



# Basics of superconductivity and applications

(Part 1)

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# Credits and thanks

The material of this course is largely based upon:

- S. Prestemon and S. Gourlay “Basics of superconductivity” (Unit 3 of USPAS 2018)
- A. Gurevich, “General aspects of superconductivity” (2007 SRF Workshop, Beijing, China, Oct. 11, 2007)
- V. V. Schmidt, The Physics of Superconductors (Springer, 1997)
- M. Tinkham, “Introduction to Superconductivity” (1980)
- An excellent video course from Univ. of Cambridge:

[Lectures on Superconductivity - Introduction \(cam.ac.uk\)](https://www.ascg.msm.cam.ac.uk/lectures/introduction.html)

<https://www.ascg.msm.cam.ac.uk/lectures/introduction.html>

# Outline

## Part 1

- Discovery of superconductivity
- Basic phenomenology of superconductors and characteristic lengths
- Microscopic theory of superconductivity

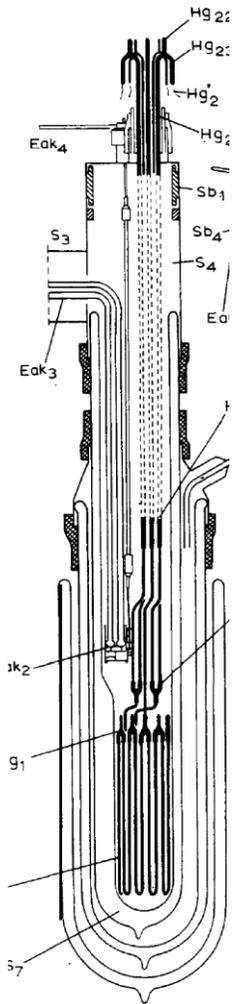
## Part 2

- Type I and Type II superconductors
- Phenomenology of type-II superconductors: flux lines, pinning, flux flow, critical state
- Practical scaling for technological superconductors
- Summary on applications

# Helium liquefaction opened up a new era in condensed matter physics

(summary by *S. Presetmon*)

- Faraday (~ 1820's) demonstrates an ability to liquify gases by first cooling with a bath of ether and dry ice, followed by pressurization. He was unable to liquify oxygen, hydrogen, nitrogen, carbon monoxide, methane, and nitric oxide
- The noble gases, helium, argon, neon, krypton and xenon had not yet been discovered (many of these are critical cryogenic fluids today)
- In 1848 Lord Kelvin determined the existence of absolute zero:  
 $0\text{ K} = -273\text{ C} (= -459\text{ F})$
- In 1877 Louis Cailletet (France) and Raoul-Pierre Pictet (Switzerland) succeed in liquifying **air**
- In 1883 Von Wroblewski (Cracow) succeeds in liquifying **oxygen**
- In 1898 James Dewar succeeded in liquifying **hydrogen** (~20 K!); he then went on to freeze hydrogen (14 K).
- Helium remained elusive; it was first discovered in the spectrum of the sun. In 1908 H. Kamerlingh Onnes succeeded in liquifying Helium (4.2 K)



*Helium liquefier built in Leiden in 1908  
 produced ~0.28 liters/hour*

Resistivity in a conductor stems from the scattering of electrons off thermally activated ions

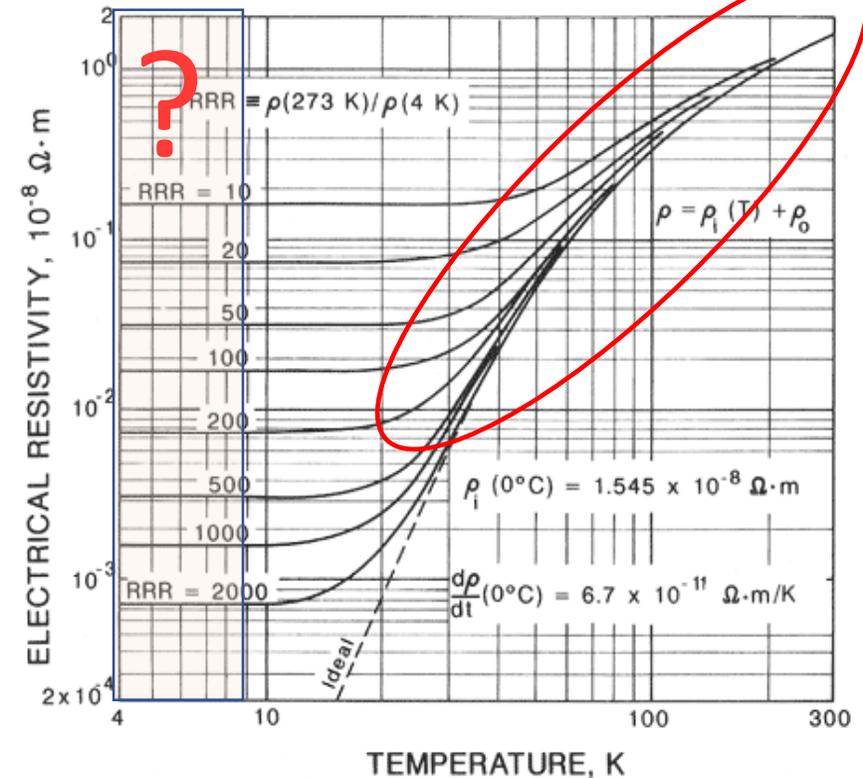
Resistance, therefore, **goes down as the temperature decreases** (from the high-temperature regime in which  $\rho \propto T$  to a low-temperature regime in which  $\rho \propto T^5$ )

The decrease in resistance in normal metals reaches a minimum due to the presence of irregularities and impurities in the lattice, hence the concept of RRR (Residual Resistivity Ratio). **RRR is a rough measure of electron scatterers (dislocations and impurities) in a metal.**

$$\rho(T) = A \left( \frac{T}{\Theta_R} \right)^n \int_0^{\Theta_R/T} \frac{t^n}{(e^t - 1)(1 - e^{-t})} dt$$

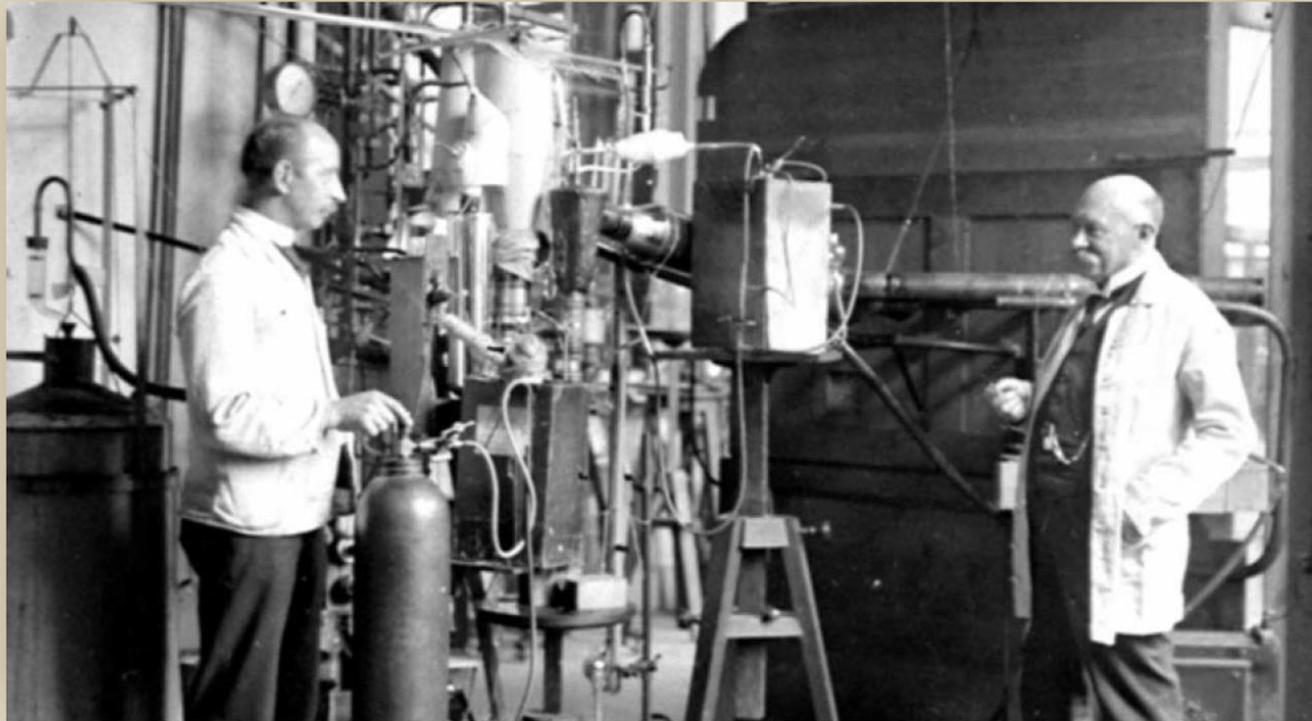
(Bloch – Gruneisen, 1930)

Copper resistivity



*In 1911 several theories (by Debye, Einstein, Matthieson, etc.) co-existed describing the resistivity behavior of metal close to absolute zero. The successful liquefaction of Helium allowed verifying those theories for the first time.*

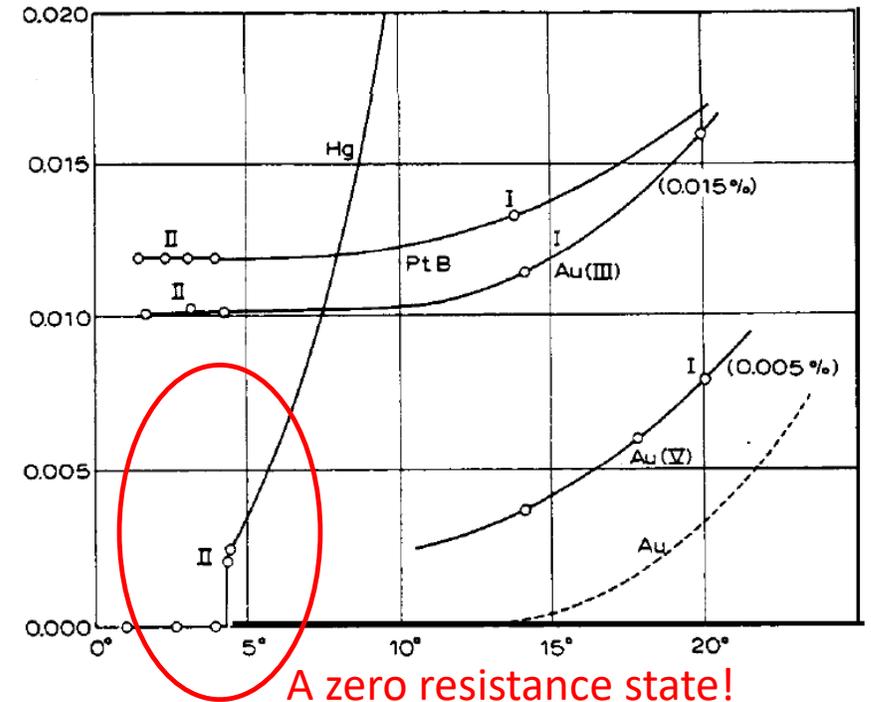
# Discovery of superconductivity



LEIDEN INSTITUTE OF PHYSICS

**Figure 1.** Heike Kamerlingh Onnes (right) and Gerrit Flim, his chief technician, at the helium liquefier in Kamerlingh Onnes's Leiden laboratory, circa 1911.

The resistivity of a superconductor is truly ZERO, accurate to  $10^{-26} \Omega \text{ m}$ . (Purest copper at 4.2 K is  $\sim 10^{-11} \Omega \text{ m}$ )

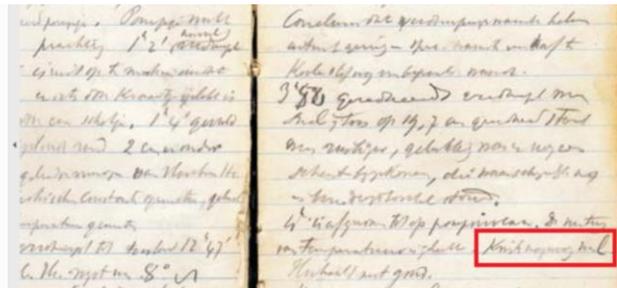


H. K. Onnes, *Commun. Phys. Lab.* 12, 120, (1911)

<https://doi.org/10.1016/j.physc.2012.02.046>

<https://physicstoday.scitation.org/doi/10.1063/1.3490499>

Dirk van Delft, Peter Kes (2010)



**Figure 2.** A terse entry for 8 April 1911 in Heike Kamerlingh Onnes's notebook 56 records the first observation of superconductivity. The highlighted Dutch sentence *Kwik nagenoeg nul* means "Mercury [resistance] practically zero [at 3 K]." The very next sentence, *Herhaald met goud*, means "repeated with gold." (Courtesy of the Boerhaave Museum.)

# Superconductor in a magnetic field

'...100,000 Gauss could then be obtained by a coil of say 30 centimeters in diameter and the cooling with helium would require a plant which could be realized in Leiden with a relatively modest support...'

Third International Congress of Refrigeration, Chicago Sept 1913



Lead wire wound coil

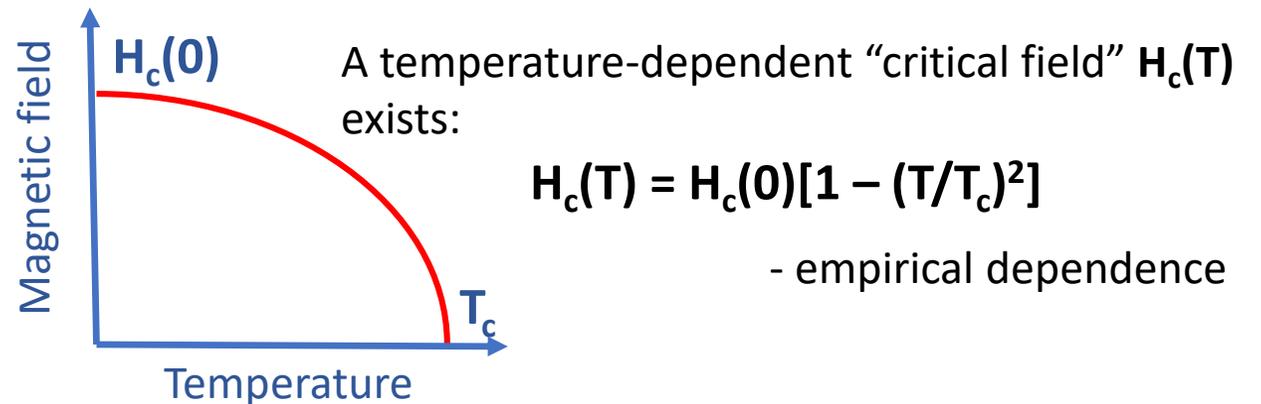
Leiden, 1912

H. Kamerlingh Onnes, "The sudden disappearance of the ordinary resistance of tin, and the supraconductive state of lead", Commun. 133d (1913)

## But...

"Using sections of wire soldered together to form a total length of 1.75 meters, a coil consisting of some 300 windings, each with a cross-section of 1/70 mm<sup>2</sup>, and insulated from one another with silk, was wound around a glass core. Whereas in a straight tin wire the threshold current was 8 A, in the case of the coil, it was just 1 A. Unfortunately, the disastrous effect of a magnetic field on superconductivity was rapidly revealed. Superconductivity disappeared when field reached 60 mT."

