

Probing charm degrees of freedom using generalized charm susceptibilities

Sipaz Sharma

Physik Department, Technische Universität München

**Online seminar series on “Heavy Flavor Probes of QCD Matter”
by HEFTY Collaboration**

work done in collaboration with Frithjof Karsch and Peter Petreczky

Phys.Rev.D 112 (2025) 3, 034509, [arXiv:2505.01734](#)

Phys.Lett.B 850 (2024), [arXiv:2312.12857](#)



T30f
Theoretische Teilchen-
und Kernphysik



Motivation I

- ▶ Strong interaction matter undergoes a chiral crossover at $T_{pc} = 156.5 \pm 1.5$ MeV.
[HotQCD Collaboration, 2019; Borsanyi et al., 2020; Kotov et al., 2021]
- ▶ In heavy-ion collisions, relevant degrees of freedom change from partonic to hadronic in going from high temperature phase to temperatures below T_{pc} .

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- ▶ When do charmed hadrons stop contributing to the total charm pressure?

Motivation II

- Charm fluctuations (cumulants) calculated in the framework of lattice QCD can receive enhanced contributions due the existence of not-yet-discovered open-charm states; it is possible to compare this enhancement to the HRG calculations.

Hadron Resonance Gas (HRG) model

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- ▶ Boltzmann approximation is good in the charm sector not just for mesons and baryons but also for a charm-quark gas.
- ▶ $\hat{\mu}_X = \mu/T$, $X \in \{B, Q, S, C\}$.

Generalized susceptibilities of the conserved charges

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- ▶ $K_2(x) \sim \sqrt{\pi/2x} e^{-x} [1 + \mathcal{O}(x^{-1})]$. If $m_i \gg T$, then contribution to P_C will be exponentially suppressed.
- ▶ Λ_c^+ mass ~ 2286 MeV, Ξ_{cc}^{++} mass ~ 3621 MeV. At T_{pc} , contribution to B_C from Ξ_{cc}^{++} will be suppressed by a factor of 10^{-4} in relation to Λ_c^+ .

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- ▶ Dimensionless generalized susceptibilities of the conserved charges:

$$\chi_{klmn}^{BQSC} = \frac{\partial^{(k+l+m+n)} [P(\hat{\mu}_B, \hat{\mu}_Q, \hat{\mu}_S, \hat{\mu}_C) / T^4]}{\partial \hat{\mu}_B^k \partial \hat{\mu}_Q^l \partial \hat{\mu}_S^m \partial \hat{\mu}_C^n} \bigg|_{\vec{\mu}=0}$$

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- Dimensionless generalized susceptibilities of the conserved charges using P_C :

$$\chi_{klmn}^{BQSC} = \frac{1}{2\pi^2} \sum_{i \in C-H} g_i \left(\frac{m_i}{T} \right)^2 K_2(m_i/T) B^k Q^l S^m C^n$$

- $\underbrace{\chi_{mn}^{BC}}_{\chi_{m00n}^{BQSC}} = B_{C,1} + 2^n B_{C,2} + 3^n B_{C,3} \simeq B_{C,1} = B_C, \forall (m+n) \in \text{even}$

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- ▶ $\chi_m^C = P_C, \forall m \in \text{even}$
- ▶ At present, we have gone upto fourth order in calculating various cumulants.

Simulation Details

- Partition function of QCD with 2 light, 1 strange and 1 charm quark flavors is :

$$\mathcal{Z} = \int \mathcal{D}[U] \{\det D(m_l)\}^{2/4} \{\det D(m_s)\}^{1/4} \{\det D(m_c)\}^{1/4} e^{-S_g}.$$

This can be used to calculate susceptibilities in the BQSC basis.

- We used (2+1)-flavor HISQ configurations generated by HotQCD collaboration for $m_s/m_l = 27$ and $N_\tau = 8, 12$ and 16 .
- $T = (aN_\tau)^{-1} \implies$ three lattice spacings at a fixed temperature.
- We treated charm-quark sector in the quenched approximation.
- $O((am_c)^4)$ tree level lattice artifacts are removed by adding so-called epsilon-term, which leads to sub-percent errors in observables linked to charm at $am_c \approx 0.5$ or $a \approx 0.1$ fm.

