

Spectral Functions for Light Nuclei

Axel Schmidt

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SRC-EMC Research

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**THE GEORGE
WASHINGTON
UNIVERSITY**

WASHINGTON, DC



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Spectral functions are ground state distributions of both momentum and separation energy.

- Spectral Function: $\mathcal{S}(\vec{p}_m, E_m)$
- Missing momentum: $\vec{p}_m = \pm(\vec{q} - \vec{p}_N)$
- Missing energy: $E_m = \omega - T_N - T_{A-1}$

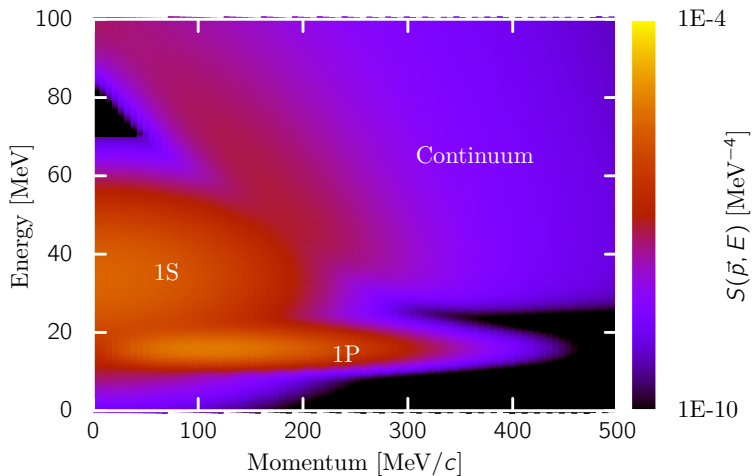
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$$\begin{aligned} E_m &= m_N - m_A + m_{A-1} \\ &= m_N - m_A + \sqrt{E_{A-1}^2 - p_{A-1}^2} \\ &= m_N - m_A + \sqrt{(\omega + m_A - m_N)^2 - p_m^2} \end{aligned}$$

In this convention, missing energy is fixed when $A - 1$ system is left intact in a state of fixed energy.

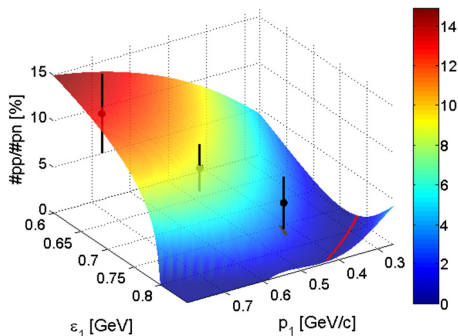
Example spectral function for ^{12}C



Calculation by N. Rocco, A. Lovato

Spectral functions from Contact Formalism was a big breakthrough for SRC research.

$$\mathcal{S}(\vec{p}_m, E_m) = \sum_{\alpha} C_{\alpha} \cdot \frac{1}{4\pi} \int \frac{d^3\vec{p}_2}{(2\pi)^3} |\tilde{\varphi}_{\alpha}(\vec{p}_{\text{rel.}})|^2 P_{\alpha}(\vec{p}_m + \vec{p}_2) \delta(E_m - E'_m(\vec{p}_2))$$



R. Weiss et al., PLB 791, p. 242 (2019)

Plane Wave Impulse Approximation

Quasi-elastic ($e, e'p$) scattering:

$$\frac{d^6\sigma}{d\Omega_e dE_e d\Omega_N dE_n} = \rho_N E_N \cdot \sigma_{eN} \cdot \mathcal{S}_N(\vec{p}_m, E_m)$$

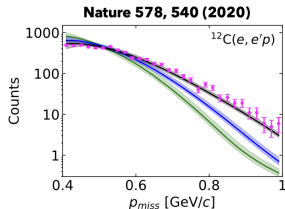
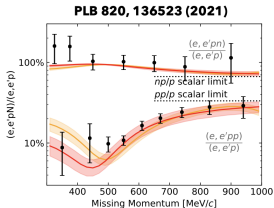
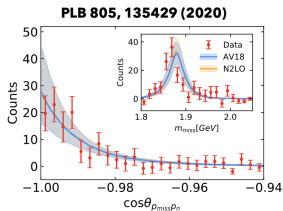
- σ_{eN} : single-nucleon cross section (e.g., deForest σ_{cc1})
- $\mathcal{S}_N(\vec{p}_m, E_m)$: spectral function for nucleon of type N

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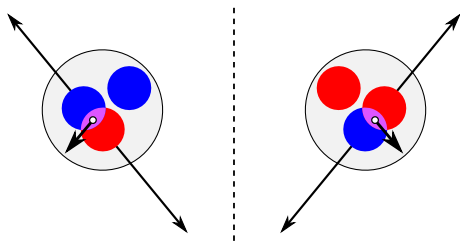
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In my talk today:

- (e, e') from ${}^3\text{He}$ and ${}^3\text{H}$
 - Sizeable low- E_m contribution at high x_B
 - Schmidt, Denniston, Seroka et al., PRC 109, 054001 (2024)
- Two-body spectral function for recoil tagging in ${}^4\text{He}$
 - Different off-shell modification functions lead to
 - Ratliff, Schmidt, EPJA 60:5 (2024)
- Spectral functions from Quantum Monte Carlo
 - A word of caution about SRC contributions
 - ... and what I think should be done.