H. Kamerlingh Onnes, *KNAW Proceedings* 16 II, (1914), 987. *Comm.* 139f.



**Magnetic field destroys superconductivity!**

# Maxwell's equations

$$\nabla \cdot \vec{E} = \frac{\rho}{\epsilon} \quad \text{Gauss' law} \quad \text{The flux of the electric field out of an arbitrary closed surface is proportional to the electric charge enclosed by the surface}$$

$$\nabla \cdot \vec{B} = 0 \quad \text{Magnetic field lines "curl" around currents, magnetic monopoles do not exist}$$

$$\nabla \times \vec{E} = -\frac{\partial \vec{B}}{\partial t} \quad \text{Faraday's law} \quad \text{dB/dt induces voltage (and so in conductors that generates electric current)}$$

$$\nabla \times \vec{B} = \mu_0 \vec{J} + \mu_0 \epsilon_0 \frac{\partial \vec{E}}{\partial t} \quad \text{Ampere's law (corrected by Maxwell)} \quad \text{Moving charges generate magnetic field}$$

$$\mu_0 = 4\pi \times 10^{-7} \quad \text{N/A}^2 \quad \text{Permeability of free space}$$

$$\epsilon_0 = 8.85 \times 10^{-12} \quad \text{C}^2/(\text{Nm}^2) \quad \text{Permittivity of free space}$$

# Differential operators

- a. The **gradient** of a scalar-valued function  $f(x, y, z)$  is the vector field

$$\text{grad } f = \nabla f = \frac{\partial f}{\partial x} \hat{\mathbf{i}} + \frac{\partial f}{\partial y} \hat{\mathbf{j}} + \frac{\partial f}{\partial z} \hat{\mathbf{k}}$$

Note that the input,  $f$ , for the gradient is a scalar-valued function, while the output,  $\nabla f$ , is a vector-valued function.

- b. The **divergence** of a vector field  $\mathbf{F}(x, y, z)$  is the scalar-valued function

$$\text{div } \mathbf{F} = \nabla \cdot \mathbf{F} = \frac{\partial F_1}{\partial x} + \frac{\partial F_2}{\partial y} + \frac{\partial F_3}{\partial z}$$

Note that the input,  $\mathbf{F}$ , for the divergence is a vector-valued function, while the output,  $\nabla \cdot \mathbf{F}$ , is a scalar-valued function.

- c. The **curl** of a vector field  $\mathbf{F}(x, y, z)$  is the vector field

$$\text{curl } \mathbf{F} = \nabla \times \mathbf{F} = \left( \frac{\partial F_3}{\partial y} - \frac{\partial F_2}{\partial z} \right) \hat{\mathbf{i}} - \left( \frac{\partial F_3}{\partial x} - \frac{\partial F_1}{\partial z} \right) \hat{\mathbf{j}} + \left( \frac{\partial F_2}{\partial x} - \frac{\partial F_1}{\partial y} \right) \hat{\mathbf{k}}$$

Note that the input,  $\mathbf{F}$ , for the curl is a vector-valued function, and the output,  $\nabla \times \mathbf{F}$ , is again a vector-valued function.

- d. The Laplacian<sup>2</sup> of a scalar-valued function  $f(x, y, z)$  is the scalar-valued function

$$\Delta f = \nabla^2 f = \nabla \cdot \nabla f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} + \frac{\partial^2 f}{\partial z^2}$$

The Laplacian of a vector field  $\mathbf{F}(x, y, z)$  is the vector field

$$\Delta \mathbf{F} = \nabla^2 \mathbf{F} = \nabla \cdot \nabla \mathbf{F} = \frac{\partial^2 \mathbf{F}}{\partial x^2} + \frac{\partial^2 \mathbf{F}}{\partial y^2} + \frac{\partial^2 \mathbf{F}}{\partial z^2}$$

Note that the Laplacian maps either a scalar-valued function to a scalar-valued function, or a vector-valued function to a vector-valued function.

# Superconductor vs ideal conductor: Meissner effect and intermediate state

“Intermediate state”

Table 1.2. The demagnetizing factor  $n$  for various geometries

Sample geometry	$n$
Cylinder in parallel field	0
Cylinder in transverse field	1/2
Sphere	1/3
Thin plate in perpendicular field	1

$$H_m = \frac{H_0}{1 - n}$$

If field is applied to the ordinary conductor, it would penetrate it slowly, with a relaxation time  $\tau \sim L/R$  ( $\rightarrow \infty$  for an ideal conductor, so the field will never penetrate)

But if “ideal conductivity” is “turned on” while the magnetic field is already present, field lines would just stay in the conductor.

Superconducting regions:  $H=0$   
Normal regions:  $H=H_c$

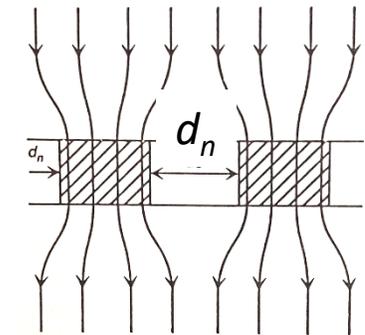
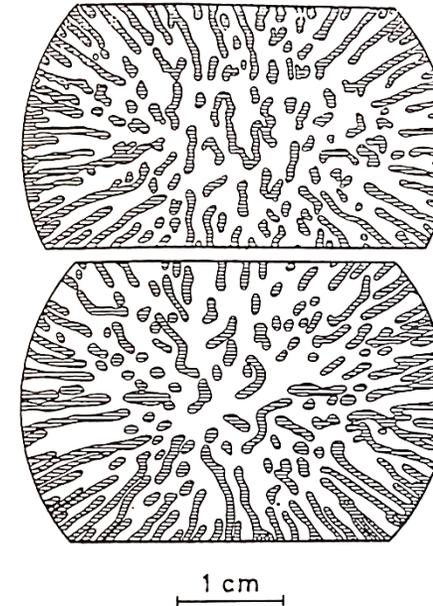
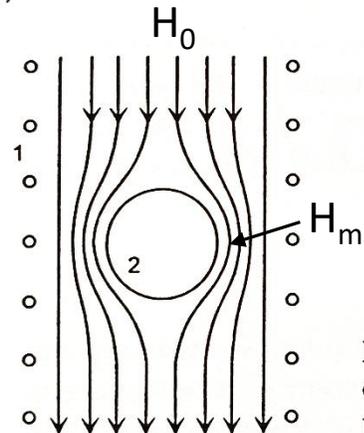
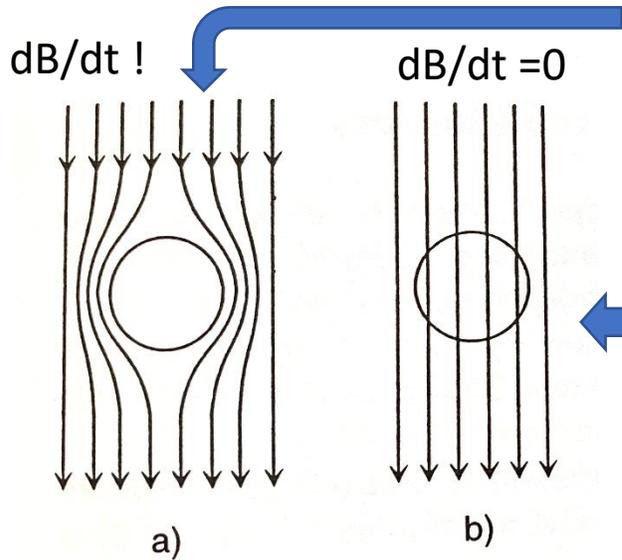


Fig. 1.7. Superconducting and normal regions in a tin sphere [15]. Shaded region: are superconducting

Normal region size  $d_n$  would adjust to provide a correct value of the field.

Meshkovskii, Shalnikov (1947)



Superconductor expels magnetic flux!

Fig. 1.5. Superconducting sphere in the homogeneous field of a solenoid; 1 – the winding of the solenoid, 2 – the superconducting sphere

W. Meissner and R. Ochsenfeld (1933)

# Magnetization of a superconductor

The magnetic induction  $\mathbf{B}$  and the magnetic field  $\mathbf{H}_0$  in the material are related with each other as:  $\mathbf{B} = \mu_0(\mathbf{H}_0 + \mathbf{M})$ , where  $\mathbf{M}$  is the magnetic moment per unit volume (magnetization).

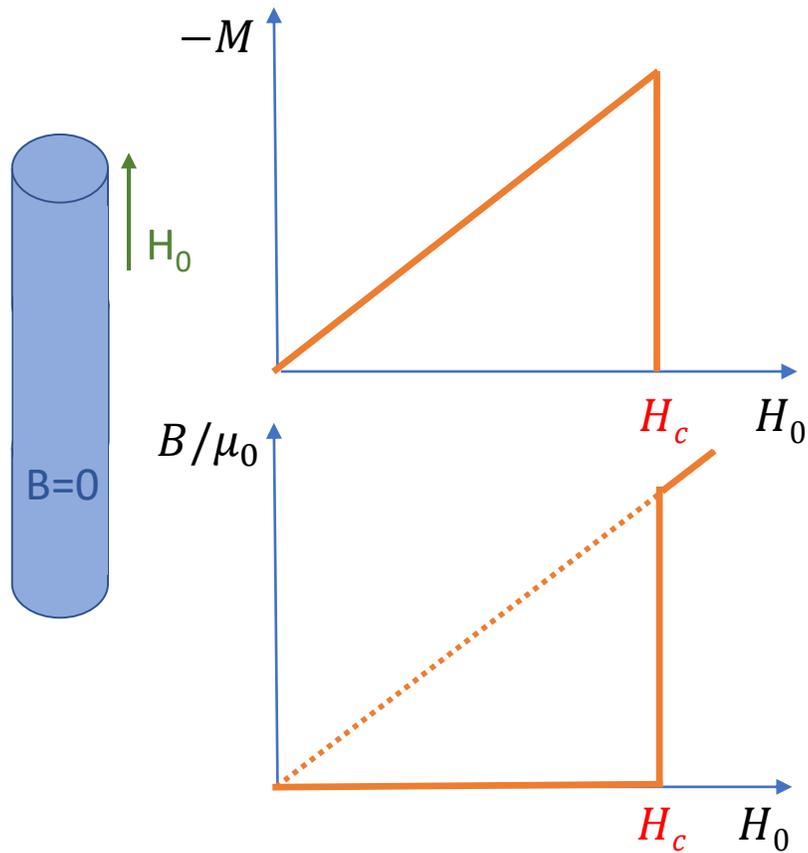
$$\mathbf{M} = \chi \mathbf{H}, \mathbf{B} = \mu \mathbf{H} = \mu_0(1 + \chi) \mathbf{H}, \chi - \text{magnetic susceptibility}$$

SI unit of  $\mathbf{B}$  is Tesla

SI unit of  $\mathbf{H}$  is A/m

One often used T, mT to define H, as it is a more practical unit.

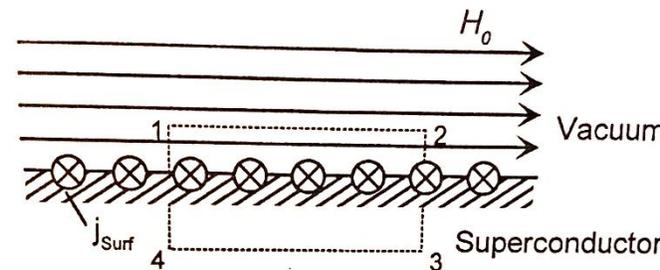
In than case "true"  $H = B/\mu_0$



- *Magnetic field outside of a superconductor is always tangential to its surface*

$\nabla \cdot \mathbf{B} = 0 \Rightarrow$  Component of  $\mathbf{B}$  normal to the surface must be equal on both sides of that surface. As inside the superconductor  $\mathbf{B} = 0$ , so in  $B_n = 0$

- *Superconductor in an external field always carries an electric current near its surface*



$$\nabla \times \mathbf{B} = \mu_0 \mathbf{j}$$

$$\oint \mathbf{B} d\mathbf{l} = \mu_0 I$$

1 → 2 → 3 → 4

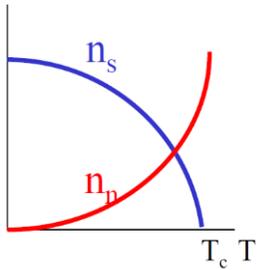
$$\mu_0 H_0 l_{12} = \mu_0 j_{surf} l_{12}$$

↓

$$\mathbf{j}_{surf} = [\mathbf{n} \times \mathbf{H}_0]$$

- *Superconductor below  $H_c$  is an ideal diamagnetic ( $\chi = -1$ )*

# London equations



Two-fluid model: assuming coexisting “fluids” of normal and superconducting electrons with densities  $n_s(T) + n_n(T) = n$   
 Electric field  $\mathbf{E}$  accelerates only the SC component, the normal component is short-circuited

Assuming ballistic flow for the superconducting electrons, one can write second Newton law for the SC component as:

$$m \frac{dv_s}{dt} = eE$$

As current  $\mathbf{J}_s = n_s(T)e\mathbf{v}_s$ , by substituting we obtain:  $\frac{d\mathbf{J}_s}{dt} = \left(\frac{e^2 n_s(T)}{m}\right) \mathbf{E}$  (First London equation)

Now, using two Maxwell equations:

$$\nabla \times \mathbf{E} = -\mu_0 \frac{\partial \mathbf{H}}{\partial t} \quad \text{and} \quad \nabla \times \mathbf{H} = \mathbf{J}_s \quad \text{and the known identity: } \mathbf{a} \cdot \nabla \times \mathbf{b} = \mathbf{b} \cdot \nabla \times \mathbf{a} - \nabla \cdot [\mathbf{a} \times \mathbf{b}]$$