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- Derivatives of the pressure consist of expectation values of various traces comprised of inversions and derivatives of the fermion matrices.
- First derivative w.r.t μ_i will be $\left\langle \text{Tr} \left(D(m_i)^{-1} \frac{\partial D(m_i)}{\partial \mu_i} \right) \right\rangle.$

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- ▶ First derivative w.r.t μ_i will be $\left\langle \text{Tr} \left(D(m_i)^{-1} \frac{\partial D(m_i)}{\partial \mu_i} \right) \right\rangle$.
- ▶ We used 500 random vectors to do unbiased stochastic estimation:

$$\langle \eta_i \rangle = \lim_{N_s \rightarrow \infty} \frac{1}{N_s} \sum_{k=1}^{N_s} \eta_{ki} = 0 , \quad (1)$$

$$\langle \eta_i \eta_j \rangle = \lim_{N_s \rightarrow \infty} \frac{1}{N_s} \sum_{k=1}^{N_s} \eta_{ki}^* \eta_{kj} = \delta_{ij} \quad (2)$$

$$\text{Tr} (D(m_i)^{-1}) = \frac{1}{N} \sum_{k=1}^N \eta_k^\dagger \underbrace{D(m_i)^{-1} \eta_k}_x . \quad (3)$$

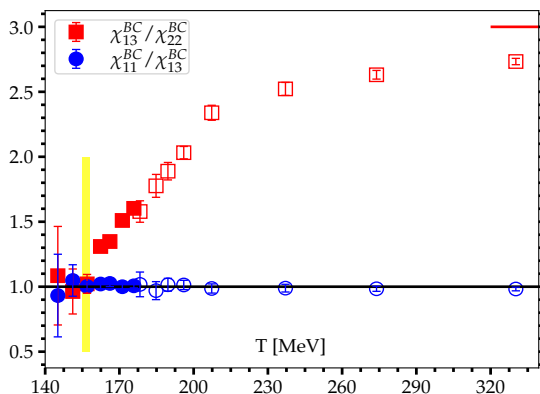
Ratios independent of the hadron spectrum

- Irrespective of the details of the baryon mass spectrum, in the validity range of HRG, $\chi_{mn}^{\text{BC}}/\chi_{kl}^{\text{BC}} = 1$, $\forall (m+n), (k+l) \in \text{even}$.

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- ▶ $\chi_{1n}^{\text{BC}}/\chi_{1l}^{\text{BC}} = 1$, $\forall n, l \in \text{odd}$, for the entire temperature range.

Onset of the charmed hadron melting

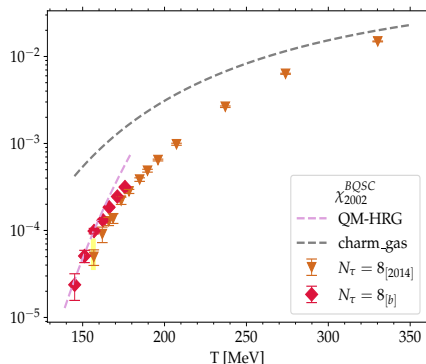
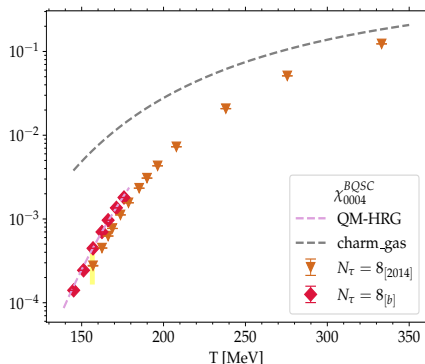


- States with fractional B start appearing near T_{pc} . Is it possible to determine this fractional B?

Approach to free charm-quark gas limit

$$Q_C(T, \vec{\mu}) = \frac{6}{\pi^2} \left(\frac{m_c}{T} \right)^2 K_2(m_c/T) \cosh \left(\frac{2}{3} \hat{\mu}_Q + \frac{1}{3} \hat{\mu}_B + \hat{\mu}_C \right)$$

$$m_c = 1.27 \text{ GeV.}$$



Charm degrees of freedom in the intermediate T range

- Based on carriers of C in low and high-T phase, pose a quasi-particle model consisting of non-interacting meson, baryon and quark-like states:

$$P_C(T, \hat{\mu}_C, \hat{\mu}_B)/T^4 = P_M^C(T) \cosh(\hat{\mu}_C + \dots) + P_B^C(T) \cosh(\hat{\mu}_C + \hat{\mu}_B + \dots) \\ + P_q^C(T) \cosh\left(\frac{2}{3}\hat{\mu}_Q + \frac{1}{3}\hat{\mu}_B + \hat{\mu}_C\right)$$