The $A = 3$ system is a very interesting test case.



- Isospin-1/2 doublet: ${}^3\text{He}$ and ${}^3\text{H}$ (tritium)
- Huge proton-neutron asymmetry
- Relatively easy to make calculations
- **Problem: tritium is radioactive**

Jefferson Lab Hall A Tritium Run (2017–2018)

■ Experiments:

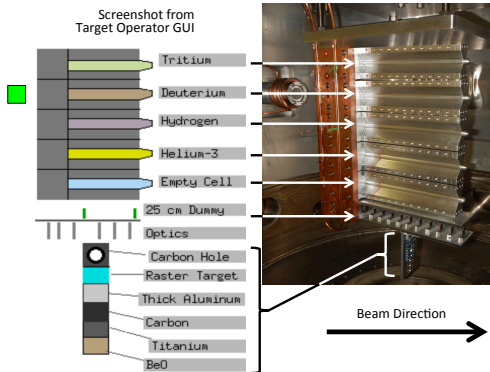
- MARATHON (F_2^n/F_2^p)
- Inclusive $x > 1$
- ($e, e'p$)

■ Targets:

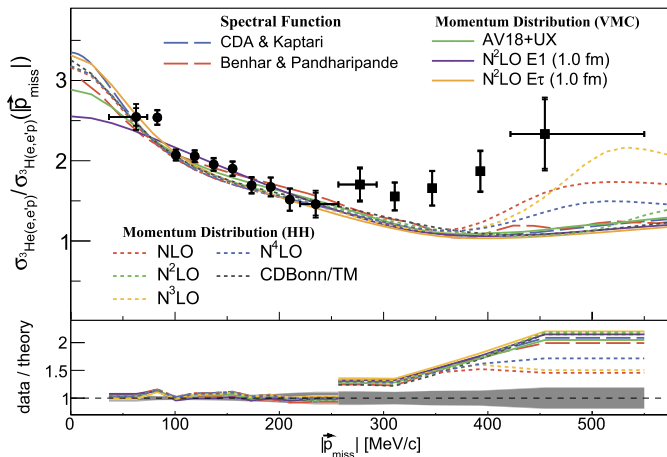
- ^3H , ^3He , d, p
- Sealed cell design

■ High-Res. Spectrometers

- 6.7 msr ang. acc.
- $\pm 4.5\%$ mom. acc.
- $\Delta p/p \sim 10^{-4}$

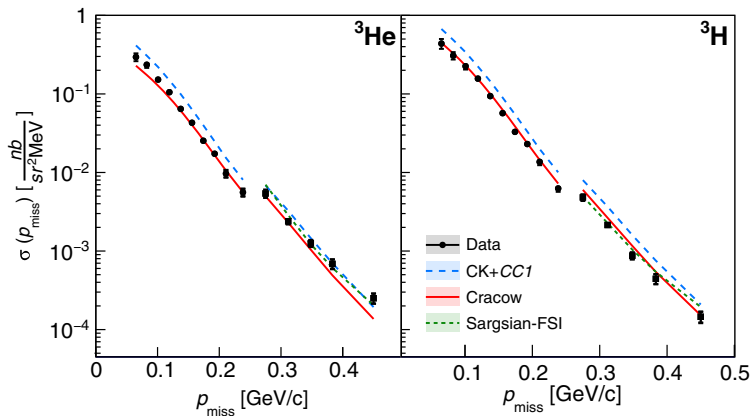


We saw surprisingly high $(e, e'p)$ ^3He /tritium ratios.



R. Cruz-Torres et al., PLB (2019)

Things started to make sense when we studied the absolute cross sections.



R. Cruz-Torres, D. Nguyen et al., PRL (2020)

$A = 3$ Theory Calculations

■ CK+CC1

- C. Ciofi degli Atti, L. P. Kaptari, PRC 71, 024005 (2005)
- Spectral-function including continuum FSI between spectator nucleons
- Based on ^3He wave function developed by Pisa group, assuming AV18 (no 3-body force!)

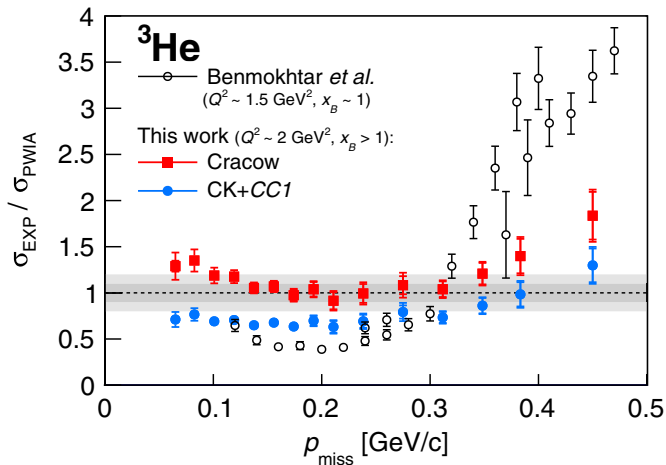
■ Cracow

- J. Golak et al., Phys. Rep. 415, pp. 89–205 (2005)
- Fadeev calculation assuming CDBonn+UIX, plane-wave scattering
- Includes continuum FSI between spectator nucleons

