical hadron scale is small
- Due to running of the coupling constant one has to understand the hadron as a many-body parton system which is strongly bonded
- Hadron is characterized by complex dynamics of parton interactions
- How do we study this system?

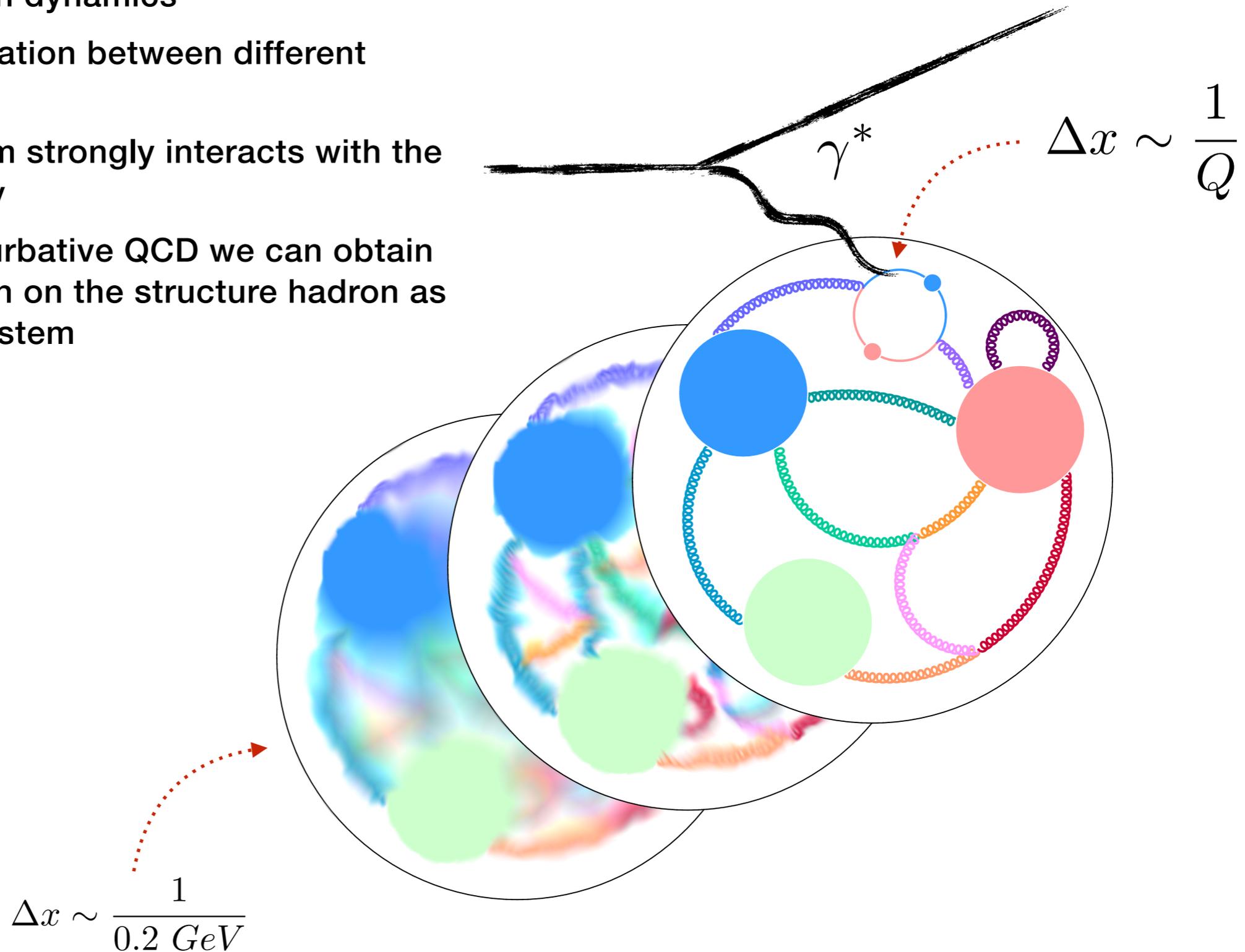
# High-energy probe (external scale)



- How does the strongly bounded system respond to a high-energy probe?
- The existence of individual quarks in the system was discovered
- It became the foundation of the parton model and perturbative QCD

# Hadron as a many-body parton system

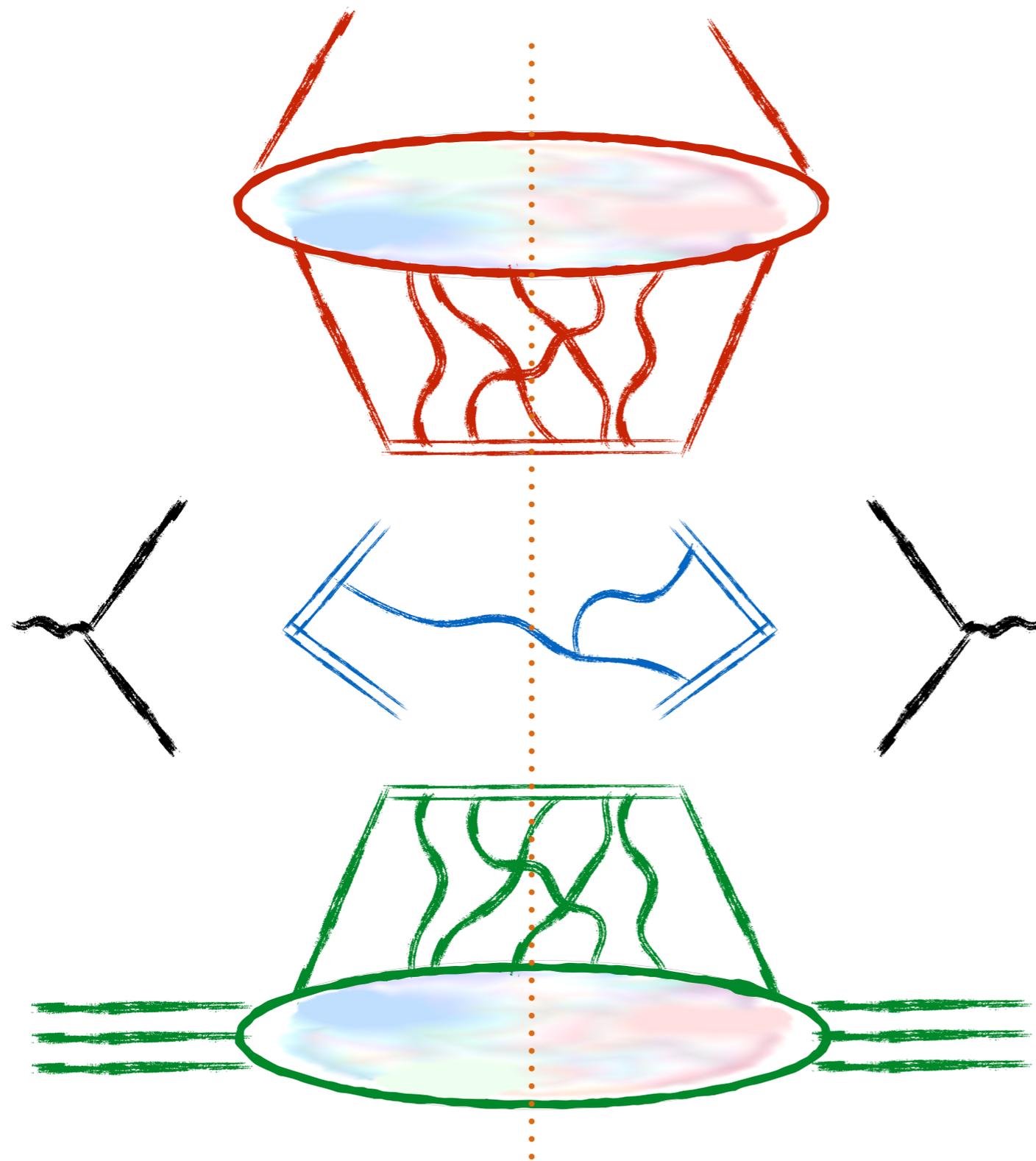
- Interacting with external probe the hadron reveals different types of parton dynamics
- There is a strong correlation between different phases
- The dense QCD medium strongly interacts with the probe in dynamical way
- Using methods of perturbative QCD we can obtain very precise information on the structure hadron as a many-body parton system