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- Use quantum numbers B and C to construct partial pressures:

$$P_q^C = 9(\chi_{13}^{BC} - \chi_{22}^{BC})/2$$

$$P_B^C = (3\chi_{22}^{BC} - \chi_{13}^{BC})/2$$

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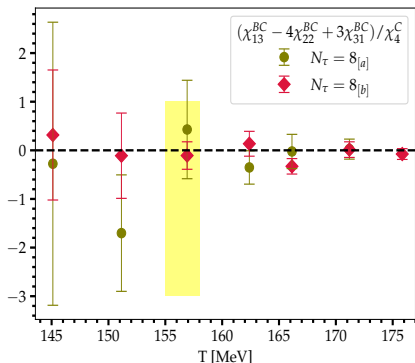
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- Constraint on cumulants in a simple quasi-particle model:

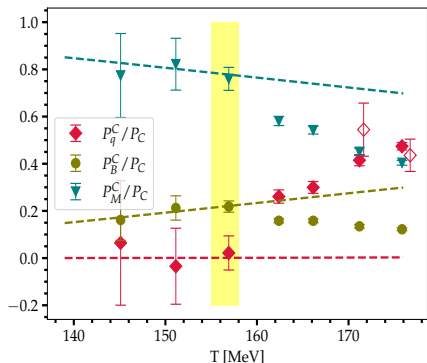
$$c = \chi_{13}^{BC} + 3\chi_{31}^{BC} - 4\chi_{22}^{BC} = 0$$

Quasi-particle model



The constraint holds true $\implies$ quasi-particle states with $|B| = 0, 1$ or $1/3$ exist in the intermediate temperature range.

Charm-quark-like excitations in QGP



Right after T_{pc} , P_q starts contributing to P_C , which is compensated by a reduction (and deviation from HRG) in the fractional contribution of the hadron-like states to P_C .

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- ▶ We can use four fourth-order QC correlations to determine partial pressures of the four possible electrically-charged-charm subsectors.

$$P_C^{|Q|=2/3} = \frac{1}{8} [54\chi_{13}^{QC} - 81\chi_{22}^{QC} + 27\chi_{31}^{QC}]$$

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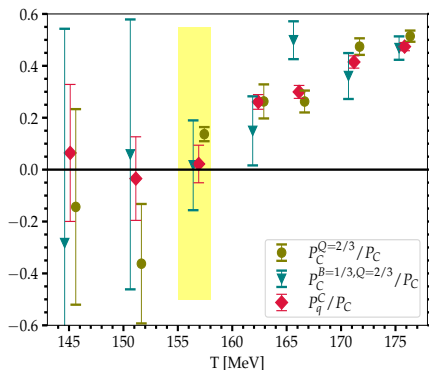
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- ▶ For the BQC sector there are three possibilities: i) $\{|B| = 1, |Q| = 1\}$;
ii) $\{|B| = 1, |Q| = 2\}$; iii) $\{|B| = 1/3, |Q| = 2/3\}$.

$$P_C^{B=1/3, Q=2/3} = \frac{27}{4} [\chi_{112}^{BQC} - \chi_{211}^{BQC}]$$

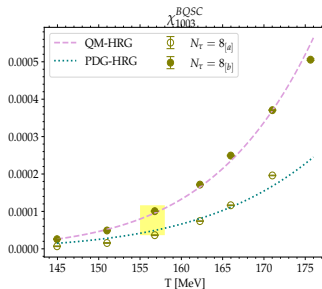
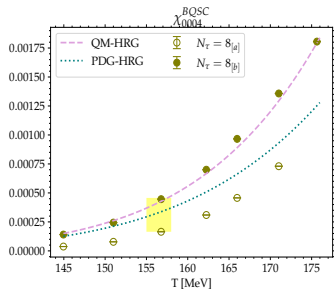
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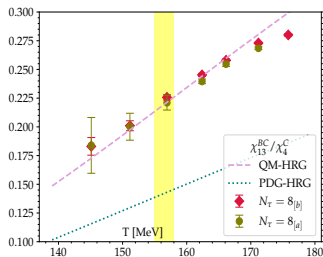
Clear agreement between three independent observables which correspond to the partial pressures of

i) $B = 1/3$, ii) $Q = 2/3$, and iii) $B = 1/3$ and $Q = 2/3$ charm subsectors.