■ Sargsian FSI

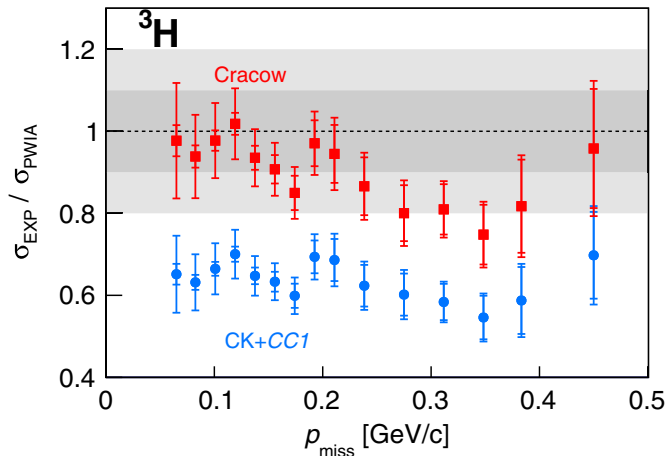
- M. M. Sargsian et al., PRC 71, 044614 (2005)
- M. M. Sargsian et al., PRC 71, 044615 (2005)
- Includes FSI between struck and spectator nucleons in Generalized Eikonal Approximation framework
- Does not include single-charge exchange FSI

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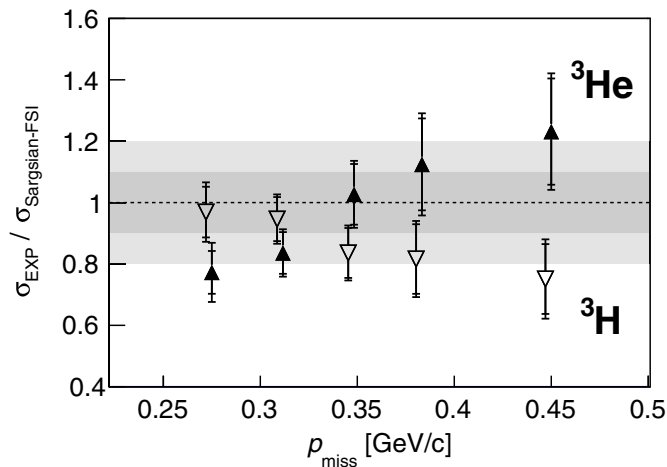
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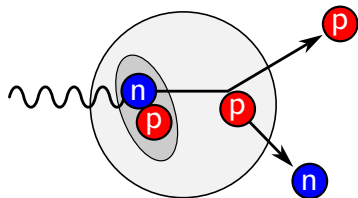
Final state interactions are slightly different between ${}^3\text{H}$ and ${}^3\text{He}$.



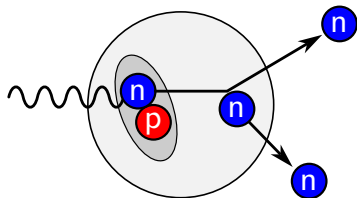
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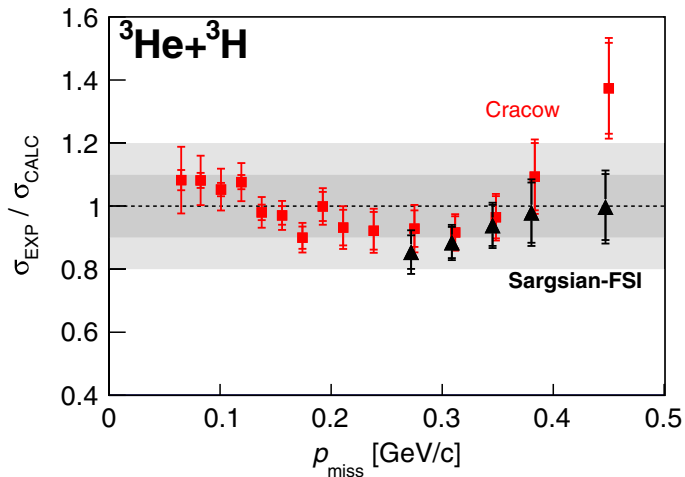
Helium-3



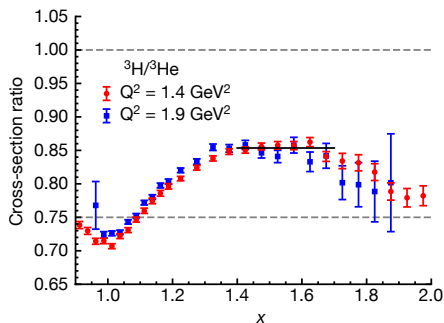
Tritium



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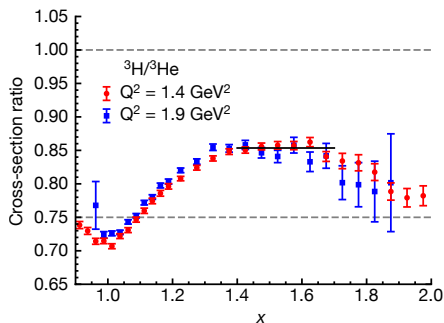
Inclusive scattering offers another way to probe pp vs pn vs nn pairing.



$$\frac{\sigma_{\text{T}}}{\sigma_{\text{He}}} = \frac{R_{np/pp}(1 + \sigma_{p/n}) + 2}{R_{np/pp}(1 + \sigma_{p/n}) + 2\sigma_{p/n}}$$