# Factorization and different types of dynamics

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- Separation of different phases is based on TMD factorization



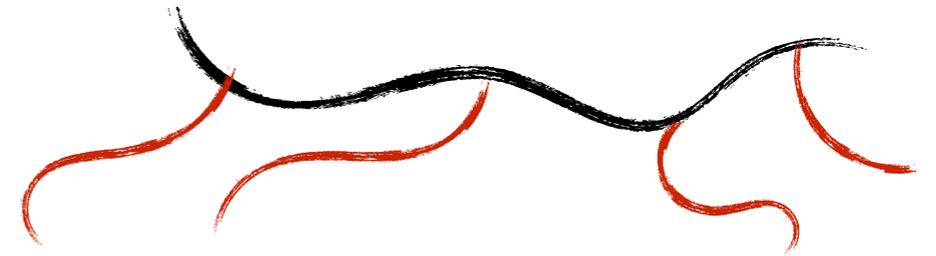
J.C. Collins, D.E. Soper and G. Sterman,  
Phys. Lett. B 109 (1982) 388;  
J.C. Collins, D.E. Soper and G.F. Sterman,  
Nucl. Phys. B 250 (1985) 199;  
G.T. Bodwin, Phys. Rev. D 31 (1985) 10;  
X.-d. Ji, J.-p. Ma and F. Yuan, Phys. Rev. D  
71 (2005) 034005;  
M.G. Echevarria, A. Idilbi and I. Scimemi,  
JHEP 07 (2012) 002

# Background field method

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L.F. Abbott, Acta Phys. Polon. B13 (1982) 33

$$S_{bQCD}(A, B) = S_{QCD}(A + B) - S_{QCD}(B)$$



$$\mathcal{L} = -\frac{1}{2} A_{\mu}^a \left( -g^{\mu\nu} \mathcal{D}^2 - 2[\mathcal{D}^{\mu}, \mathcal{D}^{\nu}] \right)^{ab} A_{\nu}^b + \text{int. terms}$$

$$\mathcal{D}_{\mu} = \partial_{\mu} - igB_{\mu}$$

- We can separate different phases of the many body parton system at the level of the QCD Lagrangian
- The method provides a consistent way to take into account interaction of the perturbative phase with a non-perturbative background (many body interactions)

# Background field method

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$$S_{bQCD}(A, B) = S_{QCD}(A + B) - S_{QCD}(B)$$



$$(x | \frac{1}{\mathcal{P}^2 + i\epsilon} | y) = (x | \frac{1}{p^2 + i\epsilon} - \frac{1}{p^2 + i\epsilon} \{p, B\} \frac{1}{p^2 + i\epsilon} + \dots | y)$$

- We can precisely describe interaction between phases using expansions in the background field. An expansion parameter is required
- We can consider different types of interaction with the non-perturbative parton system
- The expansion can be rearrange into explicitly gauge invariant form

# Power corrections to TMD factorization

TMD factorization

Collinear factorization

$Q$   $q_{\perp}$

$$W(\alpha_z, \beta_z, q_{\perp}) \simeq - \frac{e^2}{8s_W^2 c_W^2 N_c} \int d^2 k_{\perp} \frac{1}{k_{\perp}^2 (q - k)_{\perp}^2} \left[ 1 - 2 \frac{(k, q - k)_{\perp}}{Q^2} \right] \\ \times \left[ \left\{ (1 + a_u^2) [f_u(\alpha_z) \bar{f}_u(\beta_z) + \bar{f}_u(\alpha_z) f_u(\beta_z)] \right\} + \left\{ u \leftrightarrow c \right\} + \left\{ u \leftrightarrow d \right\} + \left\{ u \leftrightarrow s \right\} \right]$$

I. Balitsky, A. Tarasov, JHEP 05 (2018) 150

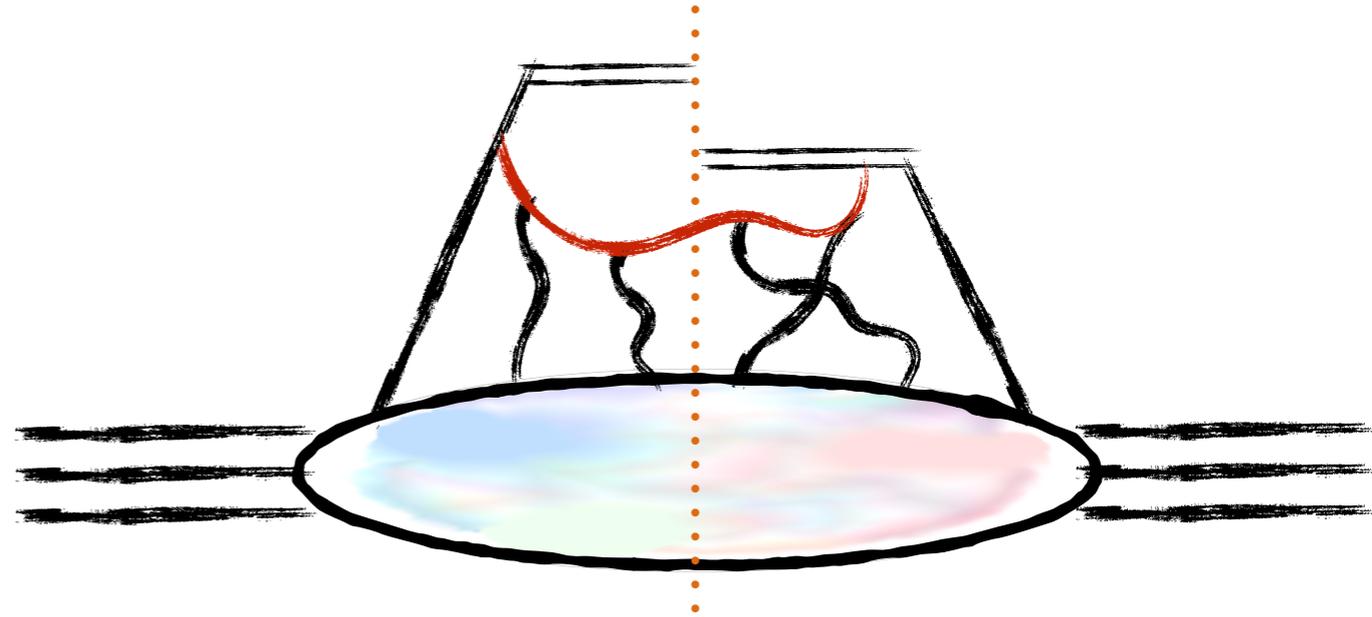
- Hard part of the Drell-Yan process in the background field was calculated.
- We introduced parametrization of the background field
- With certain approximations the structure of corrections gets a very simple form
- We estimate that effects become important at  $q_{\perp} \sim \frac{1}{4} Q$
- The method can be used for analysis of factorization breaking effects in polarized observables