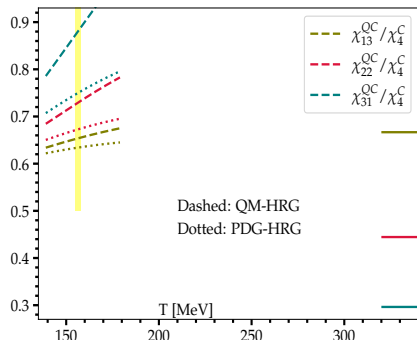
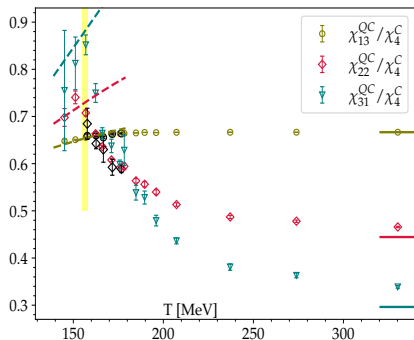
Ratios calculated using different LCPs



- Sensitivity to the choice of LCP cancels to a large extent in the ratios.
- All previously shown results were based on ratios, and hence valid in the continuum limit.

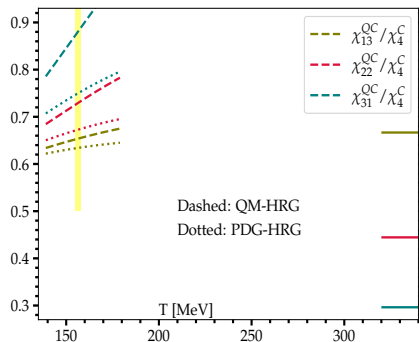
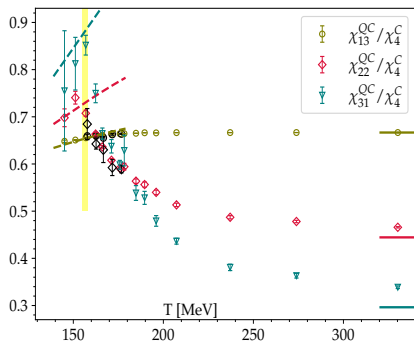


Electrically-charged-charm subsector



- Ratio of QM-HRG/PDG-HRG increases with increasing Q-moments
 $\implies |Q| = 2$ sector more sensitive to 'missing resonances'.
- χ_{22}^{QC} and χ_{31}^{QC} give evidence for 'missing resonances'.

QC correlations for different lattice spacings



- ▶ Ratio of QM-HRG/PDG-HRG increases with increasing Q-moments.
- ▶ Close to freeze-out, an enhancement over the PDG expectation in the fractional contribution of the $|Q| = 2$ (Σ_c^{++}) charm subsector to the total charm partial pressure.

History of the lattice setup

- ▶ We used (2+1)-flavor HotQCD configurations generated using HISQ action and a Symanzik-improved gauge action for $m_s/m_l = 27$ and $N_\tau = 8, 12$ and 16. We treated charm sector in the quenched approximation.

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- ▶ The temperature is set using f_K .

$$af_K(\beta) = \left[\frac{c_0^K f(\beta) + \frac{10}{\beta} c_2^K f^3(\beta)}{1 + \frac{10}{\beta} d_2^K f^2(\beta)} \right], \quad (4)$$

with $c_0^K = 7486(25)$, $c_2^K = 41935(2247)$, $d_2^K = 3273(224)$.

Here, $f(\beta)$ is the 2-loop QCD beta function,

$f(\beta) = \left(\frac{10b_0}{\beta} \right)^{-b_1/2b_0^2} \exp(-\beta/(20b_0))$, with b_0 and b_1 being its 1-loop perturbative expansion coefficients in 3-flavor QCD.

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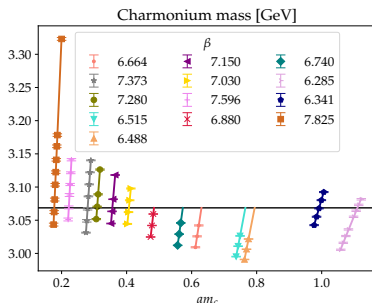
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- Strange quark mass was (mis)tuned by keeping the mass of fictitious pseudoscalar meson, $\eta_{s\bar{s}}$, fixed to 695 MeV (not 686 MeV). Conversion to MeV was done $r_1 \implies$ strange quark mass is 2.6% larger than its physical value. [A. Bazavov et al, arXiv:1111.1710](#)

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- ▶ However for finer lattices corresponding to $\beta > 7.03$, the resulting lattice mass of $\eta_{s\bar{s}}$ is larger than 695 MeV by about 3.5%. This drift from 695 MeV was further corrected by using lowest order χ PT such that $M_{\eta_{s\bar{s}}}^2 \propto m_s$ but the configuration generation did not take into account this corrected version of LCP. [A. Bazavov et al, arXiv:1407.6387](#)