S. Li et al., Nature 609, p. 41 (2022)

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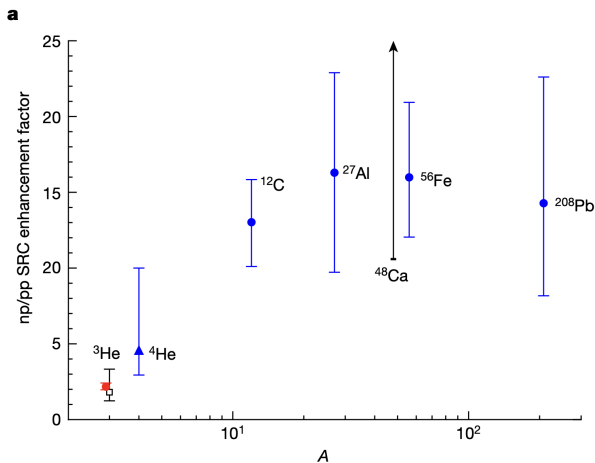
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$$\frac{\sigma_{\text{H}}}{\sigma_{\text{He}}} = 0.854 \pm 0.010$$

$$\frac{N_{pp}}{N_{np}} = 0.228 \pm 0.023$$

$$\frac{N_{np}}{N_{pp}} = 4.39^{+0.49}_{-0.41}$$

Inclusive scattering offers another way to probe pp vs pn vs nn pairing.



S. Li et al., Nature 609, p. 41 (2022)

$A = 3 (e, e') x_B \geq 1$ cross section ratios and the isospin structure of short range correlations



Andrew Denniston, MIT

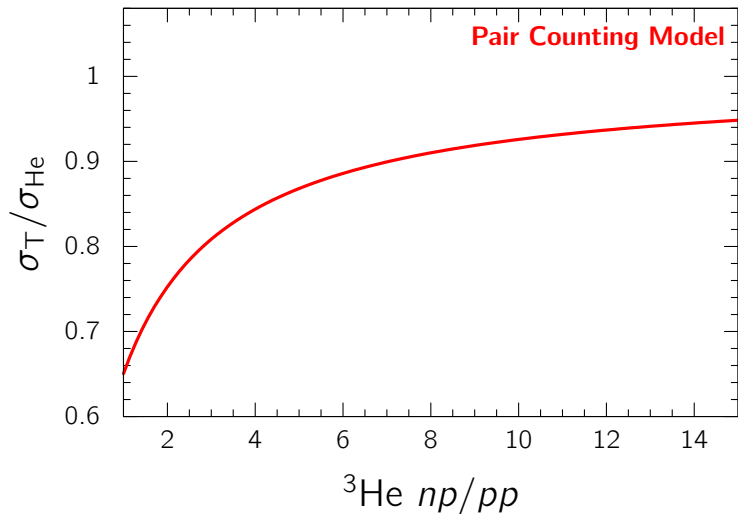
A. Schmidt, A. W. Denniston, E. M. Seroka, N. Barnea, D.W. Higinbotham, I. Korover, G.A. Miller, E. Piasetzky, M. Strikman, L.B. Weinstein, R. Weiss, O. Hen Phys. Rev. C 109, 054001 (2024)



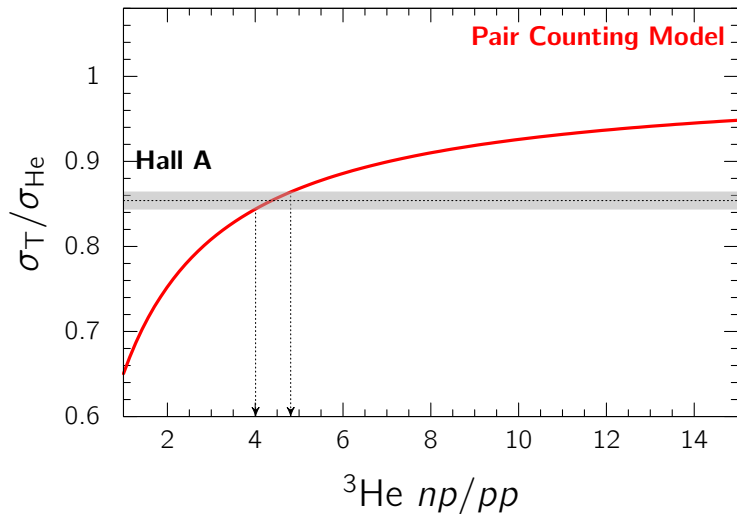
Erin Seroka, GW

Data from:
S. Li et al., Nature 609, p. 41 (2022)

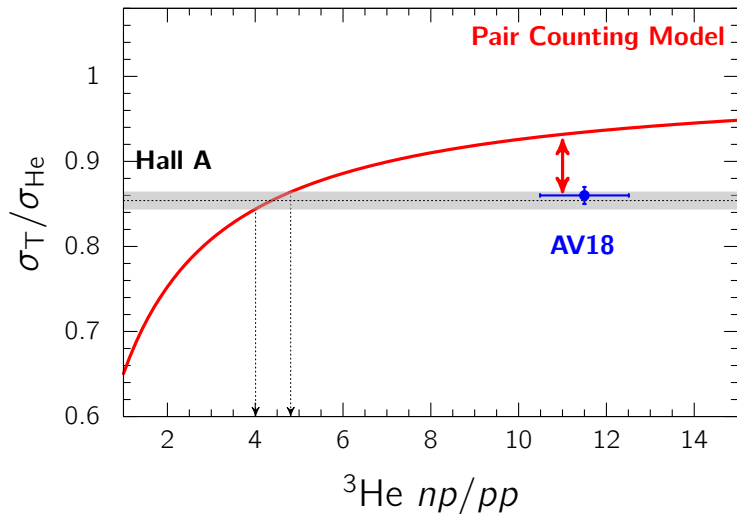
What if there is uncertainty in pair counting itself?