↓

$$\lambda^2 \nabla^2 \mathbf{H} - \mathbf{H} = 0 \quad \text{(Second London equation)}$$

where  $\lambda = \left(\frac{m}{e^2 n_s(T) \mu_0}\right)^{1/2}$  has a dimensionality of length and is called London penetration depth

$$\lambda(T) = \frac{\lambda(0)}{(1 - (T/T_c))^4}$$

The empirical formula for the temperature dependence of  $\lambda$



Fritz London

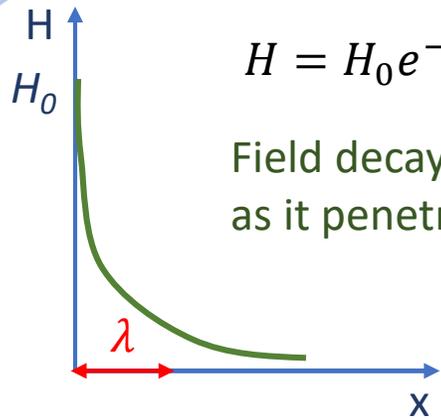
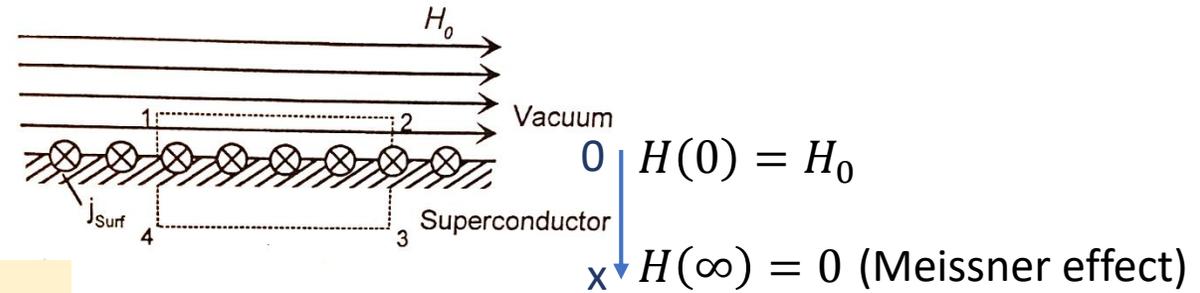


Heinz London

# Magnetic penetration depth

Re-writing the second London equation for the familiar problem of semi-space occupied by a superconductor with field applied parallel to the surface, we get:

$$\frac{d^2 H}{dx^2} - \lambda^{-2} H = 0$$



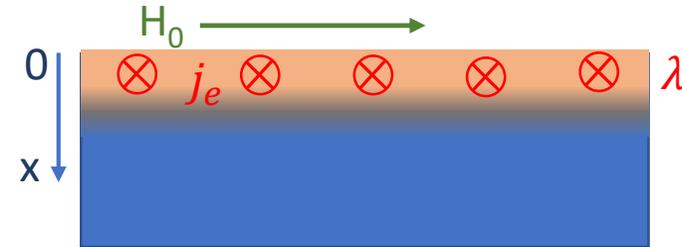
$$H = H_0 e^{-x/\lambda}$$

Field decays exponentially with distance as it penetrates the superconductor

$\lambda$  is a characteristic depth of field penetration

Table 2.1. London penetration depths for some superconductors [2]

Element	Al	Cd	Hg	In	Nb	Pb	Sn	Tl	YBa <sub>2</sub> Cu <sub>3</sub> O <sub>7</sub>
$\lambda(0) / \text{\AA}$	500	1300	380-450 (anisotropy)	640	470	390	510	920	1700



$$j_e = dH/dx \quad \text{and then} \quad j_e = \frac{H_0}{\lambda} e^{-x/\lambda}$$

Supercurrent completely screens the applied field from the interior of the superconductor

Limiting value for the surface current density: 
$$j_d = \frac{H_c(T)}{\lambda(T)} \cong j_0 \left(1 - \frac{T^2}{T_c^2}\right)^{3/2}$$

# Thermodynamics of the superconducting transition

Free energy of the superconductor changes at the superconducting transition

$$F_n - F_s = \mu_0 \frac{H_c^2}{2}$$

“Condensation energy”

Free energy is lower in the superconducting state!

$$F_n - F_s = \mu_0 \frac{H_c^2}{2} + \mu_0 \int_0^H \mathbf{M} d\mathbf{H}$$

- Generalized equation when external magnetic field is varied. Useful for calculating **M**!

$$\text{Entropy: } S = - \frac{\partial F}{\partial T} \Rightarrow S_n - S_s = -H_c \frac{dH_c}{dT}$$

We can then determine the amount of heat absorbed when a unit volume transitions from the superconducting to the normal state:

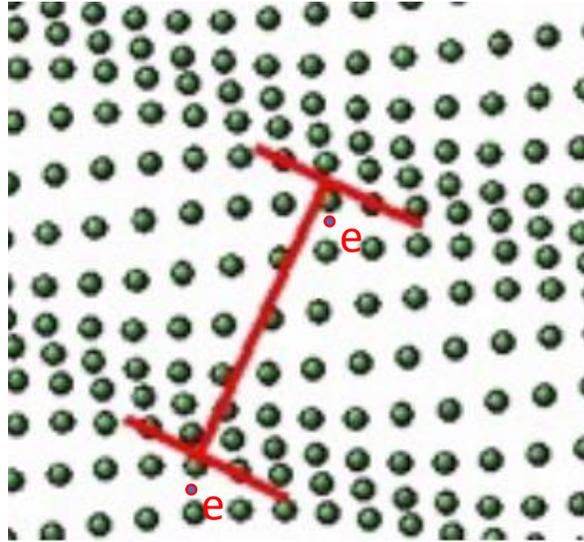
$$Q = T(S_n - S_s) = -TH_c \frac{dH_c}{dT}$$

As  $\frac{dH_c}{dT} < 0$  the heat balance is positive (meaning the superconductor is cooling when it transitions **in magnetic field** to the normal state). This is a hallmark of a **first-order phase transition**

$$\text{Specific heat: } c = T \frac{dS}{dT} \Rightarrow \Delta c = c_s - c_n = T \left( H_c \frac{d^2 H_c}{dT^2} + \left( \frac{dH_c}{dT} \right)^2 \right)$$

Note that at critical temperature  $T_c$  we have  $H_c = 0$ , and so  $S_n - S_s = 0$  and  $\Delta c_{T_c} = T_c \left( \frac{dH_c}{dT} \right)^2$

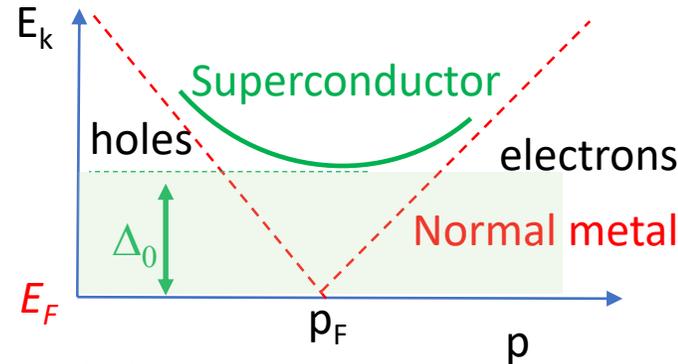
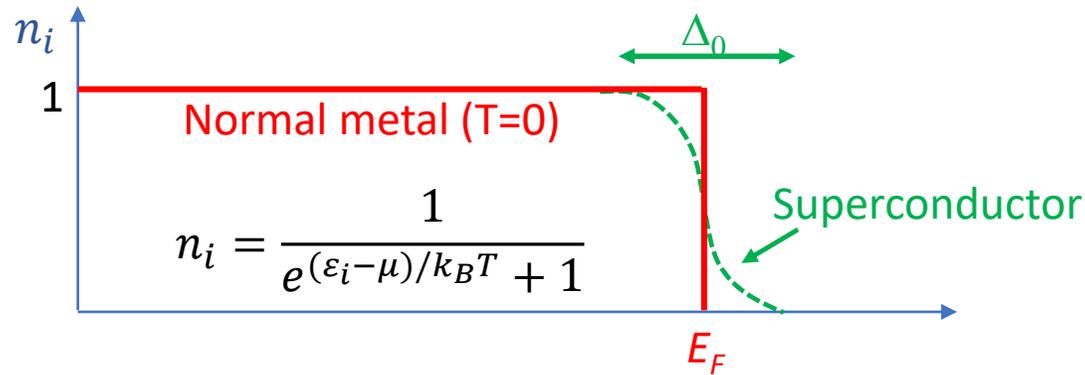
Superconducting transition **at  $T_c$**  is a **second-order phase transition**



- The atomic lattice vibrates all the time; lattice vibrations are called “phonons”
- An electron moving in the vibrating lattice of ions interacts with it via Coulomb forces (electron-phonon interaction)
- A “trace” of the lattice distortion remains behind a moving electron.
- Another electron can take advantage of this distortion to move through the lattice easier (aka “flocking”). Through interaction with phonons, electrons can influence each other over long distances of many lattice constants.

In a superconductor, when the temperature descends below the critical temperature, electrons find it energetically preferable to form “Cooper pairs” (Cooper, 1953) of electrons with anti-parallel momenta and spins. Due to the long-range nature of the interaction, many Cooper pairs may coexist in the same volume of the superconductor. Since free energy is lower in the superconducting state, the interaction responsible for the pair forming is **attractive**.

Electrons are  $\frac{1}{2}$  spin particles and hence are fermions. The average number of fermions in a single-particle state  $i$  is given by the **Fermi–Dirac distribution**:



$\Delta_0$  - superconducting energy gap

(Typically only  $\sim 1$  meV or  $10^{-22}$  J (compared to several eV of Fermi energy))

In normal metal, at  $T=0$  all states up to  $E_F$  are occupied, and above  $E_F$  are empty. In a superconductor, even at  $T = 0$ , there is a characteristic broadening of the distribution near  $E_F$  due to the electron-phonon interaction.

As a result, the net energy of the electron ensemble decreases by: 
$$\Delta E = F_n - F_s \approx \frac{N_{E_F}}{2} \Delta_0^2 = \frac{\mu_0 H_c^2}{2}$$

At the same time, *only electrons within  $\sim k_B T_c$  of the Fermi energy* can be expected to play a role in a phenomenon that sets in at  $T_c$ . These electrons have a momentum range  $\Delta p \approx \frac{k T_c}{v_F}$ . By analogy to the famous Heisenberg's uncertainty principle  $\Delta p \Delta x \sim \hbar$ , the characteristic dimensions of the superconducting wavefunction can be estimated as  $\Delta x \sim \frac{\hbar}{\Delta p}$ , where  $\hbar$  is the Planck constant.

This defines another characteristic length for a superconductor, called **superconducting coherence length**:

$$\xi_0 = \alpha \frac{\hbar v_F}{k T_c} \quad (\alpha \text{ is a constant } \sim 1). \quad (\text{Pippard, 1953})$$

# Ginzburg-Landau's (GL) theory of superconductivity

Complex superconducting order parameter:  $\psi = \left(\frac{n_s}{2}\right)^2 e^{i\theta}$

$\nearrow$  amplitude                       $\nwarrow$  phase

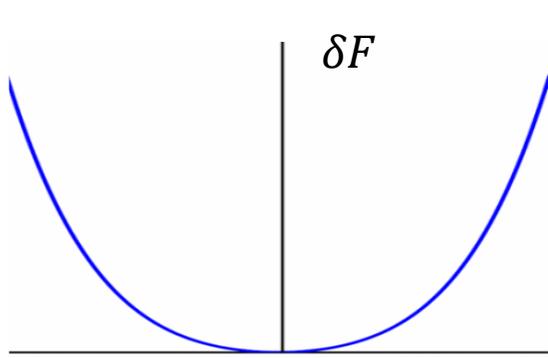
Generalization of the London equations to nonlinear problems

Near  $T_c$  the order parameter  $\psi$  is expected to be small, so free energy can be written as Taylor series

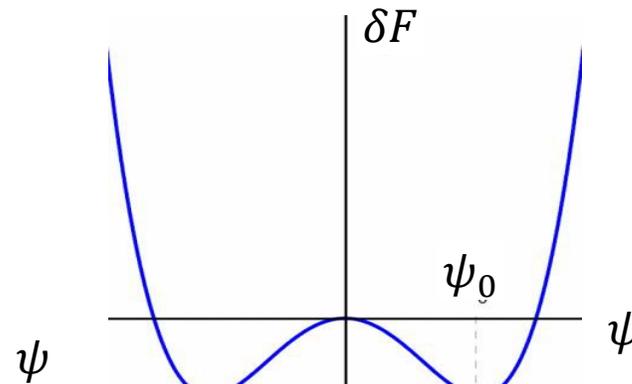
$$F = F_n + \int dV \left[ \alpha(T) |\Psi|^2 + \frac{\beta}{2} |\Psi|^4 + \frac{\hbar^2}{2m^*} \left| \left( \nabla + \frac{2\pi i \vec{A}}{\phi_0} \right) \Psi \right|^2 + \frac{\mu_0 H^2}{2} \right]$$

nonlinear
inhomogeneity
magnetic

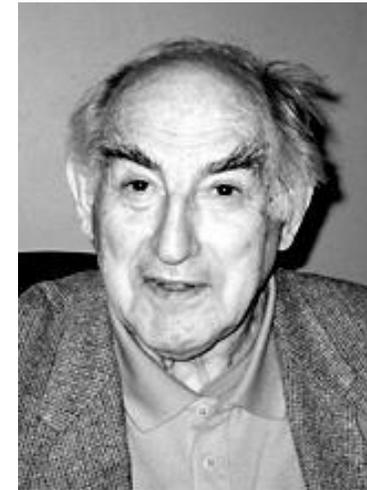
$\alpha(T) = \alpha_0(T - T_c)/T_c$  - changes sign at  $T_c$



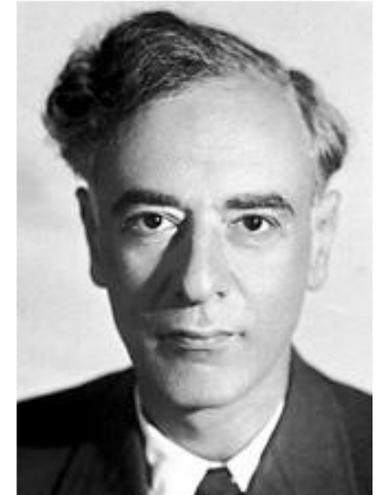
$T > T_c, \psi = 0$   
(normal state)



$T < T_c, \psi = (|\alpha|/\beta)^{1/2}$   
(superconducting state)



V. L. Ginzburg



L. D. Landau

(1950, Nobel prize 2003)

GL theory is one of the most widely used theories

# Phase coherence and flux quantization

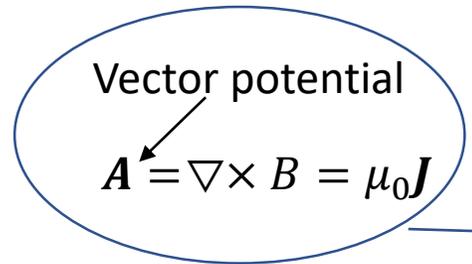
All superconducting electrons are paired in a coherent quantum state described by the macroscopic complex wave function:

$$\psi = \left(\frac{n_s}{2}\right)^2 e^{i\theta} \quad \text{The same phase } \theta \text{ for all superconducting electrons!}$$

Phase gradient  $\nabla\theta$  results in a superconducting current:  $J = -\left(\frac{e\hbar n_s}{m}\right)\nabla\theta$  “analog” of Ohm’s law for superconductors!

SQUIDs

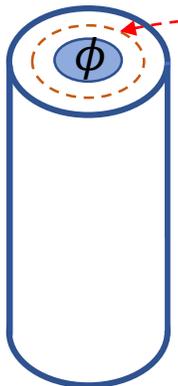
Qubits



$$J_s = \frac{1}{\mu_0\lambda^2} \left( \frac{\phi_0}{2\pi} \nabla\theta + \vec{A} \right) \quad \lambda = \left( \frac{m}{e^2 n_s(T) \mu_0} \right)^{1/2} \quad \text{London penetration depth}$$

$$\phi_0 = \frac{\pi\hbar}{|e|} \quad \text{- magnetic flux quantum}$$

➤ What is the magnetic flux trapped in a hollow superconducting cylinder?