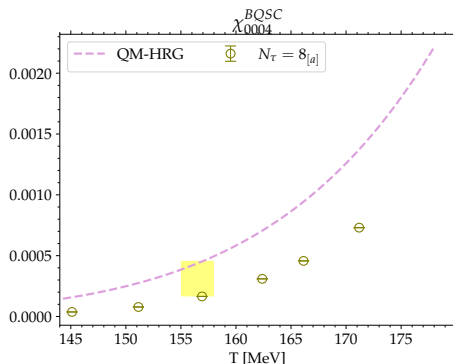
Tuning charm quark mass on $LCP[a]$



- ▶ We used spin-averaged charmonium to tune the bare charm quark at zero temperature.
- ▶ We used f_K to convert charmonium mass to physical units.
- ▶ The figure on the right fits an RG-inspired ansatz to the intersections of the colored lines and the black line (PDG value) in the left figure.

S.Sharma, [arxiv:2212.11148](https://arxiv.org/abs/2212.11148)

Quartic charm susceptibility



At T_{pc} , the physical expectation for χ_4^C , based on HRG, is roughly 2.7 times the result obtained for $N_\tau = 8$ (a ≈ 0.16 fm) on LCP $_{[a]}$ at the chiral crossover.

Different LCP prescriptions

- In the HRG phase, each charmed hadron contributes as

$$\left(\frac{m_i}{T}\right)^2 e^{-m_i/T} [1 + \mathcal{O}((m_i/T)^{-1})] \cosh(B_i \hat{\mu}_B + Q_i \hat{\mu}_Q + S_i \hat{\mu}_S + C_i \hat{\mu}_C).$$

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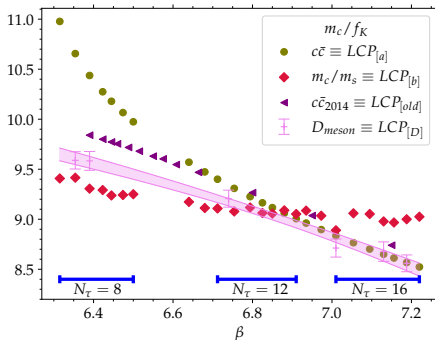
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Different LCP prescriptions

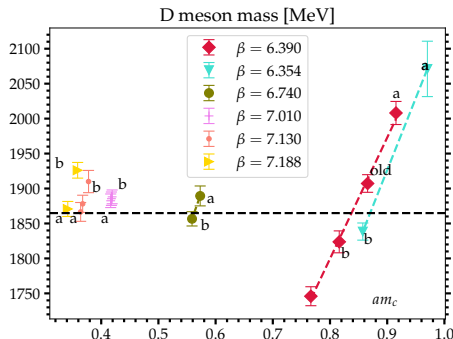
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- ▶ We removed order $(am_c)^4$ tree level lattice artifacts by adding the so-called epsilon-term, which leads to sub-percent errors in observables linked to charm at $am_c \approx 0.5$ or $a \approx 0.08$ fm. [\[\[HPQCD, UKQCD\],2006\]](#)

Major source of cutoff effects



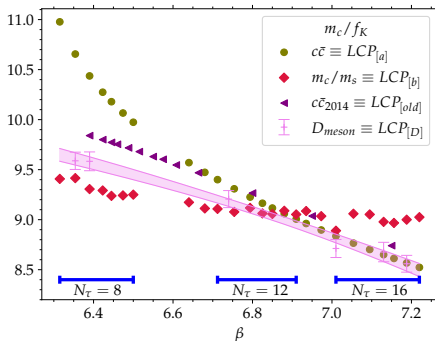
- In order to understand the cutoff effects, we investigated the sensitivity of χ_4^C to four different LCP prescriptions used to tune am_c values: $LCP_{[b]}$ fixes $m_c/m_s = 11.76$, $LCP_{[D]}$ is defined by the physical D-meson mass, $LCP_{[old]}$ is also defined by the charmonium mass A. Bazavov et al, arXiv:1404.4043

Major source of cutoff effects



- The difference between mass of the most thermodynamically dominant hadron, i.e., D-meson, calculated on $LCP_{[a]}$ and its physical value is less than 2% only for $\beta > 6.74$ (finer lattices).