# TMD distributions and scale parameters

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$$\frac{d}{d \ln \mu^2} F(x, b; \zeta, \mu) = \frac{\gamma(\mu, \zeta)}{2} F(x, b; \zeta, \mu)$$

$$\frac{d}{d \ln \zeta} F(x, b; \zeta, \mu) = -\mathcal{D}(\mu, b) F(x, b; \zeta, \mu)$$



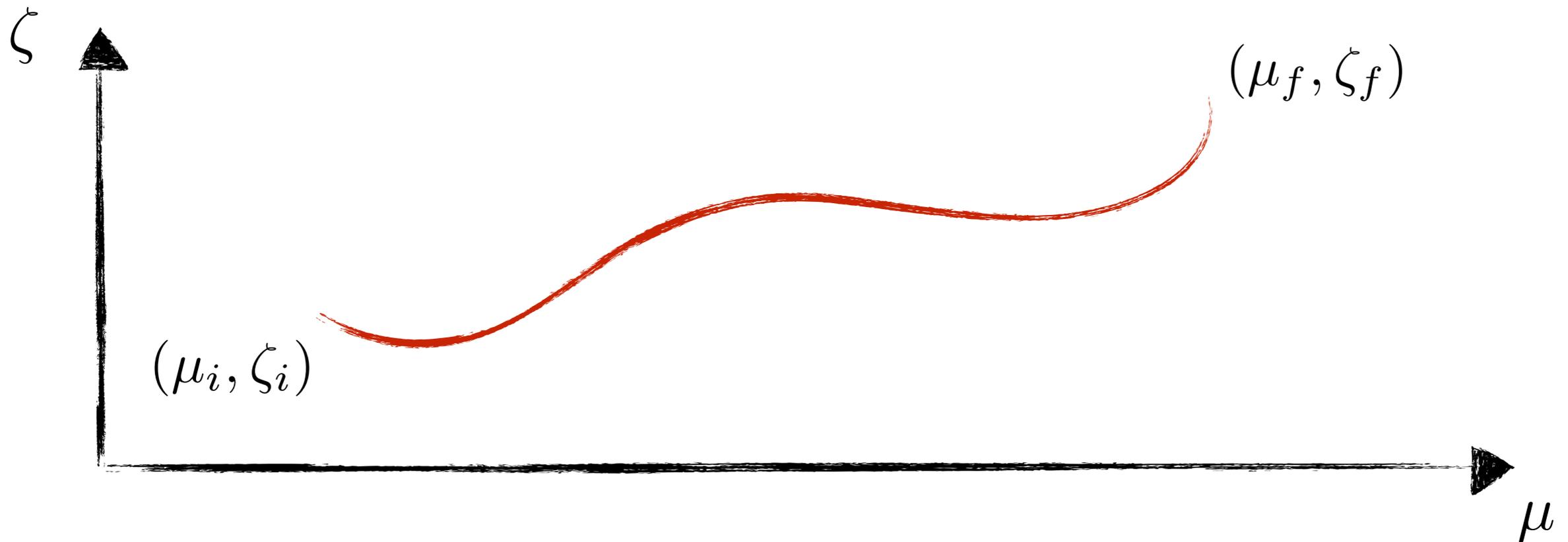
J. C. Collins, Foundations of perturbative QCD, 2011

- We look at interaction between different phases
- Interaction of perturbative and non-perturbative phases can be described through evolution equations
- Dependence of TMD distributions on scales can be found by analysis of perturbative emission in the non-perturbative background
- Anomalous dimensions are known up to three loops
- The fitting of distributions is highly constrained due to strong correlation between perturbative and non-perturbative phases
- We check our predictions for properties of the hadron as many body parton system

# Solution of evolution equations

---

$$F(x, b; \zeta_f, \mu_f) = F(x, b; \zeta_i, \mu_i) \exp \left\{ \int_{\mu_i}^{\mu_f} \frac{d\mu}{\mu} \gamma \left( \alpha_s(\mu), \ln \frac{\zeta_f}{\mu^2} \right) \right\} \left( \frac{\zeta_f}{\zeta_i} \right)^{-\mathcal{D}(\mu_i, b)}$$



- Evolution equations predict how the non-perturbative system evolves from one scale to another. We can predict this transition with very high accuracy from pQCD.
- There are non-perturbative effects in the evolution as well
- TMD distribution is a complex function which is difficult to extract
- Initial condition can be defined by the collinear distributions

# TMD vs. collinear distributions



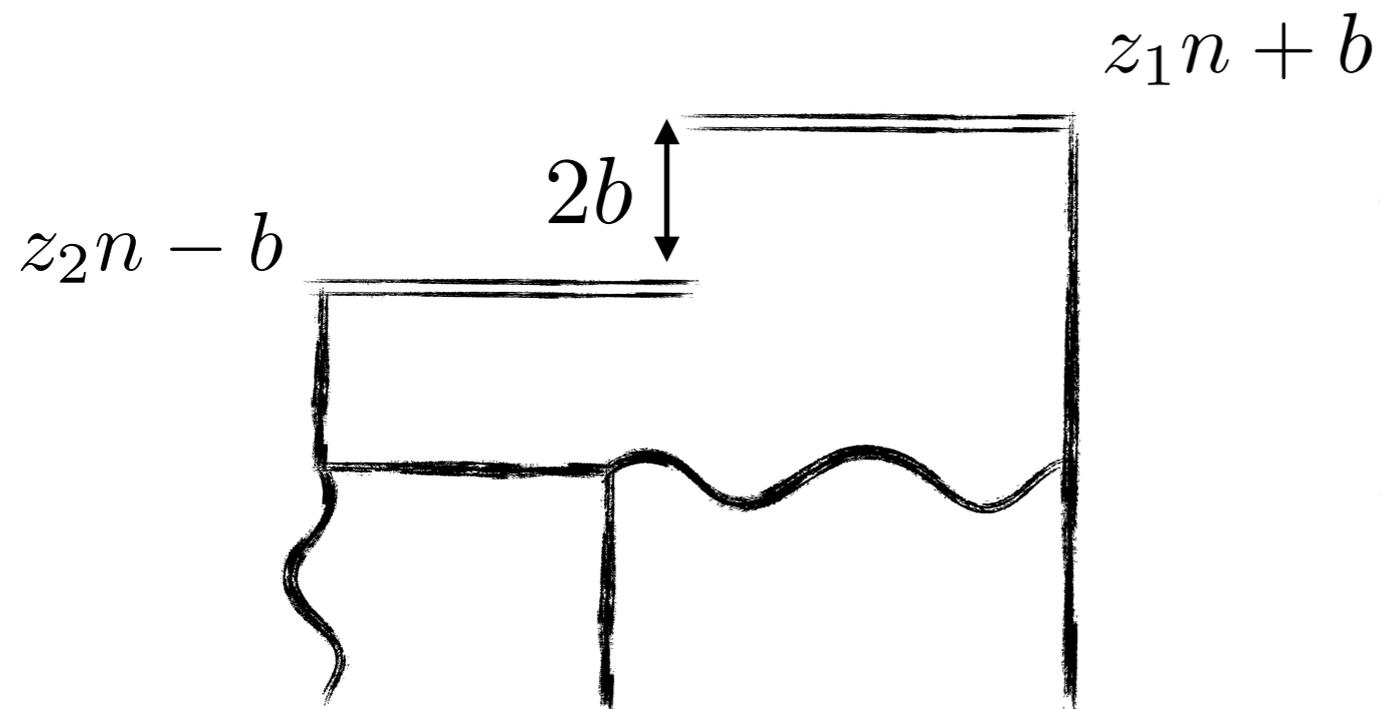
$$F(x, b; \zeta_f, \mu_f) = F(x, b; \zeta_i, \mu_i) \exp \left\{ \int_{\mu_i}^{\mu_f} \frac{d\mu}{\mu} \gamma \left( \alpha_s(\mu), \ln \frac{\zeta_f}{\mu^2} \right) \right\} \left( \frac{\zeta_f}{\zeta_i} \right)^{-\mathcal{D}(\mu_i, b)}$$