If one integrates  $J_s$  around the contour taken in the bulk of the superconductor where  $J_s = 0$ , then:

$$\frac{1}{\mu_0\lambda^2} \oint \left( \frac{\phi_0}{2\pi} \nabla\theta + \vec{A} \right) d\vec{l} = 0 \quad \text{Using Gauss theorem: } \Phi = \int \nabla A dS = \oint A dl \text{ and the fact that } \psi \text{ must}$$

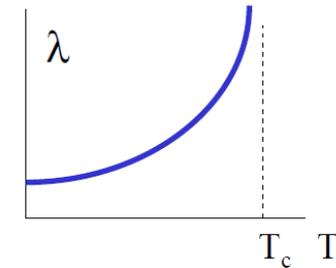
be single-valued, one comes up with a periodic solution:  $\oint \nabla\theta dl = 2\pi n$ , where  $n = 0, \pm 1, \pm 2, \dots$

$$\Phi = \pm n\phi_0, \quad \phi_0 = \pi\hbar/|e| = 2.07 \times 10^{-15} \text{ Wb}$$

# Important results of the GL theory

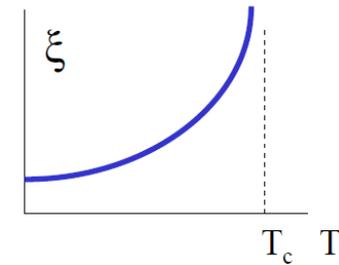
Magnetic London penetration depth near  $T_c$ :

$$\lambda(T) = \left( \frac{m\beta}{2e^2\mu_0 a_0} \right)^{1/2} \sqrt{\frac{T_c}{T_c - T}}$$



Coherence length near  $T_c$  – a scale of spatial variation of the superconducting electron density  $n_s(r)$  or superconducting gap  $\Delta(r)$ :

$$\xi(T) = \left( \frac{\hbar^2}{4ma_0} \right)^{1/2} \sqrt{\frac{T_c}{T_c - T}}$$



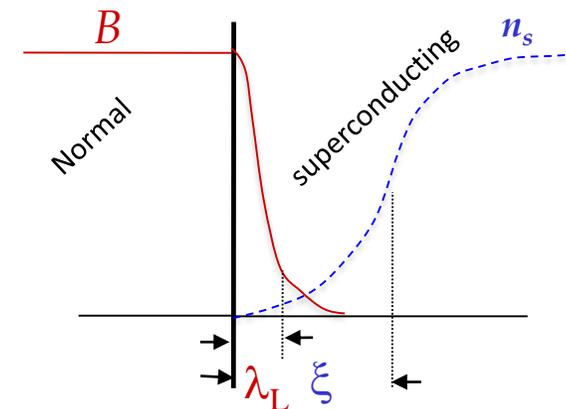
Critical field  $B_c(T)$  near  $T_c$  in terms of  $\xi(T)$  and  $\lambda(T)$ :

$$B_c(T) = \frac{h}{4\sqrt{2\pi}e\xi(T)\lambda(T)}$$

The Ginzburg-Landau parameter:

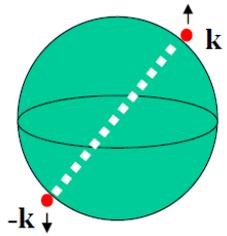
$$\kappa = \lambda/\xi$$

an essential temperature -independent characteristic of superconducting materials



# BCS theory

In 1957 Bardeen, Cooper, and Schrieffer publish microscopic theory (BCS) of Cooper-pair formation that continues to be held as the standard for low-temperature superconductors. Nobel prize 1972.



Cooper pair on the Fermi surface

**Attraction** between electrons with antiparallel momenta  $k$  and spins due to exchange of lattice vibration quanta (phonons)

A rigorous microscopic theory explaining the formation of Cooper pairs and superconducting energy gap

**Bose condensation** of overlapping Cooper pairs in a coherent superconducting state. (Cooper pairs are bosons!)

Critical temperature  $T_c$  is connected with the electron-phonon coupling constant and the energy gap:

$$T_c = 1.13 T_D e^{-1/\gamma}, \text{ where } \gamma \approx 0.1-1 \text{ is a dimensionless coupling constant between phonons and electrons}$$

$$2\Delta = 3.52 k_B T_c, \quad T_c \ll T_D \text{ (where } T_D \text{ is the Debye temperature } \sim 300 \text{ K)}$$



John Bardeen



Leon Cooper



John Robert Schrieffer

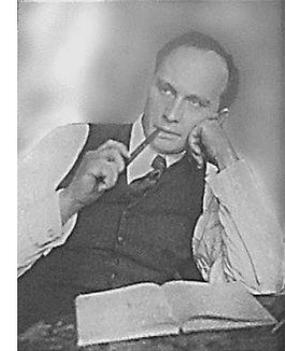


# Basics of superconductivity and applications

(Part 2)

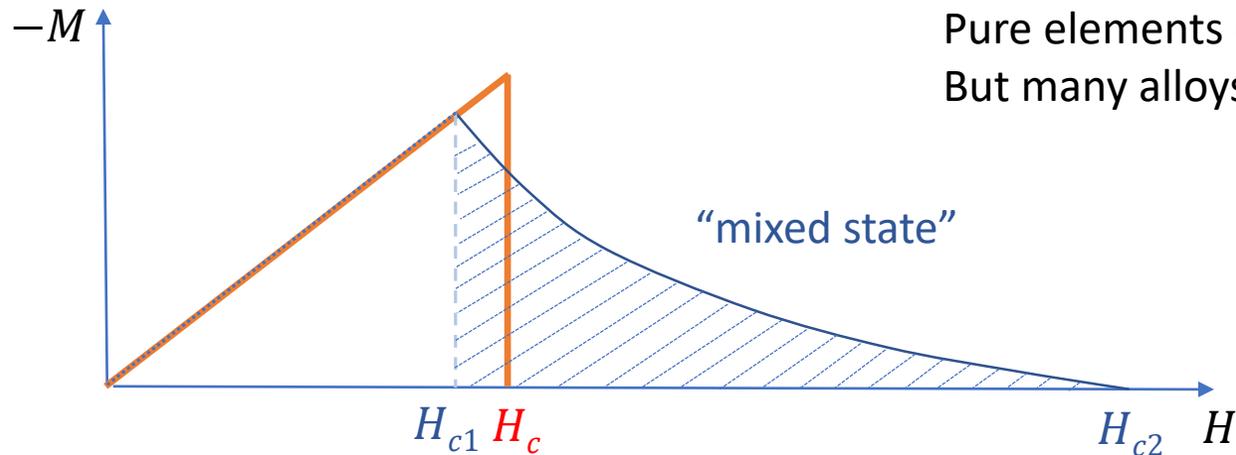
M. Marchevsky,  
Lawrence Berkeley National Laboratory

# Type-I and Type-II superconductors



Lev Shubnikov

Pure elements (Hg, Sn, Pb, In, etc. are usually “type I”  
But many alloys were exhibiting “type II” behavior”...



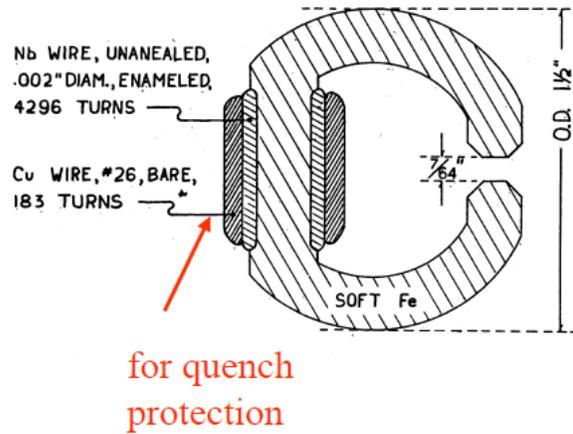
**Type-I:** Field penetrates the superconductor at  $H_c$  destroying bulk superconductivity at once

**Type-II:** Field penetrates the superconductor at  $H_{c1}$  but superconductivity is fully destroyed at a much higher field  $H_{c2}$

What happens in a superconductor between  $H_{c1}$  and  $H_{c2}$ ?

Superconductor	$T_c$ (K)	$\xi_0$ (nm)	$\lambda_0$ (nm)	GL parameter $\kappa = \lambda/\xi$	$\mu_0 H_c$ (or $B_{c2}$ ), (T)
Pb	7.19	83	37	0.445	0.08
In	3.14	70	40	0.57	0.03
Sn	3.72	230	14	0.06	0.03
Nb	9.26	38	39	1.026	0.82
NbTi	10	4	240	60	15
Nb <sub>3</sub> Sn	18.3	3	65	21.7	30
MgB <sub>2</sub>	39	6	140	23.3	74
YBCO	92	1.5	150	100	~100
Bi <sub>2</sub> Sr <sub>2</sub> Cu <sub>2</sub> O <sub>8+δ</sub>	85	1.5	200	117.6	~120

# First type-II superconductor magnet

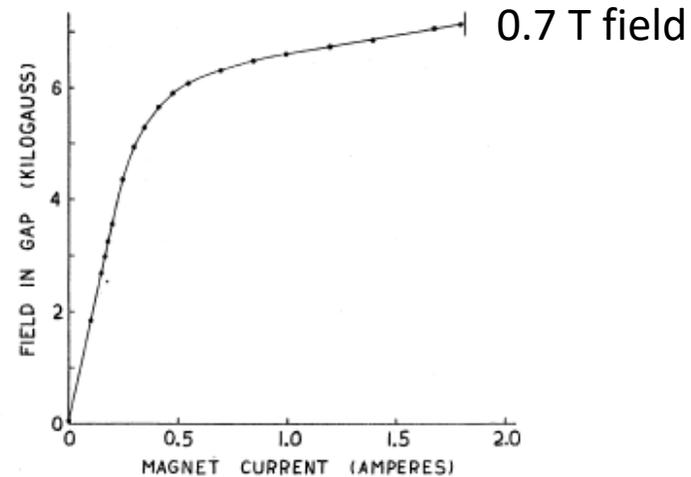


George Yntema, Univ. of Illinois, 1954

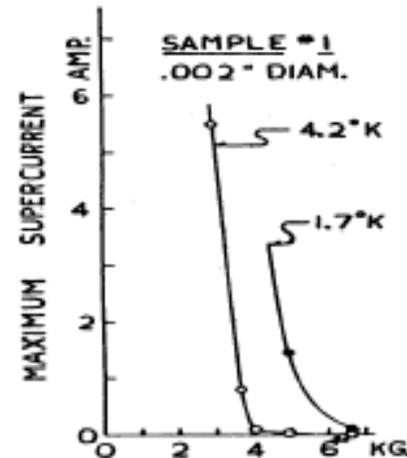
- The first successful type-II superconductor magnet was wound with Nb wire

It was also noted that “cold worked” Nb wire yielded better results than the annealed one.

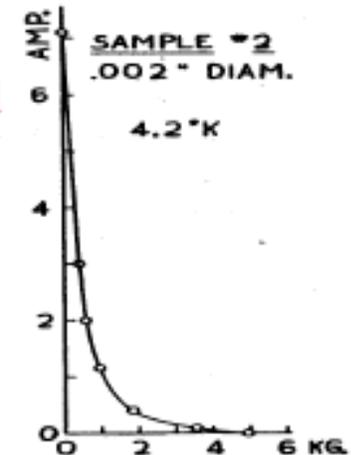
Material defects in the conductor seemed to help improve the magnet performance!



hard

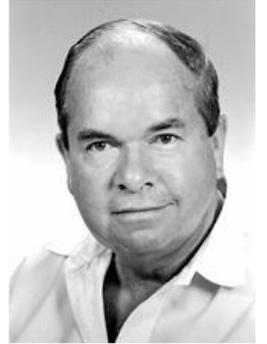


annealed



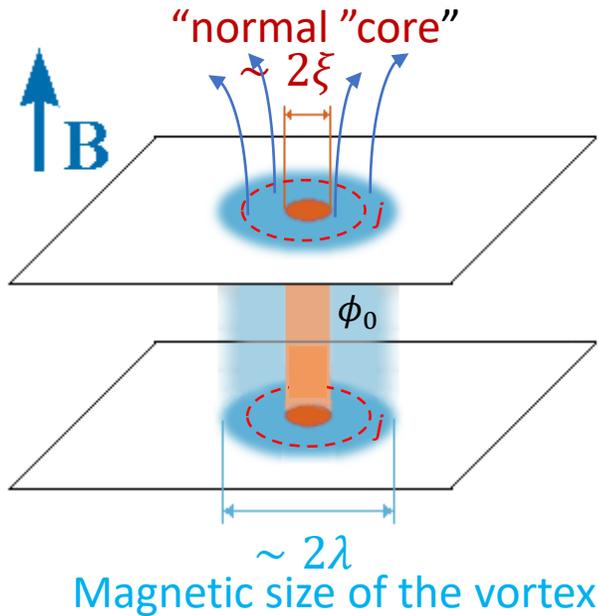
# Flux lines (vortices) and flux-line lattice

In 1956 A. Abrikosov applied GL theory to the case of “extreme” superconductors where  $\lambda \gg \xi$  ( $k \gg 1$ ). He found that in this case it should be more energetically favorable for the magnetic field to penetrate the superconductor at  $H_{c1}$  in a form of flux lines or “vortices” carrying a single flux quantum  $\phi_0$  rather than forming macroscopic domains of normal phase like in type-I materials.