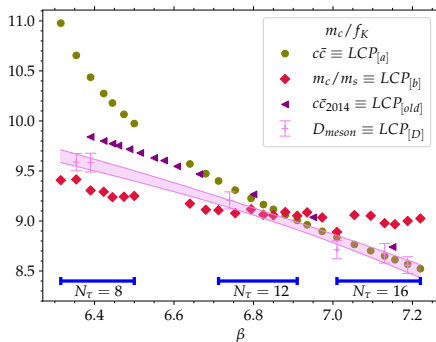
Bare charm quark mass on LCP_[D]



$$am_c(\beta) = af_K(\beta) \frac{M_c^{\text{RGI}}}{f_K} \left(\frac{20b_0}{\beta} \right)^{\frac{4}{9}} \left[\frac{1 + \frac{10}{\beta} m_{1c} f^2(\beta)}{1 + \frac{10}{\beta} dm_{1c} f^2(\beta)} \right],$$

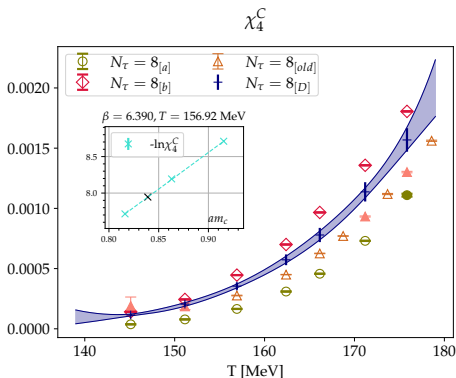
$$M_c^{\text{RGI}} = 1.528 \text{ GeV}, f_K = (155.7/\sqrt{2}) \text{ MeV}$$

Cutoff effects for different LCPs



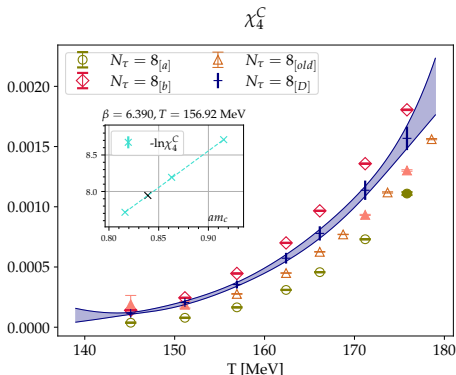
- ▶ Cutoff effects dependent on am_c are smaller on $LCP_{[D]}$ in comparison to $LCP_{[a]}$ for smaller β .
- ▶ Both $LCP_{[D]}$ and $LCP_{[a]}$ converge in the continuum limit.
- ▶ Mistuning of am_s reflects in am_c on $LCP_{[b]}$ for larger beta.

Interpolating quartic susceptibility in am_c at $N_\tau = 8$



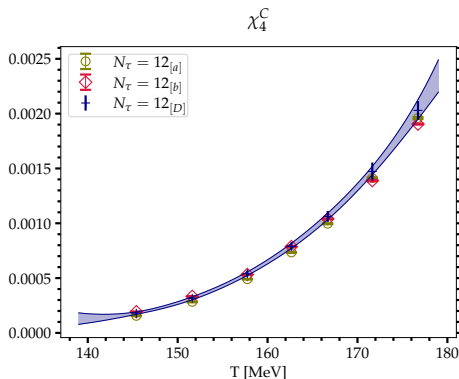
- χ_n^C is a proxy of partial charm pressure, and at a given T , receives dominant contribution from the lightest charmed state, whose Boltzmann weight is $\propto e^{-am_c/aN_\tau}$.

Interpolating quartic susceptibility in am_c at $N_\tau = 8$



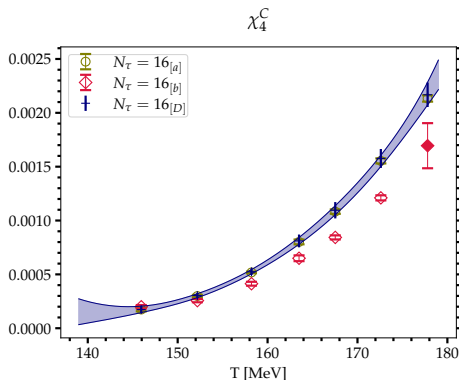
- At each T , linear interpolation of $-\ln \chi_4^C$ in am_c gives χ_4^C on LCP_[D] – blue plus markers which incorporate bootstrap error and error from uncertainty of am_c on LCP_[D].
- Blue band is a [2,2] Padé interpolation.