- Collinear distributions can be used as an initial condition for TMD evolution
- In the region of small transverse separation they should coincide
- Using calculations in the background field we can construct projection of TMDs onto collinear distributions
- This is another example of how perturbative QCD defines the non-perturbative structure

# Operator definition

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$$\mathcal{U}_{\text{DIS}}^{\gamma^+}(z_1, z_2, \mathbf{b}) = \bar{q}(z_1 n + \mathbf{b})[z_1 n + \mathbf{b}, +\infty n + \mathbf{b}] \gamma^+ [+ \infty n - \mathbf{b}, z_2 n - \mathbf{b}] q(z_2 n - \mathbf{b})$$

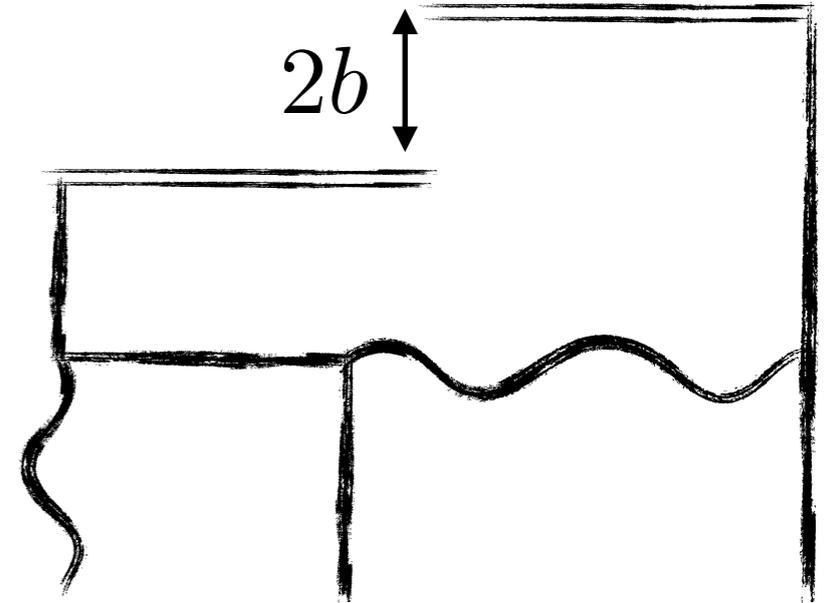


- We start our derivation from the operator which generates Sivers function, but the method can be applied to an operator of arbitrary structure
- Emission at the NLO level is analyzed in the limit of small  $b$  where TMDs match collinear distributions

# Collinear matching

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$$\mathcal{U}(z, \vec{b}) = \sum_n C_n^{\text{tw}-2}(z, \mathbf{L}_\mu, a_s(\mu)) \otimes \mathcal{O}_n^{\text{tw}2}(z; \mu) + b_\nu \sum_n C_n^{\text{tw}-3}(z, \mathbf{L}_\mu, a_s(\mu)) \otimes \mathcal{O}_n^{\nu, \text{tw}3}(z; \mu) + O(\vec{b}^2)$$



- We construct expansion of the TMD operator onto collinear operators of twist two and three
- The expansion is defined by matching coefficients which we want to find
- The matching coefficients depend on two types of logarithms

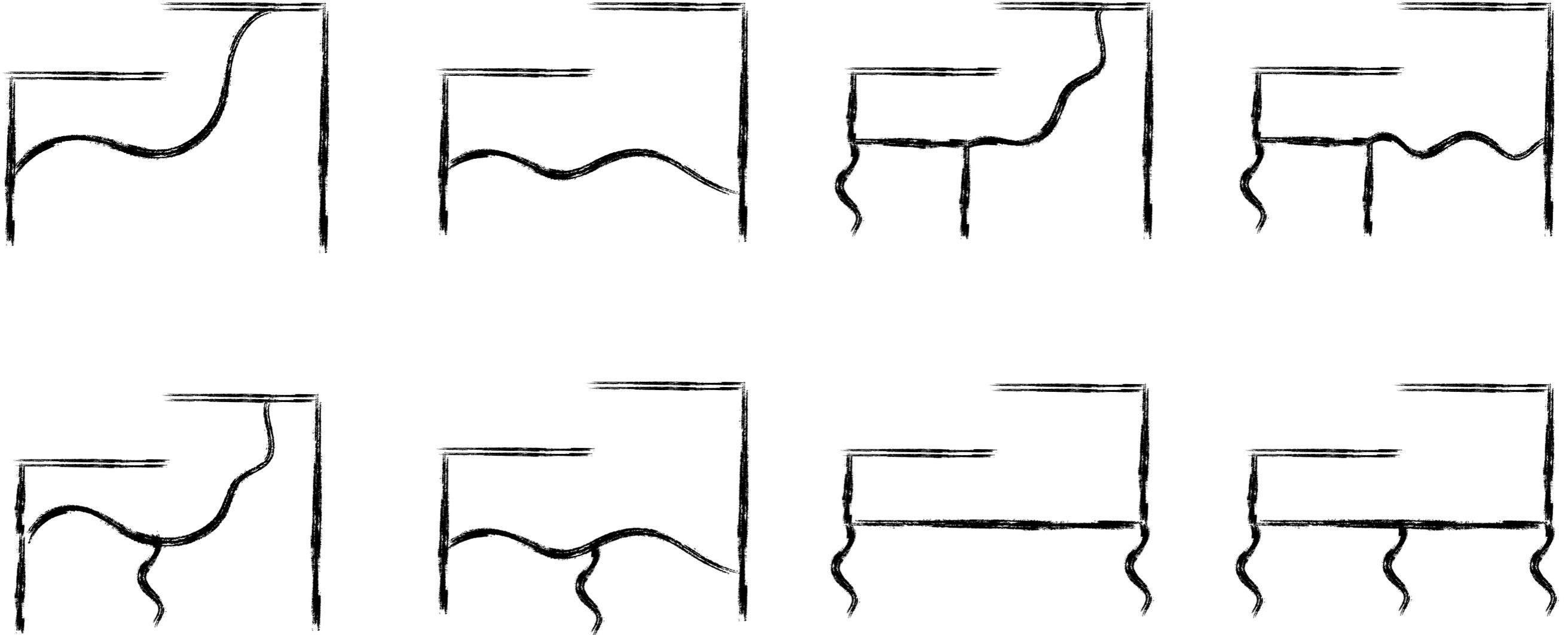
$$\mathbf{L}_\mu = \ln \left( \frac{\mu^2 \mathbf{b}^2}{4e^{-2\gamma_E}} \right)$$