Alexei Abrikosov

Nobel prize, 2003



According to the solution:

$\kappa < 1/\sqrt{2}$  - type I superconductor

$\kappa > 1/\sqrt{2}$  - type II superconductor

$$H_{c1} = \frac{\phi_0}{4\pi\mu_0\lambda^2} \left( \ln \frac{\lambda}{\xi} + 0.5 \right)$$

$$H_{c2} = \frac{\phi_0}{2\pi\mu_0\xi^2} \quad \text{- when normal cores overlap, superconductivity disappears}$$

To further minimize energy, vortices will form a **hexagonal** lattice

Vortex density  $n(B) = \phi_0/B$  defines the magnetic induction  $B$  in the material

Spacing between vortices:  $a_0 = (\phi_0/B)^{1/2}$

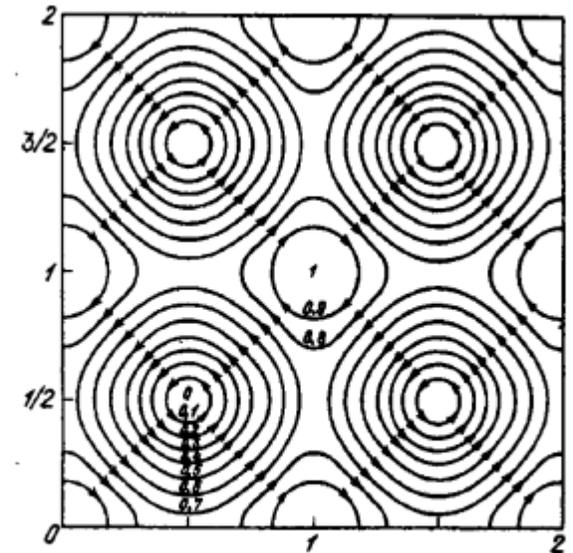
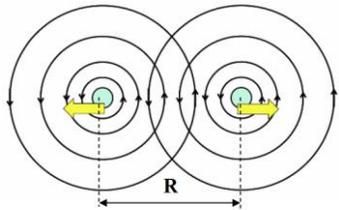
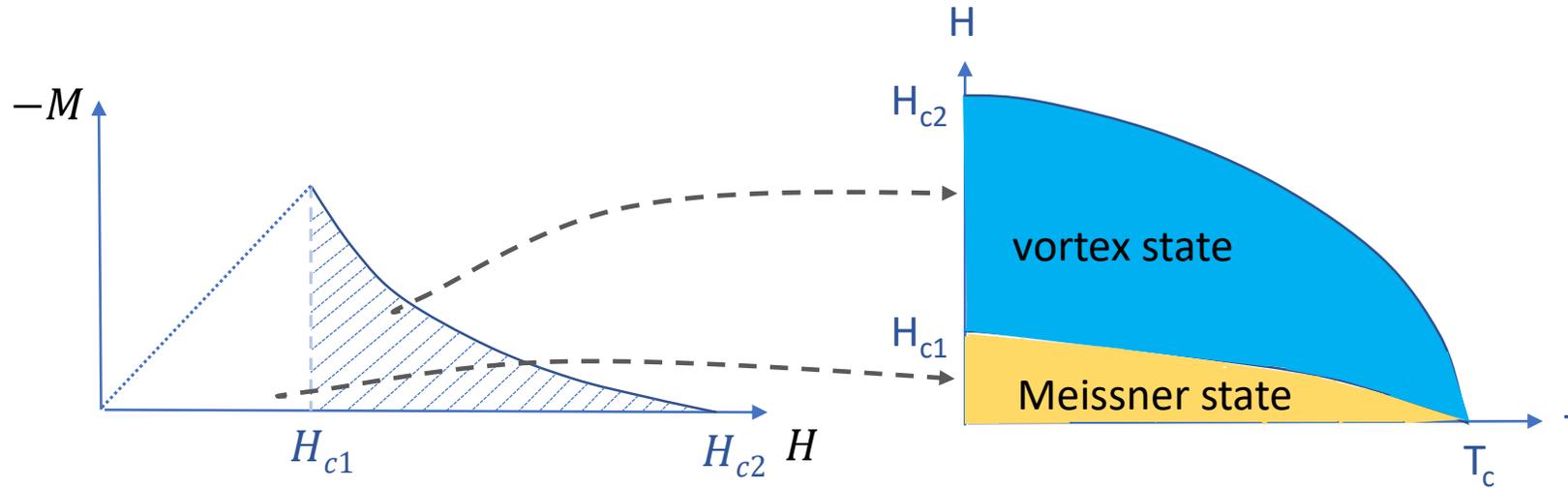


FIG. 2. The lines of current coinciding with the lines of constant  $|\Psi|$  for a square lattice.

# Vortex state in type-II superconductors



Vortices are magnetic dipoles, they repel each other (locally), while macroscopic currents flowing in the superconductor “pull” them in, thus balancing the net force.

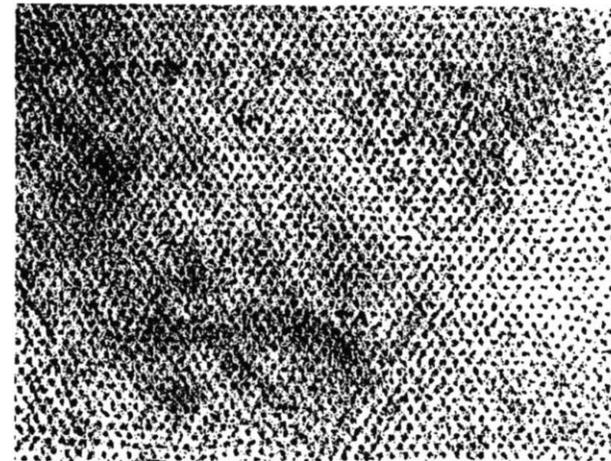
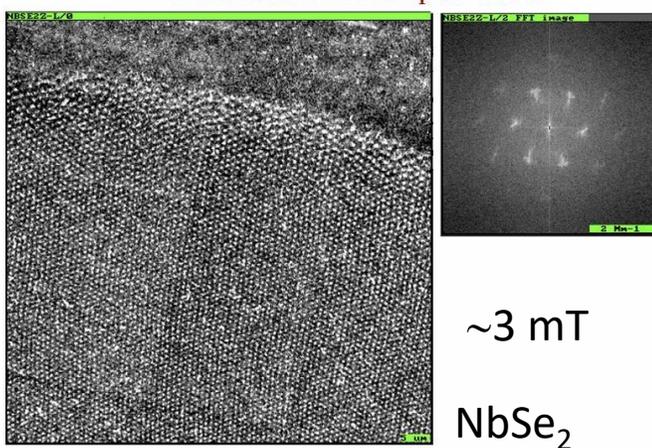
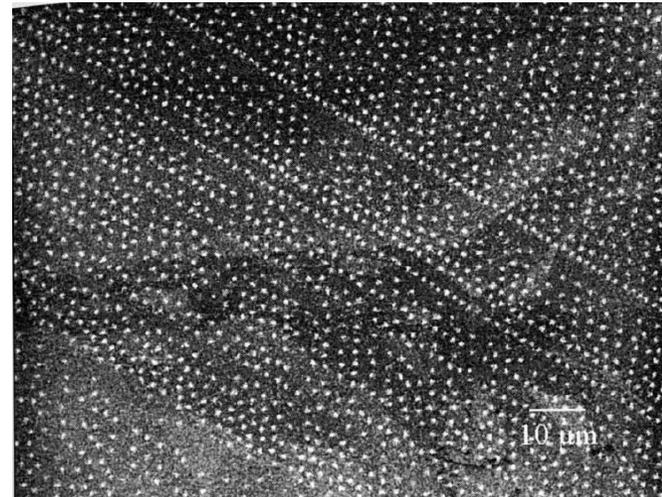


FIG. 5. First decoration picture of vortices by Essmann and Trauble (1967).

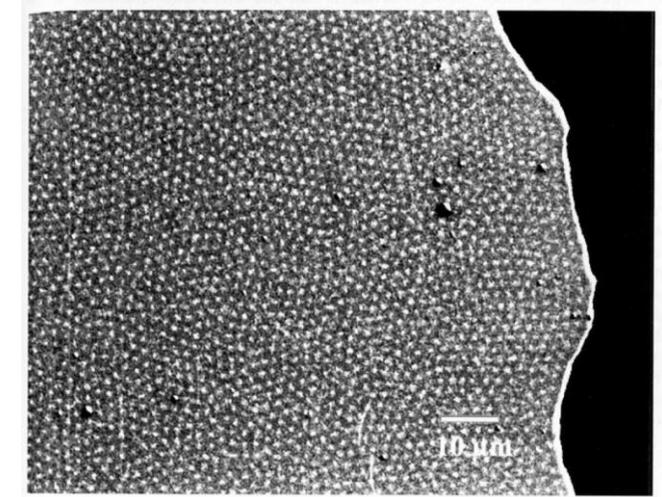
# Vortex lattice in different materials



~3 mT  
NbSe<sub>2</sub>



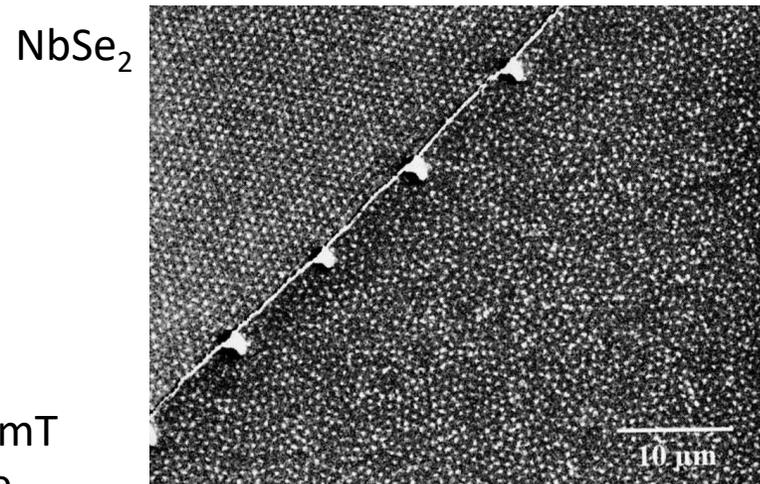
Bi-2212 ~ 0.5 mT



MoGe ~ 0.5 mT



~4.5 mT  
NbSe<sub>2</sub>



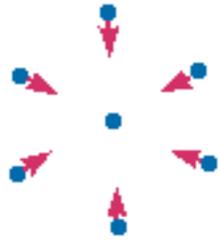
~5 mT

Nb

Magnetic imaging methods like decoration only work at low fields  $\sim H_{c1}$ , where interior-vortex separation is greater than  $\lambda$ , so they do not “overlap”.

MM, PhD thesis

# Vortex matter: elastic properties

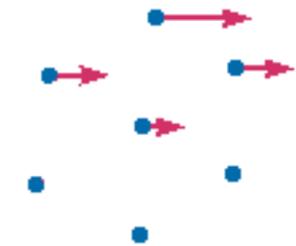


Compression modulus:

$$c_{11}(k) = \frac{B^2}{4\pi} \frac{1}{1 + \lambda^2 k^2} \sim 1/\lambda^2$$

➤ Like the real matter, “vortex matter” has elastic properties and elastic energies associated with them!

$$(B \sim B_{c1})$$

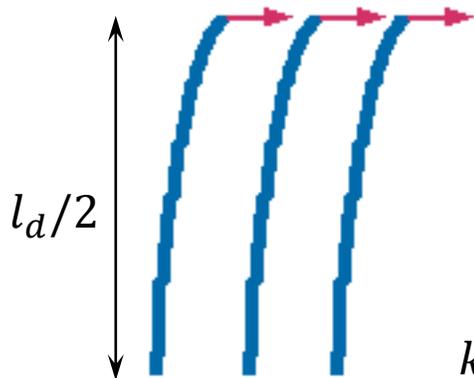


Shear modulus:

$$c_{66} = \frac{\phi_0 B}{(8\pi\lambda)^2} \sim 1/\lambda^2$$

$$\lambda(T) = \frac{\lambda_0}{(1 - T/T_c)^{1/2}}$$

Vortex lattice “stiffness” vanishes at  $T_c$  is approached



Tilt modulus:

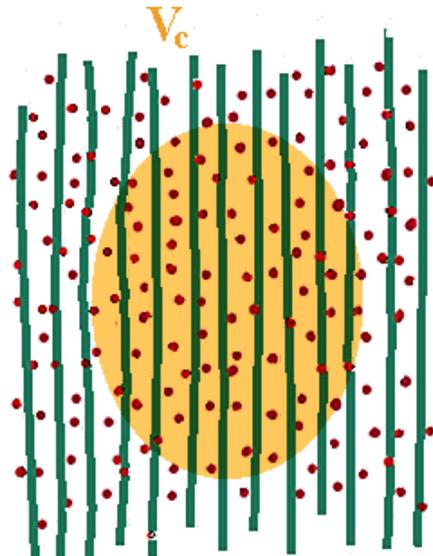
$$c_{44}(k) = \frac{B^2}{4\pi} \frac{1}{1 + \lambda^2 k^2} \sim 1/\lambda^2$$

$k$  is the wavevector of the deformation,  $k = 2\pi/l_d$

What can distort elastically-coupled vortex lattices in a material?

# Vortex pinning

weak pinning  
collective pinning



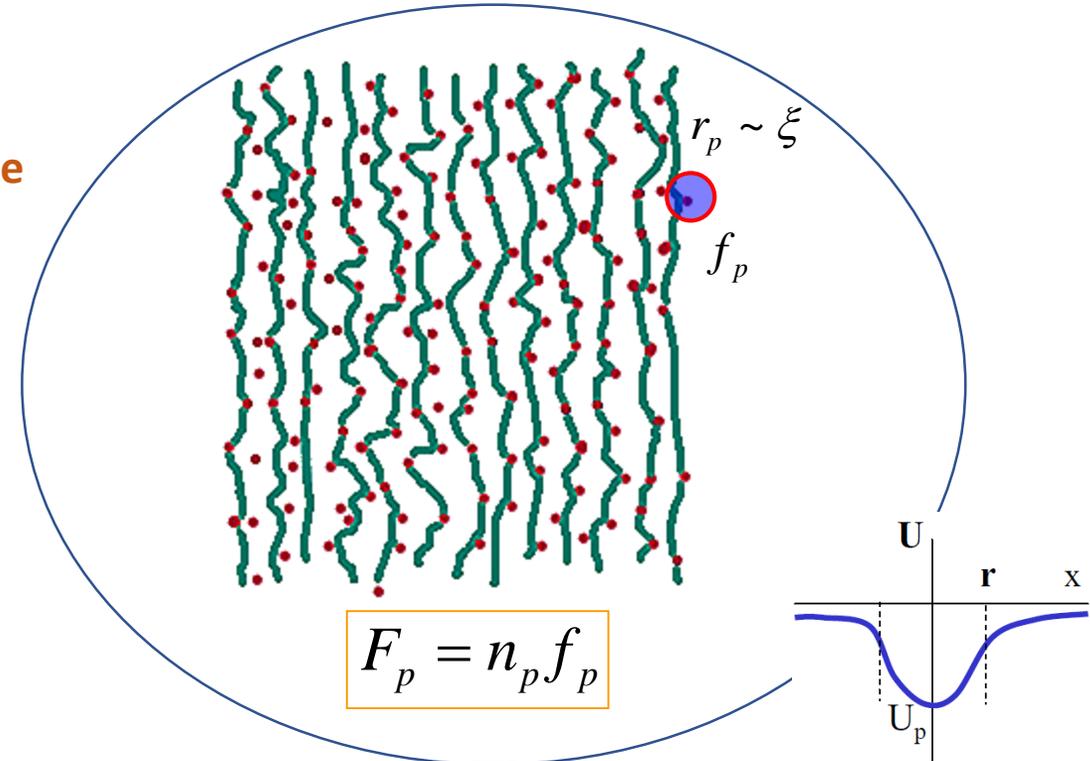
$$F_p = \left[ \frac{1}{2} n_p f_p^2 / V_c \right]^{1/2}$$

$$V_c \sim c_{66}^2 c_{44}$$

Larkin and Ovchinnikov, 1979.

Pining action of various material defects “competes” against flux line lattice elasticity

strong pinning  
individual (single vortex) pinning)



$$F_p = n_p f_p$$

It is favorable for a flux line to “sit” on a defect, as normal core would be going through the volume where superconductivity is already suppressed locally by the defect. Energy gain!