Interpolating quartic susceptibility in am_c at $N_\tau = 12$



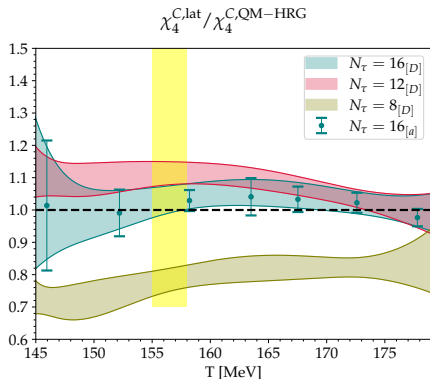
- Ordering of χ_4^C on different LCPs can be understood from the ordering of am_c values $\Rightarrow$ heavier the charmed state mass, smaller the thermodynamic contribution to the partial charm pressure.

Interpolating quartic susceptibility in am_c at $N_\tau = 16$



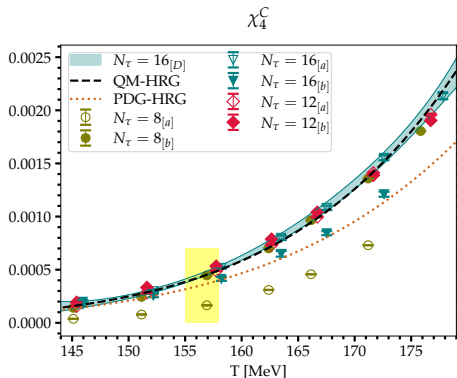
- $\text{LCP}_{[a]}$ is effectively $\text{LCP}_{[D]}$.
- mistuning of am_s also reflects in χ_4^C .

Continuum limit of quartic susceptibility



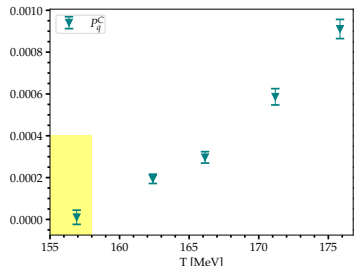
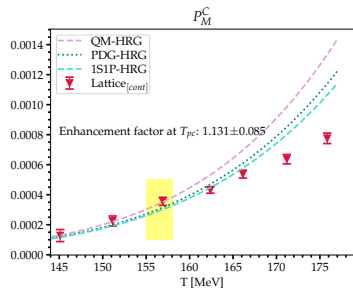
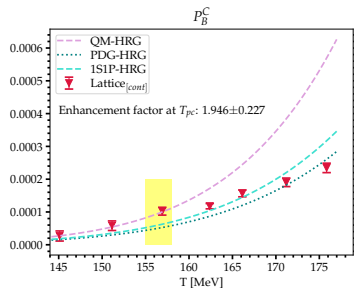
- $LCP_{[D]}$ bands for $N_\tau = 12$ and 16 overlap $\implies$ use $N_\tau = 16_{[D]}$ as the continuum estimate.

Continuum limit of quartic susceptibility



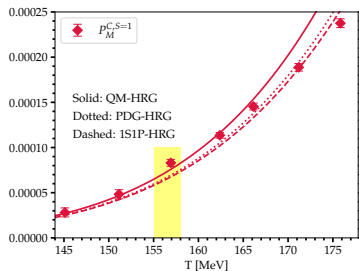
- Note: χ_4^C is not sensitive to the change in charm degrees of freedom and agreement with QM-HRG above chiral crossover is accidental.

Absolute partial charm pressures

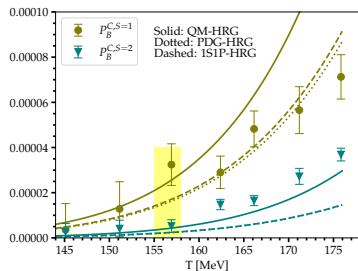


- P. Braun-Munzinger, et. al., JHEP, 04:058, 2025 used enhancement factor for P_B^C to describe observed experimental yield of open-charmed baryons.

Strange charmed hadrons

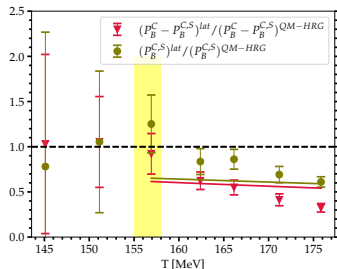
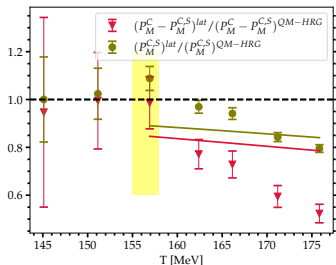
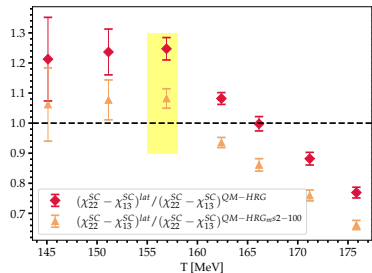
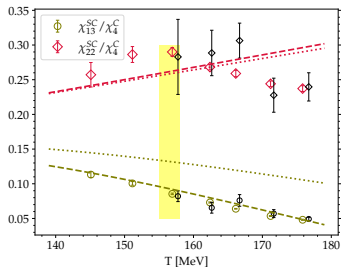