$$\mathbf{l}_\zeta = \ln \left( \frac{\mu^2}{\zeta} \right)$$

# Diagrams

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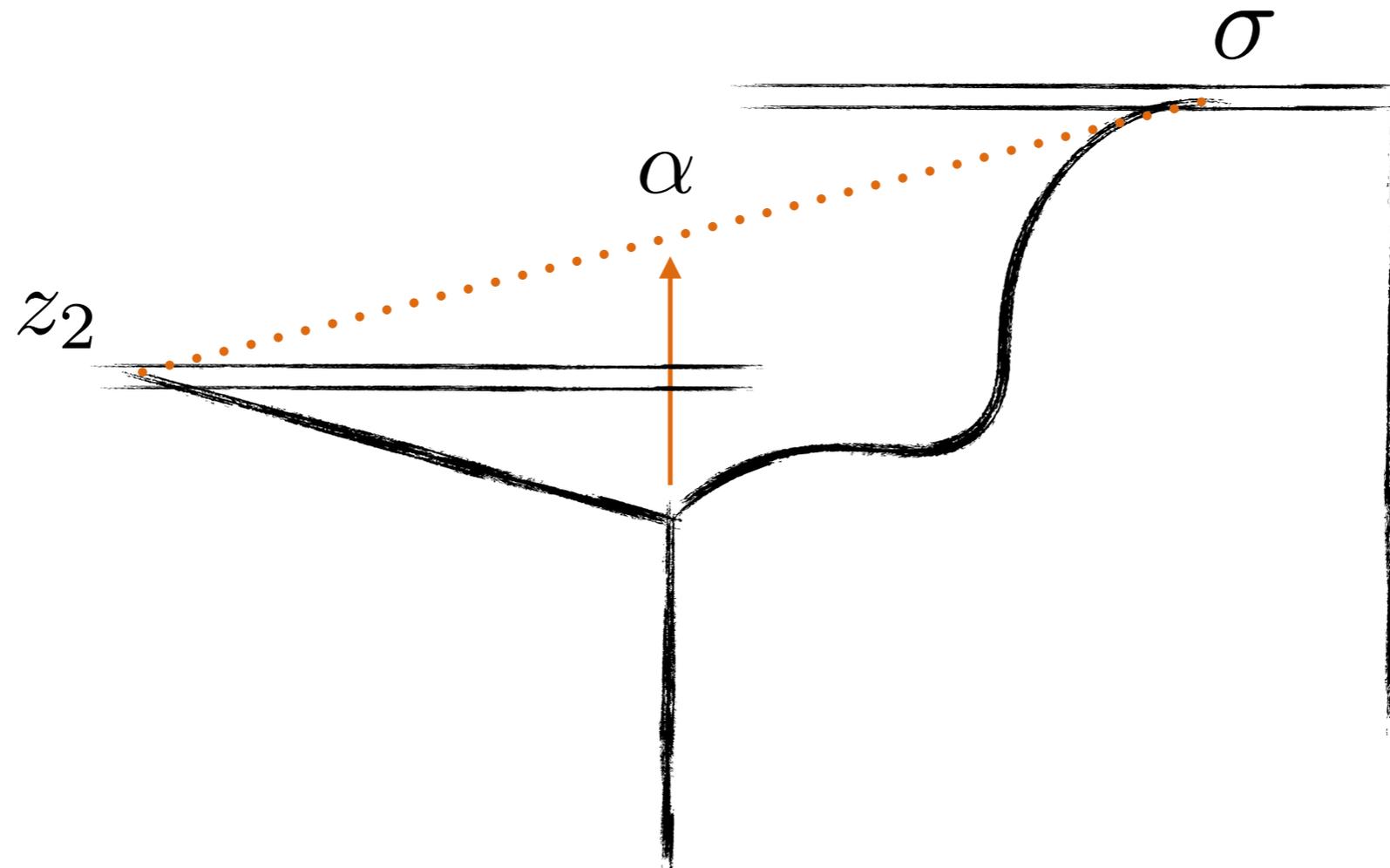
*A*



- There are both quark-quark and quark-gluon channels
- We use the light-cone gauge for the background field and background-Feynman gauge for the perturbative phase

# Diagram A

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- The matching formula can be obtained by expansion of the NLO diagramming the transverse space
- It is natural to perform expansion onto a straight line between emission and absorption points

$$\xi^\mu(u) = \bar{\alpha}(z_2 n^\mu - \mathbf{b}^\mu) + \alpha(\sigma n^\mu + \mathbf{b}^\mu)$$

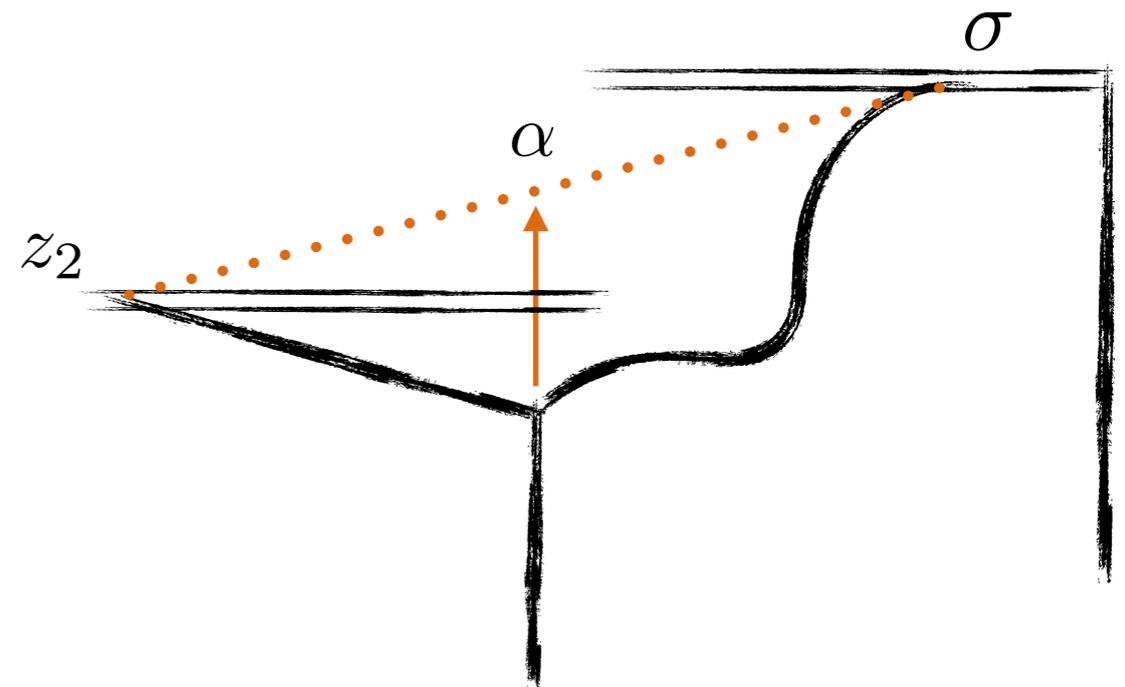
# Diagram A

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$$\mathcal{U}_{DY}^{[\gamma^+]}(z_1, z_2, \mathbf{b}) = 2C_F g^2 \int_0^\infty ds \int_0^1 du s \int_{-\infty}^{z_1} dz \bar{q}(z_1 n + \mathbf{b}) \gamma^+ (z_2 n - \mathbf{b} | P^+ e^{is\bar{u}P^2} q e^{isuP^2} | zn + \mathbf{b})$$

$$q = q(\xi) + (X - \xi)_\alpha \partial^\alpha q(\xi) + \frac{1}{2} (X - \xi)_\alpha (X - \xi)_\beta \partial^\alpha \partial^\beta q(\xi) + \dots$$