# Flux pinning

Flux lines can be pinned by a wide variety of material defects

- Inclusions
  - Under certain conditions, small inclusions of appropriate materials can serve as pinning site locations; this suggests tailoring the material artificially through manufacturing
- Lattice dislocations / grain boundaries
  - These are known to be primary pinning sites. Superconductor materials for wires are severely work hardened so as to maximize the number and distribution of grain boundaries.
- Precipitation of other material phases
  - In NbTi, mild heat treatment can lead to the precipitation of an  $\alpha$ -phase Ti-rich alloy that provides excellent pinning strength.
  - In high-temperature superconductor Y-Ba-Cu-O nanorods can be formed to pin vortices **along the length** (very strong!)

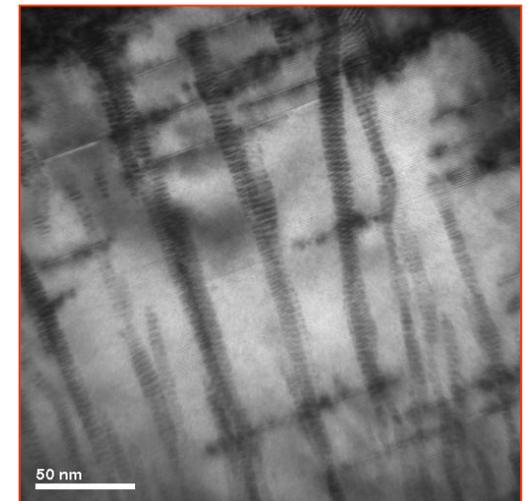


Fig. 1: Microstructure of a NbTi filament (Courtesy of P.J. Lee, University of Wisconsin at Madison).



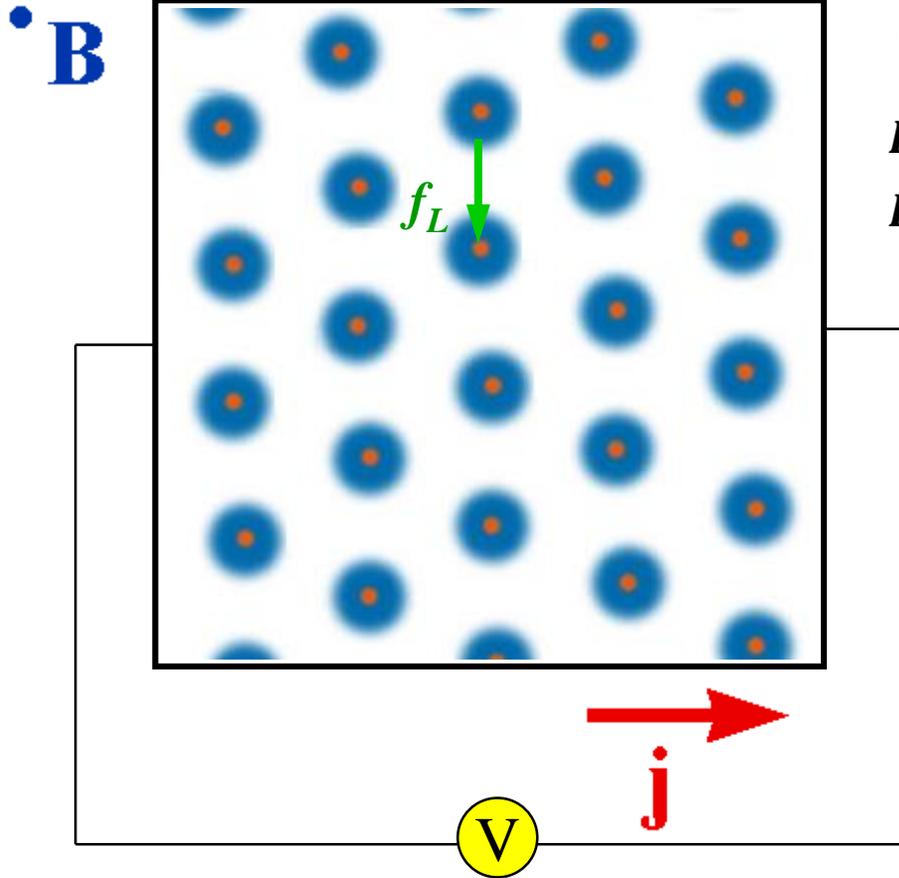
Fig. 6: Microstructure of a Nb<sub>3</sub>Sn filament (Courtesy of C. Verwaerde, Alstom/MSA).

Nanorods in Zr-doped YBCO tape conductor



TEM by A. Goyal, ORNL

# Flux flow in absence of pinning



- Viscous flow of vortices due to Lorentz force

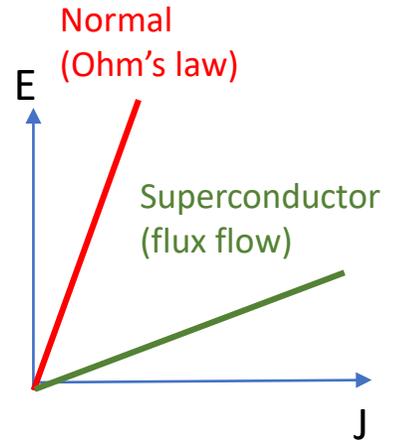
$$\mathbf{F}_L = \phi_0 [\mathbf{J} \times \mathbf{n}] - \text{Lorentz force acting on a single vortex}$$

$$\mathbf{F}_L = \eta \mathbf{v} \text{ where } \eta \text{ is a viscosity coefficient}$$

Together with the Faraday's law:  $\mathbf{E} = \mathbf{v} \times \mathbf{B}$

this yields the flux-flow relation:

$$\mathbf{E} = \rho_f \mathbf{J} \quad \rho_f = \rho_n \left( \frac{B}{B_{c2}} \right) \text{ volume fraction of normal vortex cores!}$$



Vortex flow viscosity appears due to dissipation in the vortex core and can be expressed in terms of the normal state resistivity:

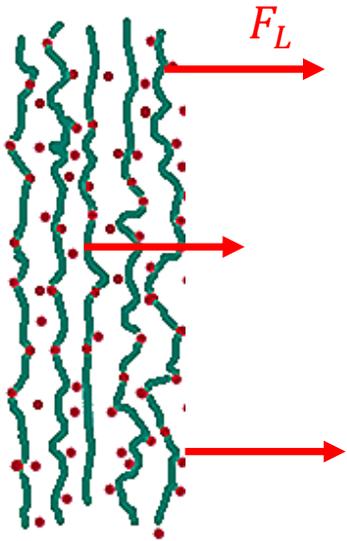
$$\eta = \phi_0 B_{c2} / \rho_n$$

Example:  $E = 1 \mu\text{V/cm}$  and  $B = 1 \text{ T} \Rightarrow$  vortex velocity is

$$v = \frac{E}{B} = 0.1 \text{ mm/s}$$

# Depinning. Critical current.

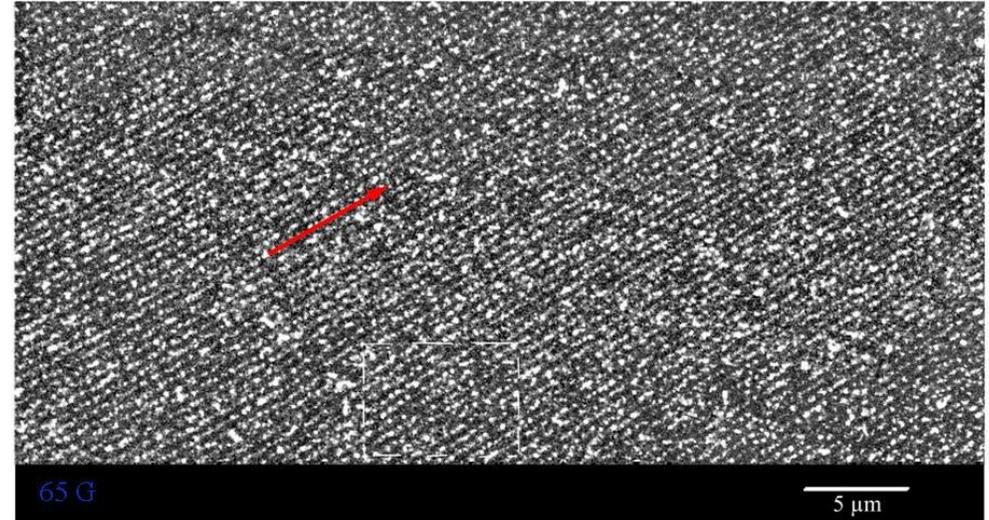
Let us now bring pinning back!



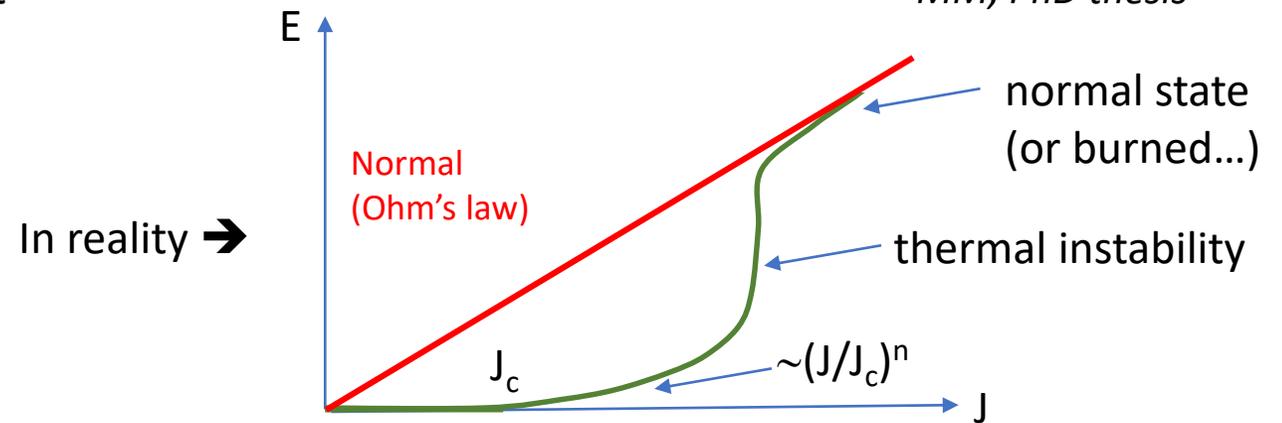
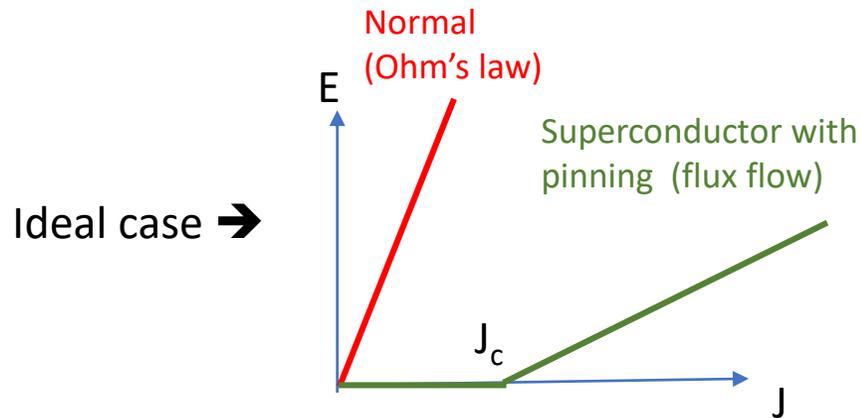
$$BJ_c = F_p(T, B)$$

Balance of the volume Lorentz and pinning forces defines the critical current density  $J_c$

- Defects pin vortices and restore *almost* zero resistivity for currents  $J$  smaller than the critical current density  $J_c$
- For currents  $J > J_c$  flux flow is restored
  - $J_c$  is strongly sample dependent

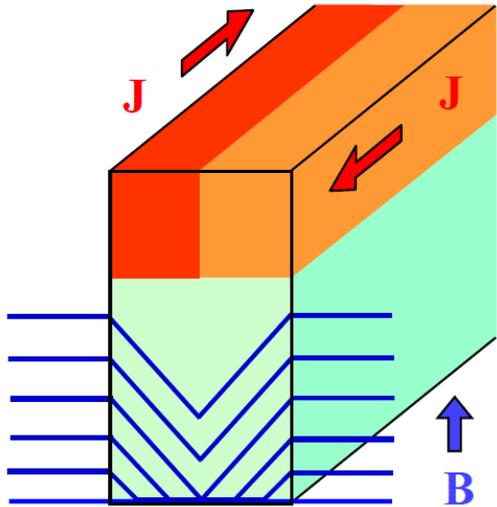


Decoration image of the flowing vortex lattice in  $NbSe_2$   
MM, PhD thesis



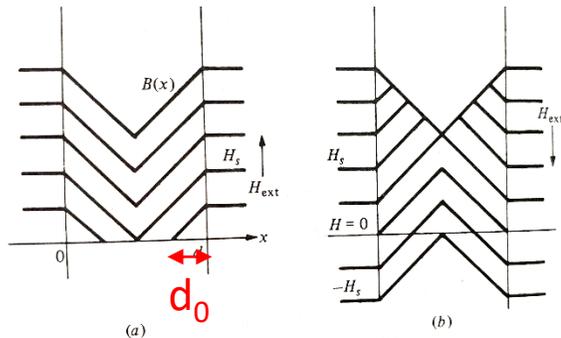
➤  $J_c$  variation range:  $10^2$  A/cm<sup>2</sup> – (MoGe,  $NbSe_2$ ) →  $10^5$  A/cm<sup>2</sup> (NbTi,  $Nb_3Sn$ )

# Bean critical state and magnetization



Assume a slab of type-II superconductor where field is applied parallel to its surfaces.

Screening currents will then flow along the surfaces. If current density reaches  $J_c$ , flux lines will be “pulled” into the slab. The process will stop when current density equals to  $J_c$  **everywhere where flux lines are present**, resulting in the Bean critical state (C.P. Bean, 1962)

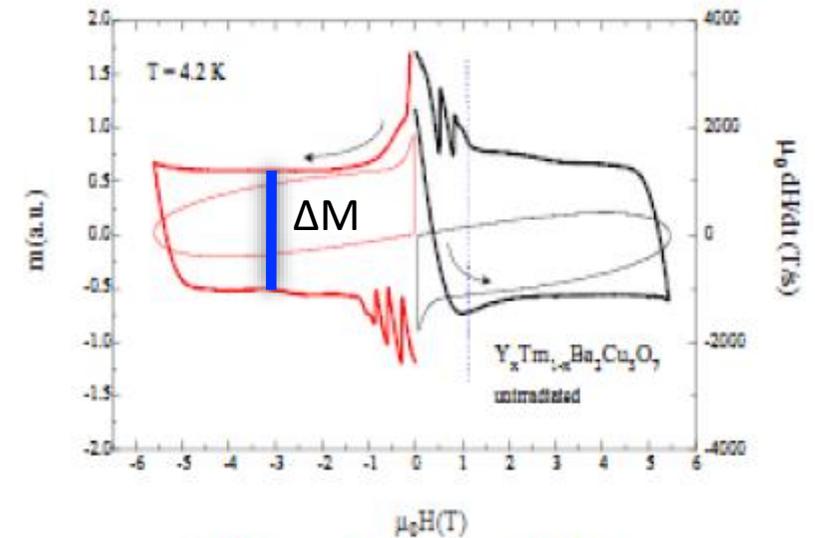


$\mu_0 J_y = -dB/dx$ , and assuming  $J_y = J_c$  one can find the depth of initial flux front penetration into the slab (or cylinder) as:

$$d_0 = \frac{H_{ext}}{J_c} = \frac{B_{ext}}{\mu_0 J_c}$$

*Magnetization in type-II superconductors is mainly defined by pinning and formation of critical state (rather than by the Meissner effect as in in type-I materials). It is because penetration fields in high  $J_c$  materials are typically  $\gg H_{c1}$*

Magnetization measurements can provide insight into flux pinning and flux motion, key concepts governing the performance of superconducting materials.