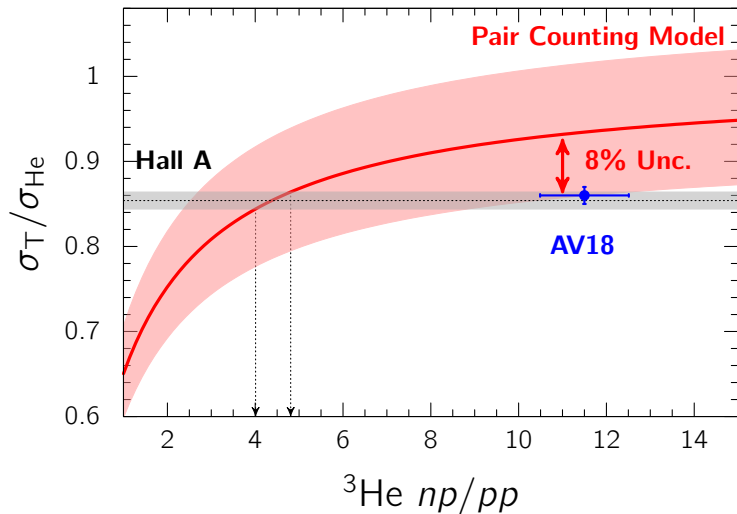
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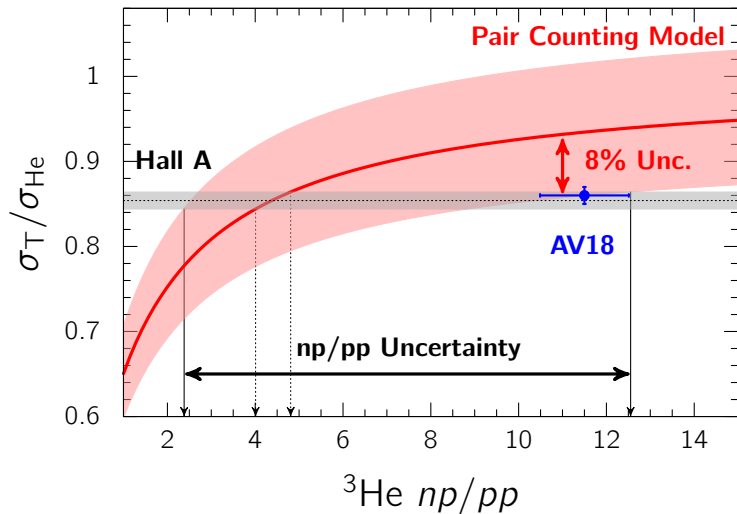
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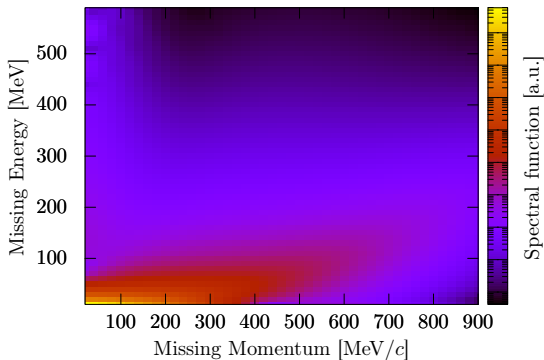
What if there is uncertainty in pair counting itself?



Integrating $(e, e'N)$ should reproduce (e, e') .

A = 3 Spectral Function

Neutrons in ^3He



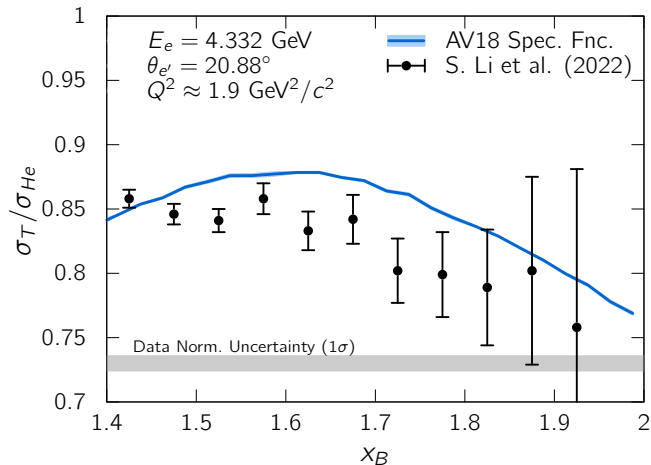
C. Ciofi degli Atti, L. P. Kaptari
Phys.Rev.C 71, 024005 (2005)

PWIA:

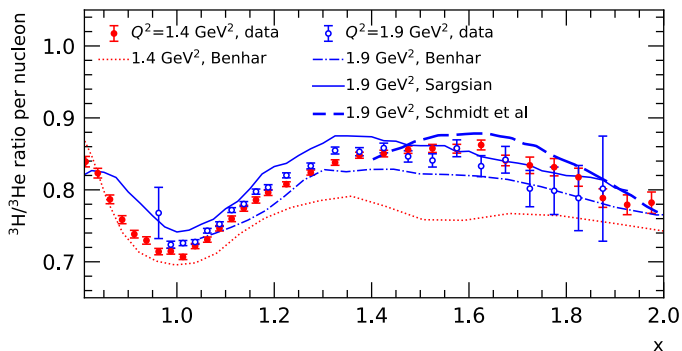
$$\frac{d^6\sigma}{d\Omega_e dE_e d\Omega_N dE_N} = \rho_N E_N \sigma_{eN} \mathcal{S}(\vec{p}_m, E_m)$$

3-body wave function
assuming **AV18**

Theoretical spectral function + PWIA does a decent job of reproducing the data.