- Variable  $s$  is a Feynman parameter
- Use first two orders of expansion
- $P$  is an operator



# Diagram A

- Expansion on to the trajectory. Order of operators is important

$$\mathcal{U}_{DY}^{[\gamma^+]}(z_1, z_2, \mathbf{b}) = 2C_F g^2 \int_0^\infty ds \int_0^1 du s \int_{-\infty}^{z_1} dz \bar{q}(z_1 n + \mathbf{b}) \gamma^+ (z_2 n - \mathbf{b} | P^+ e^{is\bar{u}P^2} e^{isuP^2} | zn + \mathbf{b}) q(\xi_u)$$

$$+ 2C_F g^2 \int_0^\infty ds \int_0^1 du s \int_{-\infty}^{z_1} dz \bar{q}(z_1 n + \mathbf{b}) \gamma^+ (z_2 n - \mathbf{b} | P^+ e^{is\bar{u}P^2} (X - \xi)_\alpha e^{isuP^2} | zn + \mathbf{b}) \partial^\alpha q(\xi_u)$$

- Properties of operator X

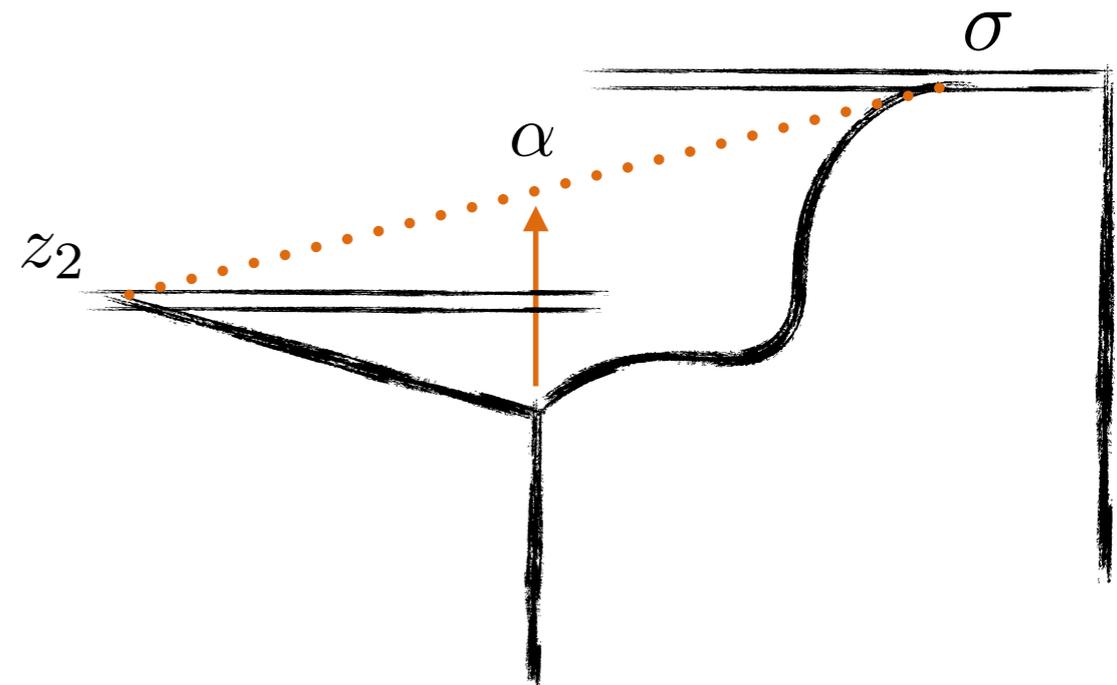
$$X|x) = x|x) \quad [P_\mu, X_\nu] = ig_{\mu\nu}$$

$$P|p) = p|p)$$

- First we commute X, then calculate Fourier transformation

$$(x|f(p)|y) = \int \frac{d^4 p}{(2\pi)^4} e^{-ip(x-y)} f(p)$$

- Do the same for all diagrams



# Rapidity divergence

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$$\tilde{\mathcal{U}}_A = 2a_s C_F \Gamma(-\epsilon) \mathbf{b}^{2\epsilon} \int_{-\infty}^{z_1} d\sigma \int_0^1 d\alpha \frac{\bar{\alpha}}{\alpha} \bar{q}(nz_1) \gamma^+ \frac{\partial}{\partial \sigma} q(nz_{2\sigma}^\alpha)$$

- We observe rapidity divergence at  $\alpha \rightarrow 0$
- Regularization is performed using rapidity regulator
- It can be introduced by redefinition of Wilson lines

$$P \exp \left( ig \int_{-\infty}^z d\sigma A_+(n\sigma + x) \right) \rightarrow P \exp \left( ig \int_{-\infty}^z d\sigma A_+(n\sigma + x) e^{-\delta|\sigma|} \right)$$

# Delta regulator

---

$$\tilde{\mathcal{U}}_A^{\text{sing}} = 2a_s C_F \Gamma(-\epsilon) \mathbf{b}^{2\epsilon} \int_{-\infty}^0 d\tau \int_0^1 d\alpha e^{\delta \frac{\tau}{\alpha}} \frac{\bar{\alpha}}{\alpha} \bar{q}(nz_1) \gamma^+ \frac{\partial}{\partial \tau} q(n(z_2 + \tau))$$

- The regulator passes unchanged into the rapidity divergent diagram A
- Logarithm of  $\delta$  represents rapidity singularity

$$\int_0^1 d\alpha e^{\delta \frac{\tau}{\alpha}} \frac{\bar{\alpha}}{\alpha} \sim \ln \delta$$

M. G. Echevarria, A. Idilbi and I. Scimemi, JHEP 1207 (2012) 002

M. G. Echevarria, I. Scimemi and A. Vladimirov, Phys. Rev. D 93, 054004 (2016)

# Final result for diagram A

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$$\tilde{\mathcal{U}}_A = 2a_s C_F \Gamma(-\epsilon) \mathbf{b}^{2\epsilon} \left\{ \int_0^1 d\alpha \frac{\bar{\alpha}}{\alpha} \left[ \mathcal{U}^{\gamma^+}(z_1, z_{21}^\alpha; \bar{\alpha} \mathbf{b}) - \mathcal{U}^{\gamma^+}(z_1, z_2; \mathbf{b}) \right] - \left( 1 + \ln \left( \frac{\delta}{p^+} \right) \right) \mathcal{U}^{\gamma^+}(z_1, z_2; \mathbf{b}) \right\} + O(\mathbf{b}^2 \partial^2 q)$$

- After implementation of the rapidity regulator the diagram becomes finite
- Diagram A is the only diagram with rapidity divergence
- It has both twist-2 and twist-3 contributions

$$\mathcal{U}^{\gamma^+}(z_1, z_2, \mathbf{b}) = \mathcal{U}^{\gamma^+}(z_1, z_2, \vec{0}) + b^\mu \frac{\partial}{\partial b^\mu} \mathcal{U}^{\gamma^+}(z_1, z_2, \mathbf{b}) \Big|_{\mathbf{b}=0} + O(\mathbf{b}^2)$$