**J. Vanacken, et. al, 1999.**

$$\Delta M \cdot B \propto F_p(T, B)$$

Often used to evaluate  $J_c(B, T)$ !

# Flux jumping

- When pinning is strong, a significant amount of flux is trapped in superconductor (= magnetization)
- When current exceeds critical, instead of a gradual de-pinning of vortices, an “avalanche-like” instability may occur that is called **“flux jump”**

The mechanism of “flux jumping”:

A small “bundle” of flux initially moves -> temperature rises->critical current density (pinning strength) is reduced -> more flux moves -> temperature rises further ->> a flux “avalanche” forms, seen as a spike in voltage across the conductor...

“Cure” for flux jumping: weaken the link in the feedback loop. This is primarily done by reducing diameter of a superconducting wire. Use many fine filaments instead of a large diameter wire. For NbTi the stable diameter is  $\sim 50 \mu\text{m}$ .

# Modeling pinning

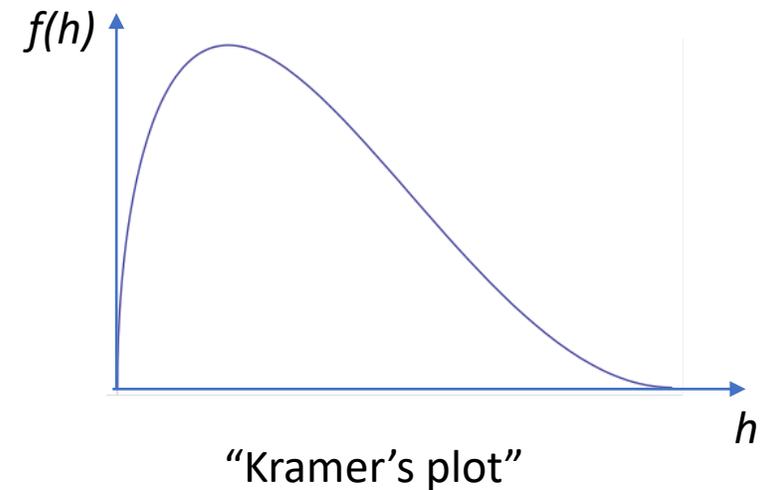
- Precise first-principles physical descriptions of overall pinning strength (and hence critical current) of real superconductors is difficult due to various mechanisms of intrinsic in pinning
- Nevertheless, models based on sound physics minimize free parameters needed to fit measured data and provide reliable estimates for classes of materials
- One of the most cited correlations is that of Kramer:

$$F_p = F_{\max} f(h) \propto \frac{H^{\nu}}{\kappa^{\gamma}} f(h)$$

$$f(h) = h^{1/2} (1-h)^2; \quad h = H / H_{c2}$$

The fitting coefficients  $\nu$  and  $\gamma$  depend on the type of pinning.  
Temperature dependence is through:

$$H_c(T) \approx H_c(0) \left[ 1 - \left( \frac{T}{T_c} \right)^2 \right]$$



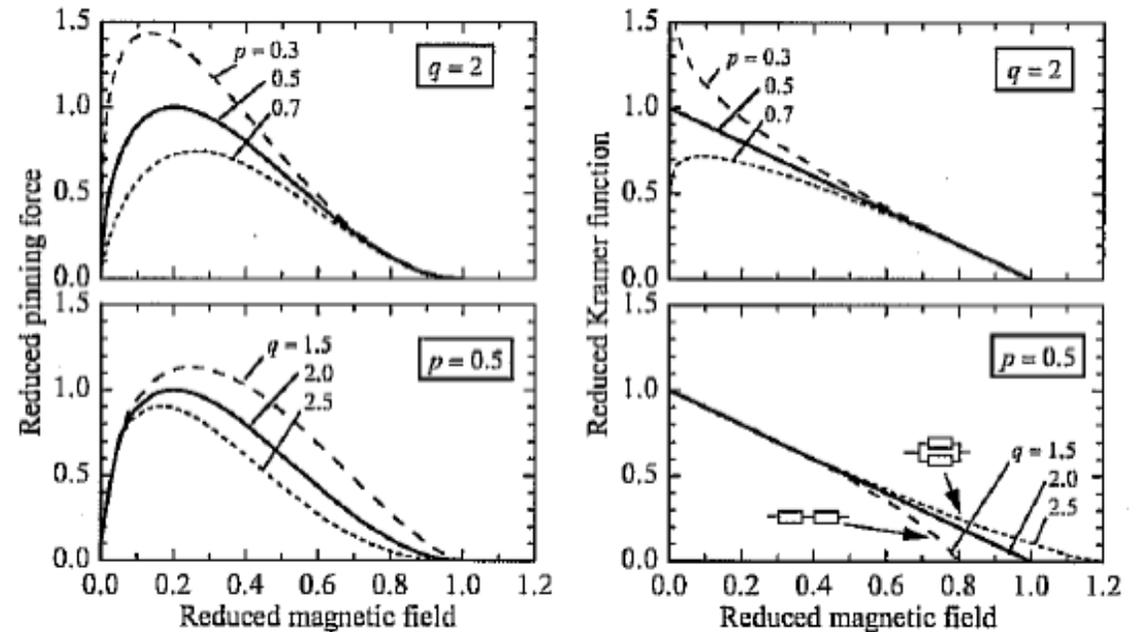
# Scaling of critical current: field dependence

- The Kramer formulation provides excellent fits in the region  $0.2 < h < 0.6$  for  $\text{Nb}_3\text{Sn}$ ; it is appropriate for regimes where the number of flux lines exceeds the number of pinning sites
- Outside this region, a variety of effects (e.g. inhomogeneity averaging) can alter the pinning strength behavior, so the pinning strength is often fitted with the generalization

$$f_p(h) \propto h^p (1-h)^q; \quad h = H / H_{c2}$$

- It is preferable to stay with the Kramer formulation, yielding:

$$J_c^{1/2} B^{1/4} \simeq \frac{1.1 \times 10^5}{\kappa} \mu_0 (H_{c2} - H)$$



# Strain dependence of $J_c$ in $Nb_3Sn$ : physics-based model

- A physics-based model of strain dependence has been developed using the frequency-dependent electron-phonon coupling interactions (Eliashberg; Godeke, Markiewitz)

$$\lambda_{ep}(\epsilon) = 2 \int \frac{\alpha^2(\omega) F(\omega)}{\omega} d\omega$$

← Phonon density of states

- From the interaction parameter the strain dependence of  $T_c$  can be derived
- Experimentally, the strain dependence of  $H_{c2}$  behaves as

$$\frac{H_{c2}(4.2, \epsilon)}{H_{c2m}(4.2)} \cong \frac{T_c(\epsilon)}{T_{cm}}$$

- The theory predicts strain dependence of  $J_c$  for all LTS materials, but the amplitude of the strain effects varies (e.g. very small for NbTi)
- The resulting model describes quite well the asymmetry in the strain dependence of  $B_{c2}$ , and the experimentally observed strong dependence on the deviatoric strain

# Scaling of critical current, Nb<sub>3</sub>Sn, empirical strain dependence

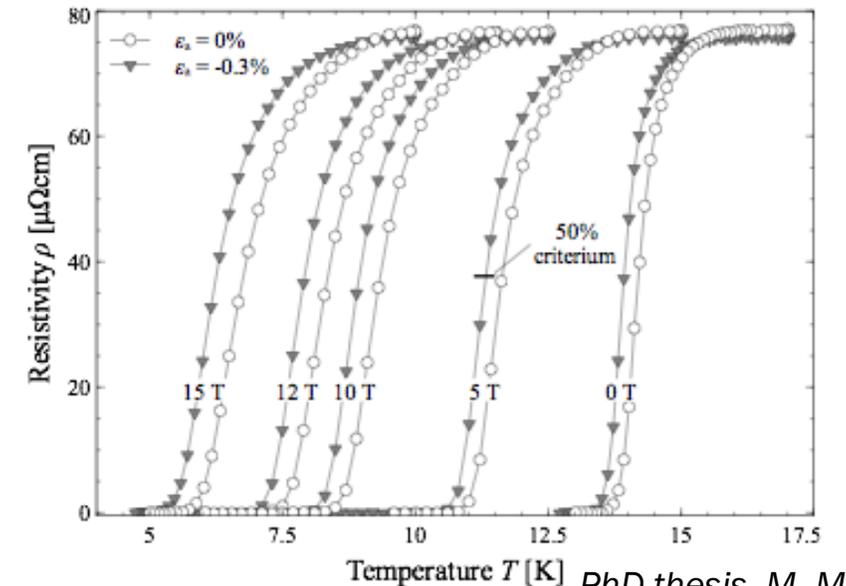
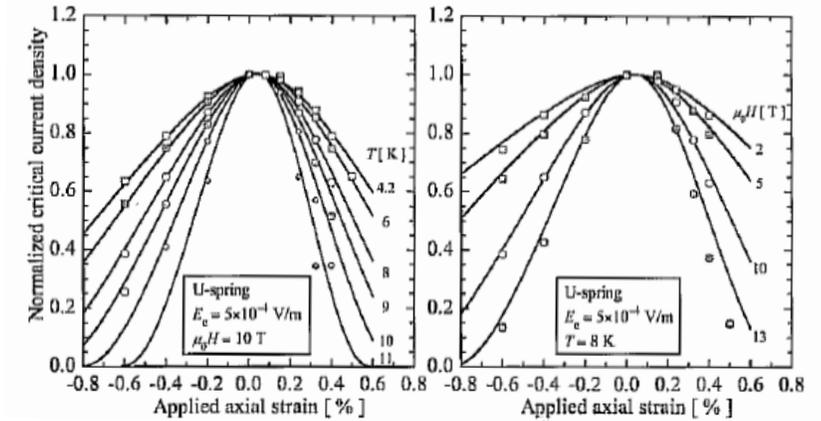
- The critical current of Nb<sub>3</sub>Sn is strain dependent, particularly at high field
- The strain dependence is typically modeled in terms of the normalized critical temperature:

$$\frac{H_{c2}(4.2, \varepsilon)}{H_{c2m}(0)} \simeq \left[ \frac{T_c(\varepsilon)}{T_{cm}} \right]^3 = s(\varepsilon)$$

- The term  $T_{cm}$  and  $H_{c2m}$  refer to the peaks of the strain-dependent curves
- A strain model proposed by Ekin:

$$s(\varepsilon) = 1 - a \left| \varepsilon_{axial} \right|^{1.7}$$

$$a = \begin{cases} 900 & \varepsilon_{axial} < 0 \\ 1250 & \varepsilon_{axial} > 0 \end{cases}$$



PhD thesis, M. Mentink

**Critical surface:** critical current density plotted as 3D plot against B and T

- NbTi parameterization

- Temperature dependence of  $B_{C2}$  is provided by Lubell's formulae:

$$B_{C2}(T) = B_{C20} \left[ 1 - \left( \frac{T}{T_{C0}} \right)^{1.7} \right]$$

where  $B_{C20}$  is the upper critical flux density at zero temperature ( $\sim 14.5$  T)

- Temperature and field dependence of  $J_c$  can be modeled, for example, by Bottura's formula

$$\frac{J_c(B, T)}{J_{C,ref}} = \frac{C_{NbTi}}{B} \left[ \frac{B}{B_{C2}(T)} \right]^{\alpha_{NbTi}} \left[ 1 - \frac{B}{B_{C2}(T)} \right]^{\beta_{NbTi}} \left[ 1 - \left( \frac{T}{T_{C0}} \right)^{1.7} \right]^{\gamma_{NbTi}}$$

where  $J_{C,Ref}$  is critical current density at 4.2 K and 5 T (e.g.  $\sim 3000$  A/mm<sup>2</sup>) and  $C_{NbTi}$  ( $\sim 30$  T),  $\alpha_{NbTi}$  ( $\sim 0.6$ ),  $\beta_{NbTi}$  ( $\sim 1.0$ ), and  $\gamma_{NbTi}$  ( $\sim 2.3$ ) are fitting parameters.

# $J_c$ universal scaling for NbTi & Nb<sub>3</sub>Sn

$$J_c(H, T, \varepsilon) \cong \frac{C_1}{\mu_0 H} s(\varepsilon) (1 - t^{n_1}) (1 - t^{n_2}) h^p (1 - h)^q,$$

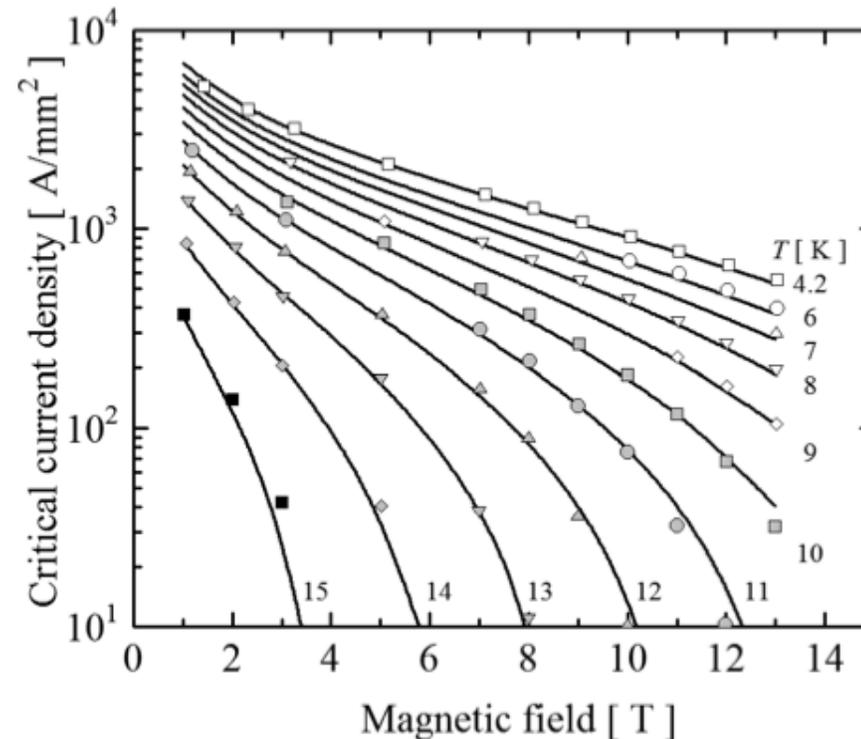
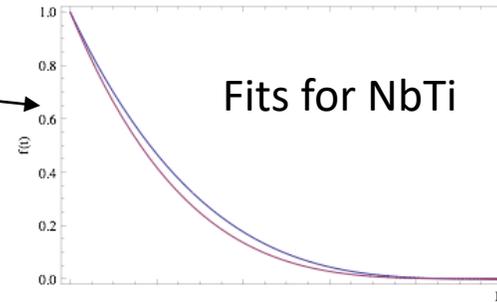
with

$$t \equiv T/T_c^*(\varepsilon), \quad h \equiv H/H_{c2}^*(T, \varepsilon),$$

$$H_{c2}^*(T, \varepsilon) \cong H_{c2m}^*(0) s(\varepsilon) (1 - t^{n_1}),$$

$$T_c^*(\varepsilon) = T_{cm}^* s(\varepsilon)^{\frac{1}{3}}$$

Godeke et al.,  
SUST 19 (2006)