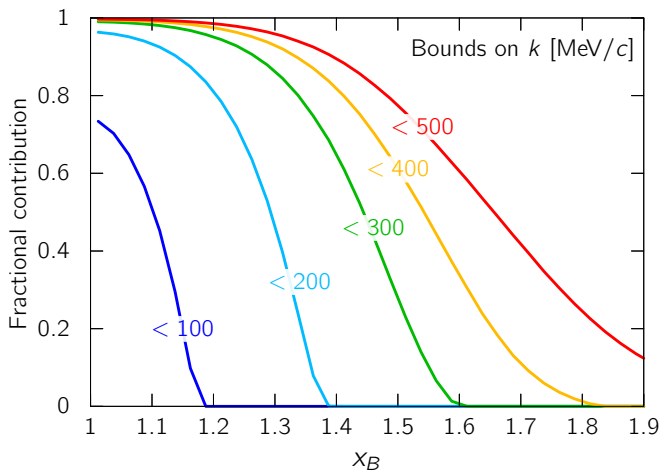


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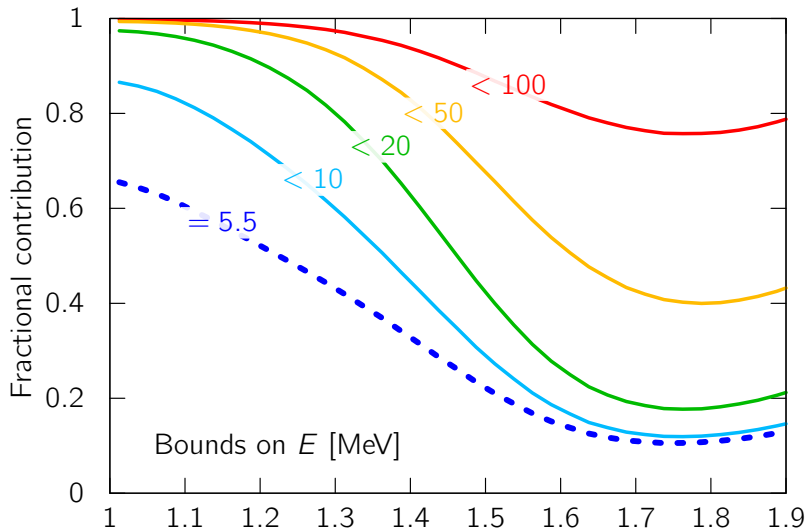


Long-Range Outlook for Short-Range Correlations
arxiv:2601.09568

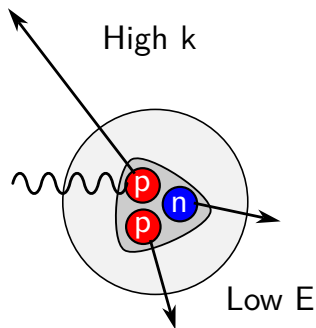
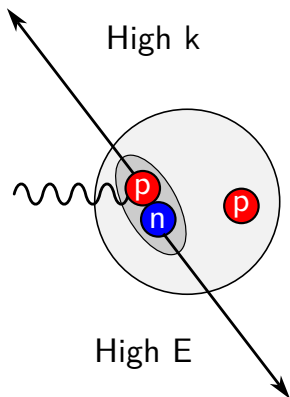
The spectral function allows us to look “under the hood.”



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High ρ_m , low E_m is not a 'pair' in the traditional sense.



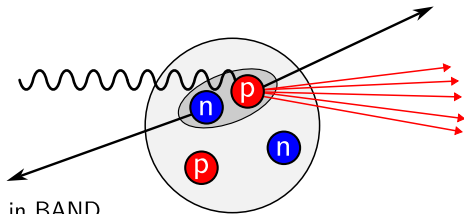
Studying the impact of virtuality-dependent nucleon structure modification on spectator-tagged deep inelastic scattering

Spectator-tagged DIS

Ratliff, Schmidt, EPJA
60:5 (2024)



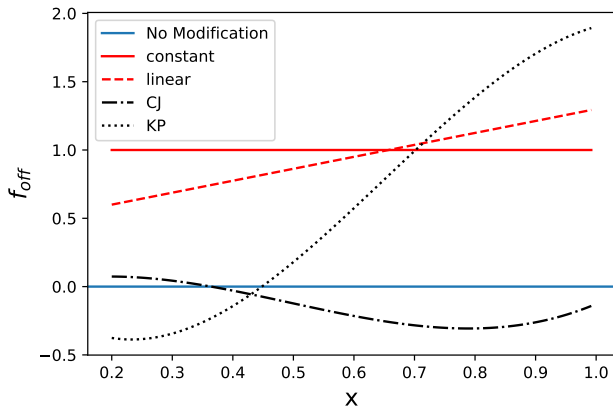
Sara Ratliff, GW



Detect in BAND

- 2-nucleon “decay function” from GCF
- Light-front convolution formalism $\rightarrow F_2$
- Modification proportional to struck nucleon “virtuality”