# Collinear operators

---

$$\begin{aligned} \tilde{\mathcal{U}}(z_1, z_2; \mathbf{b}) = & \sum_i \left[ 1_i + a_s \Gamma(-\epsilon) \mathbf{b}^{2\epsilon} \tilde{C}_i^{\text{tw}2} + O(a_s^2) \right] \otimes \mathcal{O}_{i,\text{tw}2}(z_1, z_2) \\ & + b_\mu \sum_i \left[ 1_i + a_s \Gamma(-\epsilon) \mathbf{b}^{2\epsilon} \tilde{C}_i^{\text{tw}3} + O(a_s^2) \right] \otimes \mathcal{O}_{i,\text{tw}3}^\mu(z_1, z_2) + O(\vec{b}^2) \end{aligned}$$

- We represent the result of calculation in terms of collinear operators
- There are three quark and two gluon operators

$$\mathcal{O}_{\gamma^+}(z_1, z_2) = \bar{q}(z_1 n)[z_1 n, z_2 n] \gamma^+ q(z_2 n),$$

$$\mathcal{T}_{\gamma^+}^\mu(z_1, z_2, z_3) = g \bar{q}(z_1 n)[z_1 n, z_2 n] \gamma^+ F^{\mu+}(z_2 n)[z_2 n, z_3 n] q(z_3 n),$$

$$\mathcal{T}_{\gamma^+ \gamma_T^{\nu\mu}}^\nu(z_1, z_2, z_3) = g \bar{q}(z_1 n)[z_1 n, z_2 n] \gamma^+ \gamma_T^{\nu\mu} F^{\nu+}(z_2 n)[z_2 n, z_3 n] q(z_3 n)$$

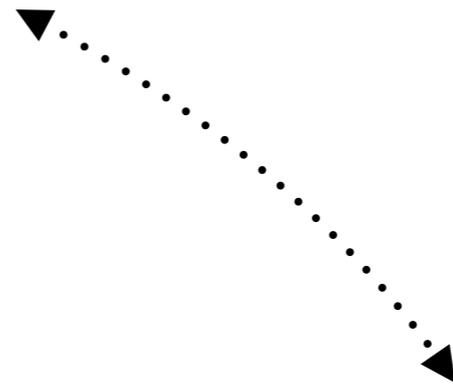
# TMD vs. collinear distributions

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$$\Phi^{[\gamma^+]}(x, \mathbf{b}) = \int \frac{dz}{2\pi} e^{-2ixzp^+} \langle p, S | \mathcal{U}^{\gamma^+}(z, -z; \frac{\vec{b}}{2}) | p, S \rangle$$

$$\Phi^{[\gamma^+]}(x, \mathbf{b}) = f_1(x, \mathbf{b}) + i\epsilon_T^{\mu\nu} b_\mu s_{T\nu} M f_{1T}^\perp(x, \mathbf{b})$$

- The result of calculation gives us connection between TMD and collinear distributions



$$\langle p, S | O_{\gamma^+}(z_1, z_2) | p, S \rangle = 2p^+ \int dx e^{ix(z_1 - z_2)p^+} f_1(x)$$

$$\langle p, S | \mathcal{T}_{\gamma^+}^\mu(z_1, z_2, z_3) | p, S \rangle = 2\tilde{s}^\mu (p^+)^2 M \int [dx] e^{-ip^+(x_1 z_1 + x_2 z_2 + x_3 z_3)} T(x_1, x_2, x_3)$$

# Bare result

---

$$\begin{aligned}
 & f_1(x, \mathbf{b}) + i\epsilon_T^{\mu\nu} b_\mu s_{T\nu} M f_{1T}^\perp(x, \mathbf{b}) \Big|_{A+A^*} \\
 &= 2a_s C_F \Gamma(-\epsilon) \mathbf{B}^\epsilon \int d\xi \int_0^1 dy \delta(x - y\xi) \left\{ \right. \\
 & \left. \left[ \left( \frac{2y}{1-y} \right)_+ - 2\delta(\bar{y}) \left( 1 + \ln \left( \frac{\delta}{p^+} \right) \right) \right] (f_1(\xi) + \mathbf{s}T(-\xi, 0, \xi)) - 2y\mathbf{s}T(-\xi, 0, \xi) \right\}
 \end{aligned}$$

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$$C(y, \delta, \epsilon)$$

$$\mathcal{O}(\xi)$$

$$\mathbf{s} = i\pi\epsilon^{\mu\nu} b_\mu \tilde{s}_\nu M, \quad \mathbf{B} = \frac{\mathbf{b}^2}{4}$$

- The result has a structure of the matching formula
- It is obtained from expansion of the NLO diagram in the transverse space
- The result depends on rapidity and UV regulators and should be renormalized

# Renormalization

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$$\mathcal{U}_f(x, \mathbf{b}; \mu, \zeta) = Z_i^{-1} Z_f^{TMD} \left( \frac{\mu^2}{\zeta} \right) R_f(\mathbf{b}; \mu, \zeta) \mathcal{U}_f^{bare}(x, \mathbf{b})$$

- We multiply the bare result by renormalization constants: wave-function renormalization, TMD renormalization and rapidity renormalization
- The form of the constants is known though their explicit form depends on the regularization scheme

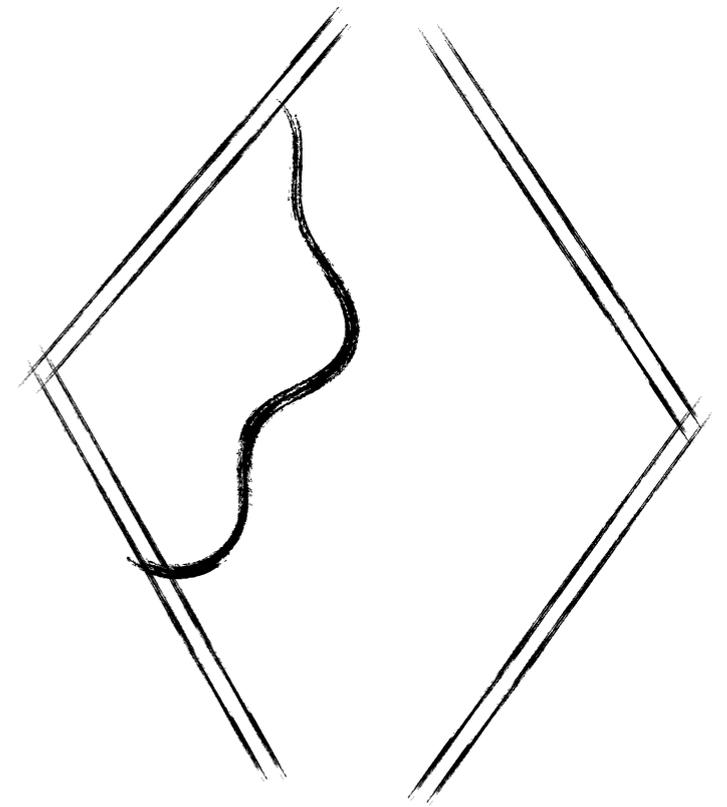
# Rapidity renormalization and the soft factor

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$$R_q(\mathbf{b}; \mu, \zeta) = S^{-1/2}(\mathbf{b}; \mu, \zeta)$$