$$\begin{aligned}
 P_M^{C,S=1} &= \chi_{13}^{SC} - \chi_{112}^{BSC} \\
 P_B^{C,S=1} &= \chi_{13}^{SC} - \chi_{22}^{SC} - 3\chi_{112}^{BSC} \\
 P_B^{C,S=2} &= (2\chi_{112}^{BSC} + \chi_{22}^{SC} - \chi_{13}^{SC})/2
 \end{aligned}$$



- QM-HRG underpredicts $|S| = 2$ lattice data above T_{pc} . Incomplete knowledge of $|S| = 2$ sector?
- Deviation from HRG occurs at a slower rate than non-strange charmed sector.

Strange charmed hadrons

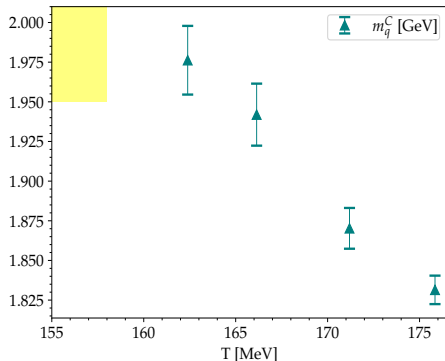


Conclusions

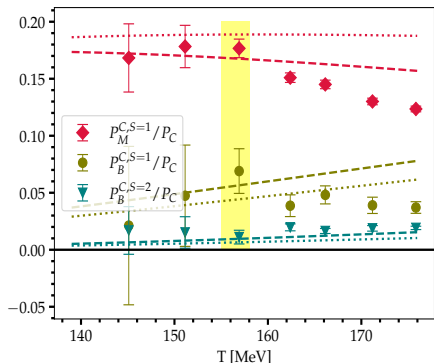
- ▶ Charmed hadrons start dissociating at the chiral crossover temperature, leading to the appearance of charm quark degrees of freedom.
- ▶ Hadron-like excitations survive in QGP.
- ▶ At T_{pc} , only 50% contribution to charmed baryon pressure comes from experimentally known states.
- ▶ Incomplete knowledge of the doubly-strange charmed baryon sector.

thermal mass of charm quark-like excitation

$$P_q^C(T, \vec{\mu}) = \frac{6}{\pi^2} \left(\frac{m_q^C}{T} \right)^2 K_2(m_q^C/T) \cosh \left(\frac{2}{3} \hat{\mu}_Q + \frac{1}{3} \hat{\mu}_B + \hat{\mu}_C \right)$$



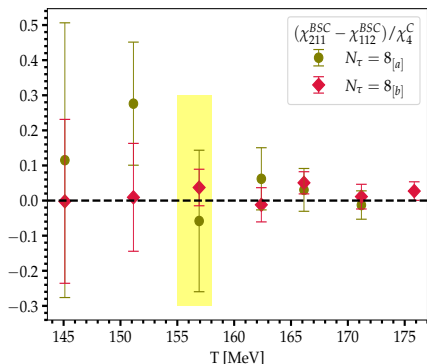
Backup slide I



Why not consider only charm-quark-like excitations?

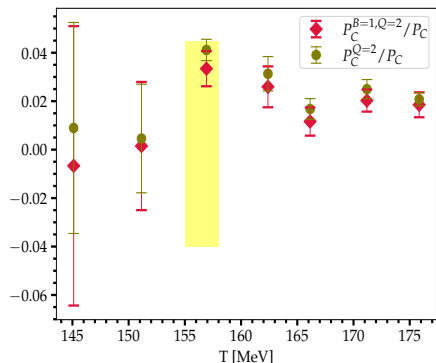
$\implies$ Contribution from SC sector above T_{pc} – these states can not be quark-like.

Backup slide II: Fate of diquarks



If strange-quark diquarks exist in QGP, their contribution to P_C has to be less than 20%.

Backup slide III



Only $|B| = 1$ sector contributes to partial pressure from $|Q| = 2$ charm subsector.