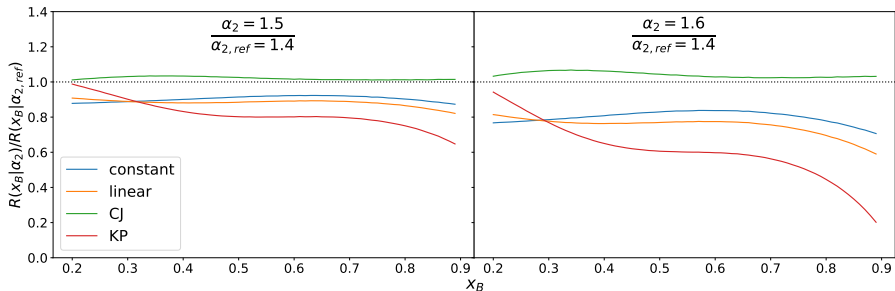
We tried several models of x -dependence of the modification.



Double ratio results

$$(F_2^{\text{bound}} / F_2^{\text{free}}) / (F_2^{\text{bound}} / F_2^{\text{free}})_{\text{ref.}}$$

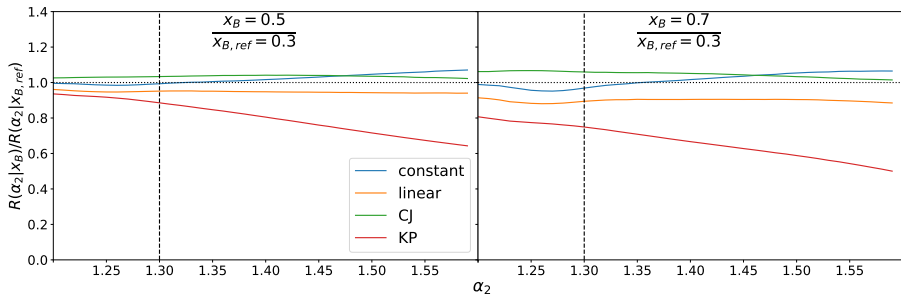
Data could help distinguish models.



Double ratio results

$$\left(\frac{F_2^{\text{bound}}}{F_2^{\text{free}}}\right) / \left(\frac{F_2^{\text{bound}}}{F_2^{\text{free}}}\right)_{\text{ref.}}$$

Data could help distinguish models.



Rocco/Lovato calculation of the “correlated” part of the 1-nucleon spectral function.

$$\mathcal{S}(p_1, E_m) = \int \frac{d^3 \vec{p}_2}{(2\pi)^3} \sum_n |\langle \psi_0^A | \vec{p}_1 \otimes \vec{p}_2 \otimes \psi_n^{A-2} \rangle|^2 \delta(E_m - \dots)$$

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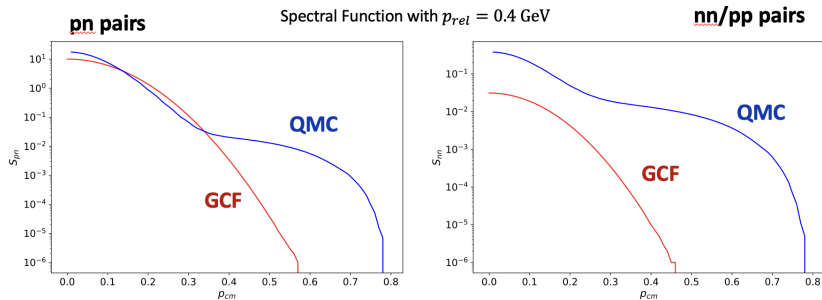
Rocco/Lovato calculation of the “correlated” part of the 1-nucleon spectral function.

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 &= \int \frac{d^3 \vec{p}_2}{(2\pi)^3} \sum_n \langle \psi_0^A | \hat{a}_1^\dagger \hat{a}_2^\dagger | \psi_n^{A-2} \rangle \langle \psi_n^{A-2} | \hat{a}_1 \hat{a}_2 | \psi_0^A \rangle \delta(E_m - \dots) \\
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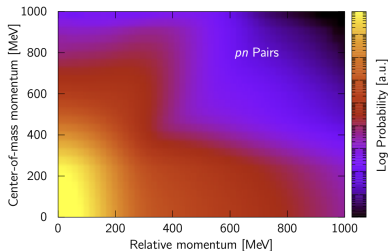
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 &= \int \frac{d^3\vec{p}_2}{(2\pi)^3} n_{2N}^A(\vec{p}_1, \vec{p}_2) \delta(E_m - \dots)
 \end{aligned}$$

The QMC SF is counting *all* pairs
not just correlated ones.

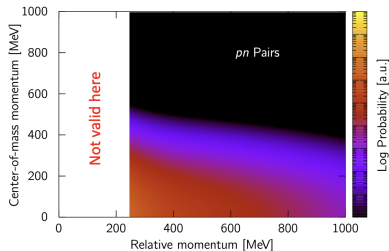


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VMC Spectral Function



GCF Spectral Function



The solution is to orthogonalize $|p_2 \otimes \psi_n^{A-2}\rangle$ with respect to $|\psi_0^{A-1}\rangle$.