- An explicit form of renormalization constants is defined by the regularization scheme in use
- The rapidity renormalization factor is given by the soft function

$$\begin{aligned} S(\mathbf{b}; \ln\left(\frac{\mu^2}{\delta^+ \delta^-}\right)) \\ = S^{1/2}\left(\mathbf{b}; \ln\left(\frac{\mu^2}{(\delta^+ / p^+)^2 \zeta_+}\right)\right) S^{1/2}\left(\mathbf{b}; \ln\left(\frac{\mu^2}{(\delta^- / p^-)^2 \zeta_-}\right)\right) \end{aligned}$$



# Renormalization constants

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- Rapidity renormalization constant

$$R_q(\vec{b}; \mu, \zeta) = 1 + 2a_s C_F \mathbf{B}^\epsilon \mu^{2\epsilon} e^{-\epsilon\gamma_E} \Gamma(-\epsilon) \left( \ln \left( \mathbf{B} \delta^2 \frac{\zeta}{(p^+)^2} \right) - \psi(-\epsilon) + \gamma_E \right) + O(a_s^2)$$

- UV renormalization constants

$$Z_2^{-1} Z_q^{TMD} \left( \frac{\mu^2}{\zeta} \right) = 1 - a_s C_F \left( \frac{2}{\epsilon^2} + \frac{3 + 2 \ln(\mu^2/\zeta)}{\epsilon} \right) + O(a_s^2)$$

- Dependence on regularization parameters in our matching formula vanishes when we multiply it by the renormalization constants

# Matching formula

---

$$f_{1T}^\perp(x, \mathbf{b}; \mu, \zeta) = \sum_f C_{1T}^\perp(x_1, x_2, x_3, \mathbf{b}, \mu, \zeta) \otimes T(x_1, x_2, x_3, \mu) + O(\mathbf{b}^2)$$

- Dependence on regularization parameters in our matching formula vanishes when we multiply it by the renormalization constants
- Both the collinear function and the matching coefficient depend on the UV scale
- The coefficient depends on rapidity renormalization scale

# Matching formula for the unpolarized distribution

---

$$f_1(x, \vec{b}; \mu, \zeta) = f_1(x) + a_s(\mu) \left\{ -2\mathbf{L}_\mu P \otimes f_1 + C_F \left( -\mathbf{L}_\mu^2 + 2\mathbf{1}_\zeta \mathbf{L}_\mu + 3\mathbf{L}_\mu - \frac{\pi^2}{6} \right) f_1(x) \right. \\ \left. + \int d\xi \int_0^1 dy \delta(x - y\xi) \left[ C_F 2\bar{y} f_1(\xi) + 2y\bar{y} g(\xi) \right] \right\} + O(a_s^2) + O(\vec{b}^2)$$

- There are leading order (LO) and next-to-leading (NLO) parts
- Matching at LO is simple and is given by the unpolarized collinear distribution
- The first term of the NLO part is given by the DGLAP evolution kernel
- The second term originates in the rapidity divergence
- The third term is a finite, logarithm independent part
- This formula is in agreement with known results, which provides a consistency check

# Matching formula for the Sivers function

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$$f_{1T;q\leftarrow h;DY}^\perp(x, \mathbf{b}; \mu, \zeta) = \pi T(-x, 0, x) + \pi a_s(\mu) \left\{ \begin{aligned} & -2\mathbf{L}_\mu P \otimes T + C_F \left( -\mathbf{L}_\mu^2 + 2\mathbf{l}_\zeta \mathbf{L}_\mu + 3\mathbf{L}_\mu - \frac{\pi^2}{6} \right) T(-x, 0, x) \\ & + \int d\xi \int_0^1 dy \delta(x - y\xi) \left[ \left( C_F - \frac{C_A}{2} \right) 2\bar{y} T(-\xi, 0, \xi) + \frac{3y\bar{y}}{2} \frac{G_+(-\xi, 0, \xi) + G_-(-\xi, 0, \xi)}{\xi} \right] \end{aligned} \right\}$$

- The structure of the matching formula for Sivers function is similar
- Matching at LO is simple and is given by the ETQS (Efremov-Teryaev-Qui-Sterman) distribution
- The first term of the NLO part is given by the collinear evolution for the twist-3 ETQS. The second term originates in the rapidity divergence. The third term is a finite, logarithm independent part
- This formula is in agreement with known results

V. M. Braun, A. N. Manashov and B. Pirnay, *Phys. Rev. D*80 (2009) 114002;

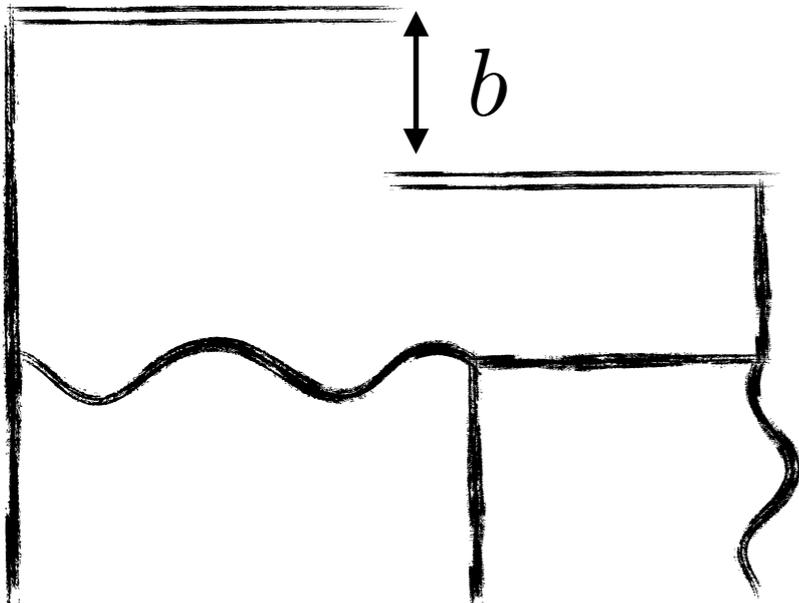
Z.-B. Kang and J.-W. Qiu, *Phys. Lett. B*713 (2012) 273–276

P. Sun and F. Yuan, *Phys. Rev. D*88 (2013) 114012

# Collinear matching

$$f_{1T;q\leftarrow h;DY}^\perp(x, \mathbf{b}; \mu, \zeta) = \pi T(-x, 0, x) + \pi a_s(\mu) \left\{ \begin{aligned} & -2\mathbf{L}_\mu P \otimes T + C_F \left( -\mathbf{L}_\mu^2 + 2\mathbf{l}_\zeta \mathbf{L}_\mu + 3\mathbf{L}_\mu - \frac{\pi^2}{6} \right) T(-x, 0, x) \\ & + \int d\xi \int_0^1 dy \delta(x - y\xi) \left[ \left( C_F - \frac{C_A}{2} \right) 2\bar{y} T(-\xi, 0, \xi) + \frac{3y\bar{y}}{2} \frac{G_+(-\xi, 0, \xi) + G_-(-\xi, 0, \xi)}{\xi} \right] \end{aligned} \right\}$$

I. Scimemi, A. Tarasov, A. Vladimirov, arXiv:1901.04519



- We derive matching coefficient for the Sivers function at the next-to-leading order
- We use background field method to calculate emission in the many body parton background
- We perform expansion in powers of  $b$
- The structure of the result is dictated by strong interaction between perturbative and non-perturbative phases
- It is easy to generalize calculation to other operators and matrix elements (Collins function)
- The results will be implemented in extraction of the Sivers function