## Nb<sub>3</sub>Sn

Godeke, SuST 19

- $n_1 \cong 1.52$
- $n_2 = 2$
- $p = 0.5$
- $q = 2$
- $s(\varepsilon) =$  strain dependence

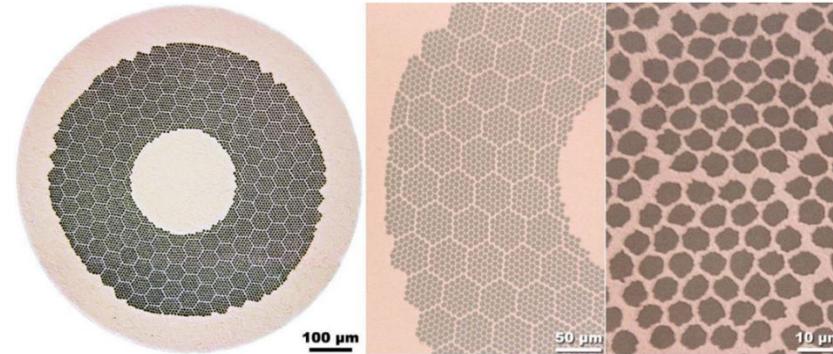
## NbTi

Bottura, TAS 19

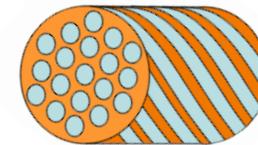
- $n_1 = n_2 \cong 1.7$
- $p \cong 0.73$
- $q \cong 0.9$
- $s(\varepsilon) \cong 1$

# Practical conductor architecture

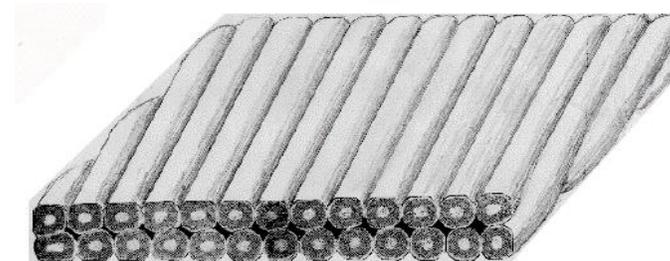
**Stabilization:** solid superconductor wire is replaced with an array of thin superconducting filaments embedded in copper matrix. Should a filament lose superconductivity, current will be redirected into the surrounding copper stabilizer



**Twisting** filaments along the strand length allows to reduce their stray magnetization

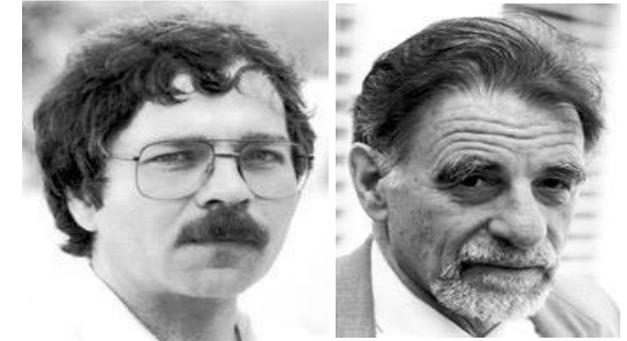


**Transposition:** superconducting strands forming a cable allow for a uniform current sharing, re-distribution in case of quenching, as well as additional magnetization reduction

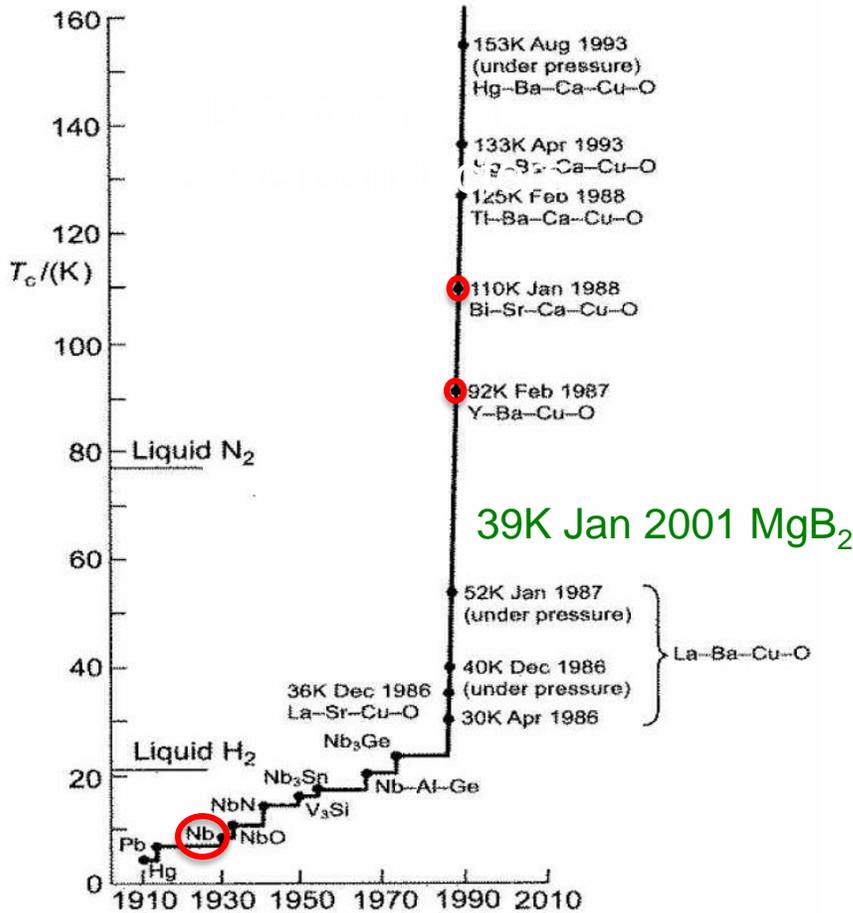


“Nb-Ti - from beginnings to perfection”, Peter J. Lee NHMFL, Florida State University and Bruce Strauss U.S. Department of Energy, in “100 Years of Superconductivity” , CRC Press 2011

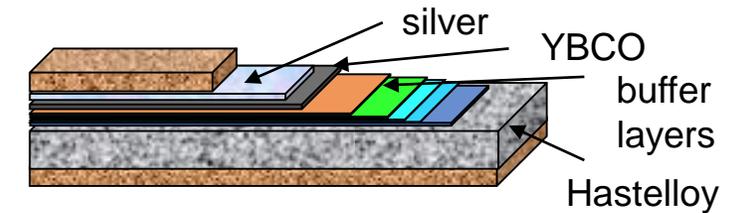
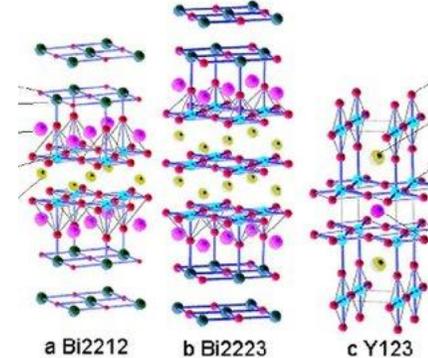
1986: Bednorz and Muller discover superconductivity at high temperatures in layered materials comprising copper oxide planes



George Bednorz and Alexander Muller  
Nobel prize for Physics (1987)



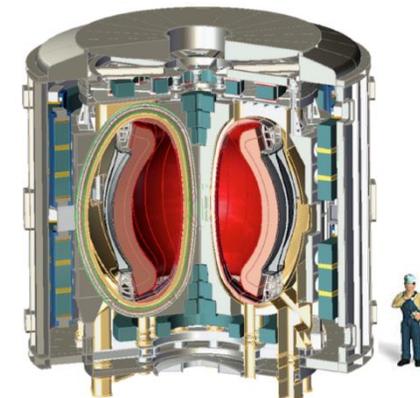
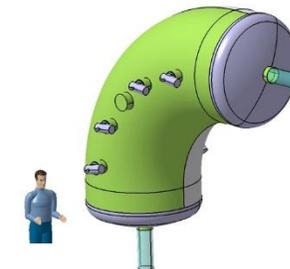
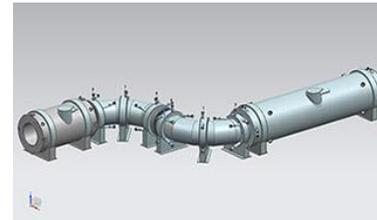
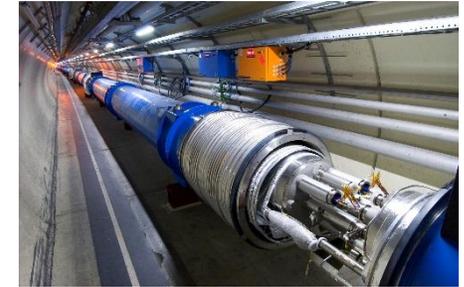
- Extreme type-II materials,  $k \gg 1$
- $T_c$  above liquid nitrogen
- $B_{c2}$  is  $> 100$  T
- Layered  $\rightarrow$  anisotropy!
- Brittle (ceramics)
- Critical current density improved dramatically since the discovery
- Our only path towards 20+ T superconducting magnets



Geometry of the modern YBCO tape conductor

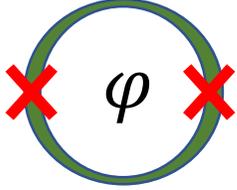
# Superconducting applications (magnets)

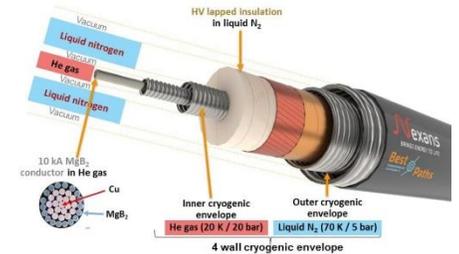
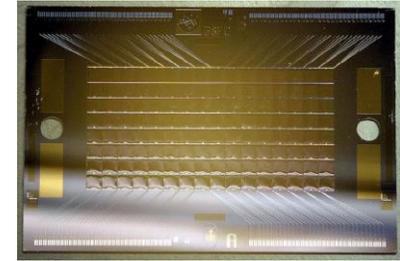
- MRI
- Particle accelerators (dipoles, quadrupoles, correctors)
- Other HEP experiments (detectors, particle guiding, etc...)
- Medical radiation treatment (gantries)
- Nuclear Fusion



*The fusion power produced in a tokamak is proportional to the strength of the magnetic field to the fourth power!*

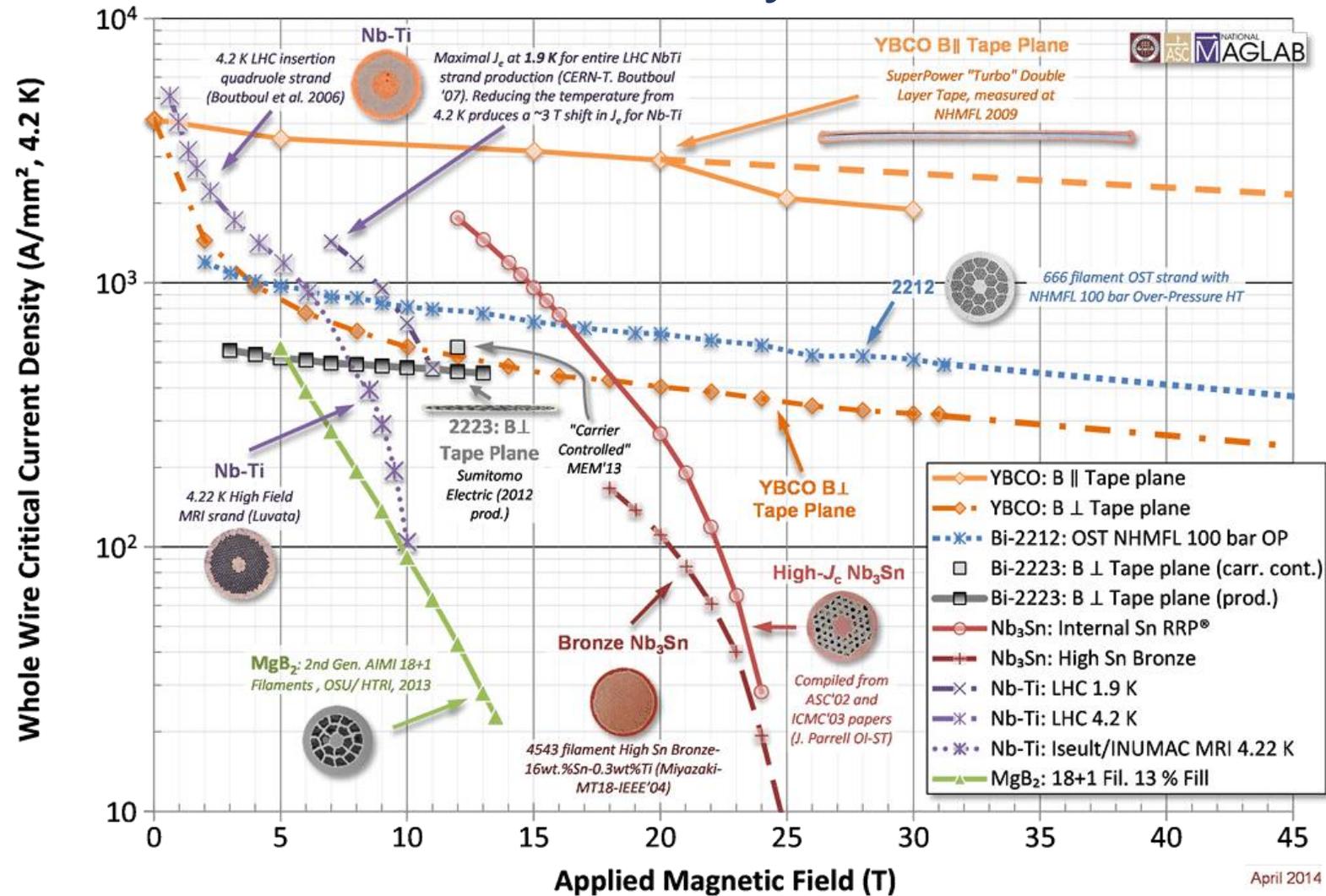
# Superconducting applications (non-magnets)

- Quantum computing 
- Bolometers, IR and THz detectors (astronomy, dark matter, security applications, etc...)
- Power applications (superconducting grid: cables, SMES, SFCLs)
- Transportation (MAGLEV, electric airplanes)



More are coming!

# Superconductors for high-field applications: summary



April 2014

P. Lee, NHMFL



Thank you!