The problem is that:

$$\langle p_2 \otimes \psi_n^{A-2} | \psi_0^{A-1} \rangle \neq 0$$

We can build an orthogonal wave function:

$$|p_2 \otimes \psi_n^{A-2}\rangle_{\text{ortho.}} = |p_2 \otimes \psi_n^{A-2}\rangle - \langle \psi_0^{A-1} | p_2 \otimes \psi_n^{A-2} \rangle |\psi_0^{A-1}\rangle$$

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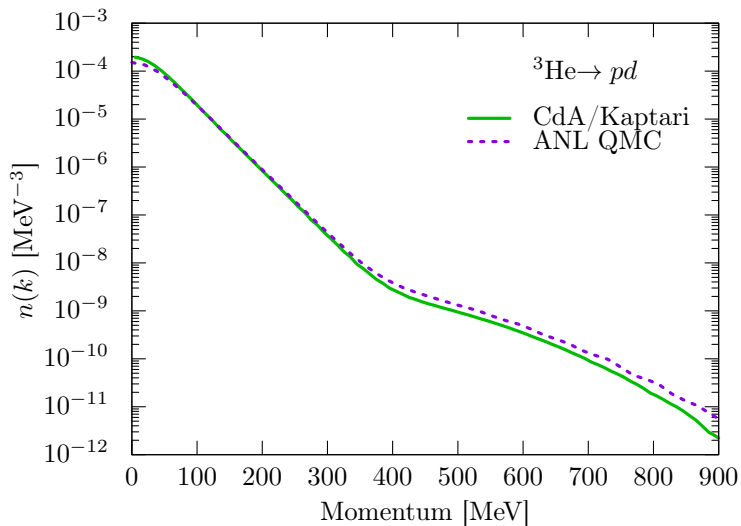
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This simplifies somewhat for the $A = 3$ case but work is still on-going.



Take aways

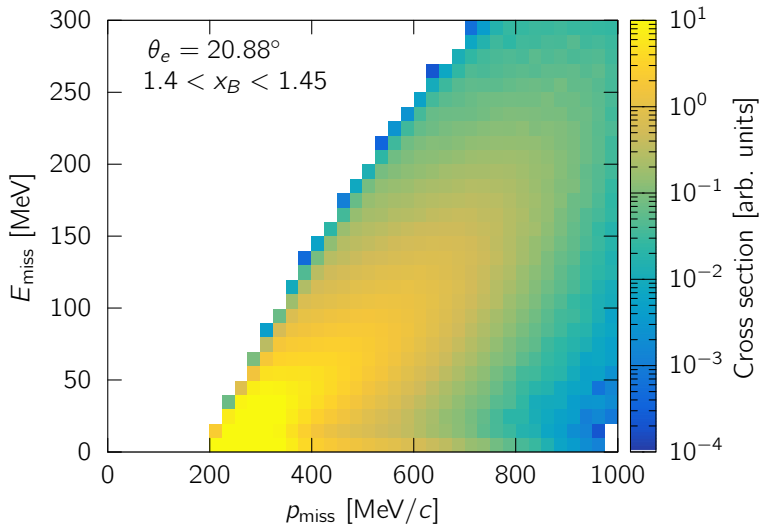
- Ab initio $A = 3$ spectral function shows a modest “non-pair-like” contribution for $x \rightarrow 2$.
- GCF spectral function for ${}^4\text{He}$ shows that spectator tagged DIS can help resolve different models of medium modification.
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- There is a need for properly orthogonalized correlated spectral functions.

Take aways

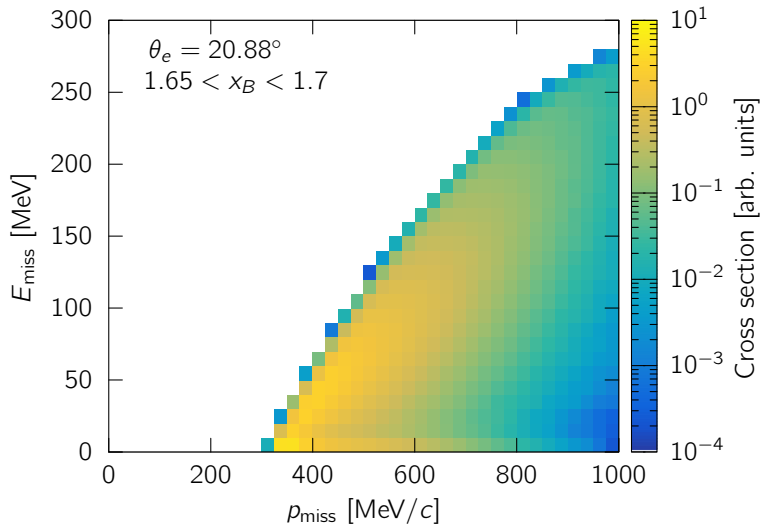
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- Naive QMC approach to building a “correlated” function have questionable E_m dependence due to considering *all* pairs, not just correlated pairs.
- There is a need for properly orthogonalized correlated spectral functions.
- Although, after yesterday, may I should be spending my time worrying more about FSIs.

BACK-UP

E_m and p_m content per x_B bin



E_m and p_m content per x_B bin



Spectral function calculation versus data

